

TRUST AND THE DOXASTIC FAMILY

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Summary

This article examines Keith Lehrer's distinction between belief and acceptance and how it differs from other accounts of belief and of the family of doxastic attitudes. I sketch a different taxonomy of doxastic attitudes. Lehrer's notion of acceptance is mostly epistemic and at the service of his account of the "loop of reason", whereas for other writers acceptance is mostly a pragmatic attitude. I argue, however, that his account of acceptance underdetermines the role that the attitude of trust plays in his analysis of reason.

Key words : Belief, acceptance, doxastic attitudes, trust, reason K. Lehrer

1. Lehrer on belief and acceptance

Keith Lehrer's distinction between belief and acceptance (1983, 1990, 1995) anticipated much later work on the difference between belief and other doxastic attitudes of the same family. Lehrer was one of the first, in contemporary analytic philosophy, to rediscover an insight which goes back at least from the Stoics, the idea that there is a variety of forms of assent, among which belief is only one species.² Acceptance and belief differ not only psychologically but also epistemologically. (1989, 1992, Bratman 1992, Engel 1998, 1999, 2000, Frankish 2004) I would like here to try to assess Lehrer's contribution, to compare it with

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² On the different kinds of assent in ancient Stoicism, see e.g. Frede 1987, Barnes 1982. There are indeed a lot of similarities between what the Stoics called *sunkatathêsis* and what Lehrer calls *acceptance*.

⁶ Compare here with Frankish (2004), who also has a category of implicit acceptances.

others similar distinctions, and to suggest a slightly different way of carving the doxastic nature at its joints.

The attitude of acceptance is a central piece in Lehrer's well known internalist and coherentist definition of knowledge. Barring various complexities of the definition, S knows that P iff

- (i) P is true
- (ii) S *accepts* that P
- (iii) S is personally justified in accepting that P
- (iv) S is justified in accepting that P on the basis of S's evaluation system at t, and if acceptance of P coheres with that system, and in a way which is undefeated (Lehrer 1990:26 , Lehrer 1997 : 44)

Why « acceptance » and not “belief” as in the traditional analysis of knowledge? Because, according to Lehrer, only an attitude which involves more than belief, and the evaluation of one's beliefs, is susceptible to provide the appropriate personal justification required for full blown and undefeated knowledge. Acceptance, like belief, is a functional state, but a second-order one: it has a belief content as its object, but it is not a belief. It is a meta-level state, involving conscious reflection and reasoning. It is defined in terms of some aim or purpose of a subject., namely the purpose is attaining truth and avoiding error, through reasoning and evaluation of one's own justifications. Belief, in contrast *may* be defined in terms of a purpose (it “aims” at truth, as one says) but not necessarily (many beliefs are not aimed at truth). Belief involves mostly the processing of information at the first-order level that is not necessarily conscious or available directly to reasoning or inference. I may for instance, have the information – and therefore in this sense believe – that your phone number is 41 22 379 70 53, because it is the number that came up to your mind when my name was mentioned to you, but unless I have manifested my capacity to reason from this belief, I am in no position to evaluate that belief. Or I can learn, hence believe, that the person I have met a few minutes ago just died, but I may not accept it. In these examples the content of the belief and the content of the acceptance are the same, but there are many cases of dissociations where one

does not accept what one believes. Thus a racist juror may accept that the black man who is in the box is innocent, although he believes that he is guilty (Lehrer 2000:196).

Lehrer's views on belief and acceptance share a number of features with the views of other authors, but it has also a number of specific features. His distinction between mind and metaind (1995) resembles Fodor's (1983) distinction between input systems, which are largely automatic, modular and encapsulated and the central system which is slow, holistic and inferentially promiscuous, but it does not coincide with it, since for Fodor it is *belief* which lends itself to inference and reasoning and to holistic connections, whereas sensory systems and subdoxastic states (Stich 1978) are not so penetrable to inferences. Lehrer does not deny that belief can give rise to inferences, but as he points out (2000: 211) inference from beliefs can be largely habitual and automatic, just as higher-order evaluation can be habitual and automatic. The distinction has more to do with the conscious character of acceptance.

Neither should Lehrer's distinction be assimilated to Cohen's (1989, 1992) and Bratman's (1992) similar distinction. Cohen and Bratman take acceptance to be essentially occurrent, voluntary, associated to conscious acts of judgments, motivated by pragmatic reasons and contextual in nature. They both emphasize the pragmatic nature of acceptances, which can occur in the absence of belief (which is a mere feeling on Cohen's view), and which can be entertained for purely practical or prudential reasons (the standard example is the lawyer who believes his client guilty but accepts in court that he is not). Bratman defines acceptance as an attitude which one typically takes in the activity of rational planning, and Cohen defines acceptance as a kind of policy: "To accept that p is to have, or to adopt a policy of deeming, positing, or postulating that p — i.e of including that proposition or rule among one's premises for deciding what to do or think in a particular context, whether or not one feels it true that p ." (Cohen 1992: 4). The policy can be adopted for ultimately epistemic reasons, as when one takes something for granted

for the sake of future argument, but the adoption of the policy is itself provisional and pragmatic in the sense that it is contextually determined. In contrast Lehrer insists that acceptance is a functional state which is distinct from its possible manifestation as a commitment expressed by a speech act of the form: “Accept that P”. So acceptance can be dispositional and need not be voluntary,⁶ although it is functionally related to preferences. Moreover, for Lehrer, acceptance is essentially an *epistemic* attitude, not a pragmatic one. For Lehrer the meta-doxastic attitude that one has towards P for practical reasons, hence associated to practical reasoning, is better called preference, which stands to desires in the same relation as the one in which acceptances stand to beliefs (Lehrer 1997:11-12). Epistemic acceptances are those which play a role in personal justification: S is justified in accepting that P if and only if P coheres with S’s evaluation system at t, and if all objections to P are neutralized. Acceptance is evidence sensitive, belief not necessarily. Acceptance is relatively stable, and it is not contextual in the sense in which pragmatic acceptance is according to Cohen or Bratman. « Acceptance that H introduces a kind of rational equilibrium over rational reflection at t : rational reflection on the present evidence will not change my acceptance of H at t. » If R is the rational consideration of the evidence for H at t, and P_t the probability, my antecedent probability for H is stable on R, i.e $P_t(H) = P_t(H/R)$ ” (Lehrer 2000: 215). This is not true for belief because belief may arise without evaluation of evidence.

Lehrer’s distinction is explicitly drawn for epistemological, not primarily psychological, purposes. Acceptance plays an important role in his “loop” of self-trust, upon which he bases his conception of knowledge (1997: 16). His “principle of trustworthiness” – I am trustworthy in what I accept - is the “keystone” of his “loop of reason”. Is it justified? I would like to argue here that this depends in large part upon how we understand the attitude of acceptance. I shall first try to show that Lehrer's classification of attitudes has to be refined if it is

supposed to be descriptively adequate. I shall then try to apply the lessons of the classification to his account of trust as the foundation of knowledge.

2. Two systems of doxastic attitudes or one?

There are a number of ways one can draw the psychological distinction between belief and acceptance and classify these with the family of doxastic attitudes. One can, on the one hand, take belief to be the most general attitude, of which acceptance is a species.⁷ Alternatively one can call “acceptance” the general attitude of holding true or of assenting to a proposition, and take belief to be a subvariety. (Stalnaker 1984 : 79-81) But most views imply that belief and acceptance are different functional states. On the paradigm notion of belief, belief is dispositional or functional state, involuntary and passive, sensitive to evidence, and inferentially promiscuous, whereas acceptance is most often understood as a conscious mental act, tied to the speech act of assertion, sensitive either to evidence or to pragmatic goals, and expressing a commitment in future doxastic deliberation. Some, however, hold that acceptance can be tacit Frankish (2004: 94-95). Others give definitions of belief which are actually very similar to the way acceptance is characterised: “To believe a proposition is to accept it with the aim of thereby believing a truth” (Velleman 2000: 251). Where should we draw the line?

A number of mental states share with paradigmatic beliefs some features, but lack others. They seem to deserve the title of “belief” only by courtesy. The list is quite long and its limits are uncertain. Can forgettings, cases in which apparently one loses a belief because it is erased partially from memory, be counted as beliefs? They have sometimes be called “in between believings” (Schwitzgebel 2001). Can “feelings of knowing”, such as the “tip of the tongue phenomenon” (Koriat 2000) be included as well? There has been a lot of discussion about the existence of

⁷ This is the line taken by Dennett (see “How to change your mind” in Dennett (1978). Dennett distinguishes belief, a general dispositional state, from “opinion”, which is the product of a verbal assent.

⁹ I borrow this expression from Tim Bayne, who suggested it in a talk in January 2011. On the issue whether delusional beliefs are genuine beliefs (and for a positive answer) see Bayne and Pacherie (2005). For the opposing view, see Currie and Ravenscroft (2002).

putative “tacit beliefs” which lay dormant within our mind but which manifest themselves when one mentions them, such as my belief that *Elephants do not wear pyjamas in the wild* (Lycan 1985). More common examples of implicit beliefs lying at the borderline of the territory are racist beliefs, upon which one often acts but which do not surface in assent, and irrational or delusive “beliefs”, like those which appear in self-deception. These states have some belief-like features, but lack others. Thus patients affected by the Capgras delusion have belief-like states (they seem to believe that their friends and family have been replaced by impostors), but their “beliefs” are often unresponsive to evidence and to inference, and do not lead, like ordinary beliefs, to characteristic behaviour or actions. Self-deceptive “beliefs” are often associated with explicit disavowal, refusal to consider contrary evidence. Other belief-like states, like fears or phobias, have a strong emotional component and a strong behavioural uptake, although they are not sensitive to evidence and to other beliefs. Cohen (1992: 5) talks of “belief feelings” or of “creedal feelings” to characterise mental states like hope, fear, expectation or surprise. Gendler (2000) has called “alief” the kind of cognitive state mixed with vertigo in which one finds oneself when walking on the transparent road way above the Grand Canyon. Emotions have, on most views, a cognitive component, but it is hard to disentangle it from the emotion, as the “paradox of fiction” exemplifies: watching an horror movie you do not believe that what you see on the screen is real, but you feel the emotion of fear nevertheless, which in turn implies the belief that what you see is real (Radford 1975). Imaginings can be described as kinds of beliefs, but can also be described as very unlike believings (Velleman 2000). Some descriptions of religious belief make it dubious that they are beliefs at all. Last but not least, where in this list of “beliefs” should we put degrees of beliefs or “partial beliefs”? Are these a genuine kind of mental state or a mere construct of the Bayesian conception of belief as degree of probability? What is their relationship to “full” belief? The list could be extended. In all these cases, it is debated whether these belief-like states are beliefs or whether they are only beliefs’ bedfellows.⁹

How can we frame a taxonomy which could take into account all the members of the doxastic family? One important framework in recent cognitive psychology is the “dual system” view according to which there are two distinct systems in human cognition, in particular in reasoning, decision making, and learning, characterised respectively by the following general features (Evans and Frankish 2008 : 16):

System 1

Evolutionarily old
 Unconscious, preconscious
 Shared with animals
 Implicit knowledge
 Automatic
 Fast
 Parallel
 High capacity
 Intuitive
 Contextualized
 Pragmatic
 Associative
 Independent of general intelligence

System 2

Evolutionarily recent
 Conscious
 Uniquely (distinctively) human
 Explicit knowledge
 Controlled
 Slow
 Sequential
 Low capacity
 Reflective
 Abstract
 Logical
 Rule-based
 Linked to general intelligence

It is tempting to classify credal feelings, “alief”, irrational beliefs and dispositional beliefs within System 1 and acceptances within System 2. But this is obviously too quick. A number of properties of paradigm belief features within System 1 have System 2 features and vice versa: inferentially promiscuous, reflective, evidence based. Belief in many ways is, to take up Scanlon’s (1998), a “judgment-sensitive” attitude as much as a dispositional state. System 1 is supposed to be strongly modular and System 2 to be distributed and holistic, but a number of writers have argued that System 2 is modular as well (Sperber 2001). Even pragmatic acceptance, of which a number of writers claim that it can occur in the absence of belief, actually depends upon pre-existing beliefs.¹⁰ So the distinction

¹⁰ Thus take Bratman’s (1992) example of the prospective builder accepts that the cost of the house he plans to build will be a certain amount, \$ 1000 000 say. But what he accepts here is not that the house will cost \$ 1000 000. What he accepts is that the probability of the estimated cost of \$ 1000 000 exceeds the estimated cost that he makes. And he makes this estimation just in the way a good Bayesian would do it: by calculating the prior estimated probability, and by taking the conditional probability of the cost given this first estimate. He accepts finally that the probability that the total cost exceeds his prior estimate. But he certainly makes this estimate on the basis of his subjective

between the two systems is moot, and the properties which characterise them may well apply to several subsystems which share only partly these properties. Thus some processes in reasoning fit both System 1 and System 2 (Carruthers, to appear).

Although there are strong empirical reasons for the existence of different cognitive subsystems having a number of properties of System 1 and of System 2 respectively, there is no reason to suppose that these subsystems all belong either to System 1 or to System 2, hence that the distinction between two types of systems or of processes in the mind corresponds to a natural kind. When it comes to belief, there is reason to think that there is much more continuity between “belief like” and “acceptance like” features than the dual systems view allows. I would like to come back to the alternative - and more classical - picture of a unique, but layered system of doxastic attitudes, with one general category, belief, and a variety of subcategories belonging to that species. This picture has been advanced in recent cognitive psychology for a variety of other mental states. Thus it has been proposed that intentions can be divided into “motor intentions” at a low cognitive level, “intentions-in-action” at the time of execution, and “prior” intentions as plans. (Searle 1983, Pacherie 2000) Similarly we can propose the idea that there are continuous levels of belief, starting at a low level with credal feelings and dispositional beliefs, moving upwards to degrees of belief and to assents, with judgments and acceptances at the top level. On such a continuous taxonomy it is not clear that there is a real distinction between states of beliefs and states of acceptance. We can start from a minimal characterisation of belief as a mental attitude governed by a norm of truth:

probabilities. So acceptance here is not identical to a subjective probability, although it is based on one (see on this example Engel 1998).

¹³ David 1991 raises the concern that Lehrer’s view might be closer to reliabilism than he is prone to admit.

(NT) A belief is correct if and only if it is true (Shah and Velleman 2005, Engel 2008)

Although this norm governs most beliefs when an agent deliberates reflectively on whether to believe that P (Shah and Velleman 2005), it can nevertheless be argued that it governs also irrational or unconscious belief formation. Contrary to what a number of critics of the truth-norm for belief have argued, the norm need not be an explicit prescription which would regulate every step of belief formation at the intentional level. Thus take irrational beliefs, such as delusions. It has been argued that patients victims of such pathologies actually do not believe the things that they seem to believe, but only believe that they believe them and imagine them: their doxastic attitudes are more like hallucinations than like belief (Currie 2000). It seems hard to say that the patients with such monothematic “beliefs” have doxastic attitudes which can be put on a par with beliefs. Indeed the beliefs which are characteristic of the Capgras delusion are very unlike ordinary belief, but they nevertheless involve a feeling or an emotion of conviction *towards* a proposition. The state in question may be inferentially insulated and insensitive to evidence, but it is sensitive to the norm of truth (Bayne and Pacherie 2005:183).

On the taxonomy of propositional attitudes sketched here, there is no basic division between belief-like states having features of System 1 and acceptance like states having features of system 2. here is only one category of states, belief, which is a form of assent or of holding true, governed by a norm of truth, which can be, in some cases, a mere creedal feeling, or in others a judgement or an acceptance. This does not mean that there are no distinctions and that there is no room for a rational epistemic attitude such as that which Lehrer describes as acceptance. Acceptances in turn can be either epistemic, governed by epistemic reasons and for the sake of theoretical reasoning, or pragmatic and contextual and for the sake of prudence. There is a further subdivision: when they are pragmatic, they can either be governed by epistemic or by practical reasons (Fig.1).

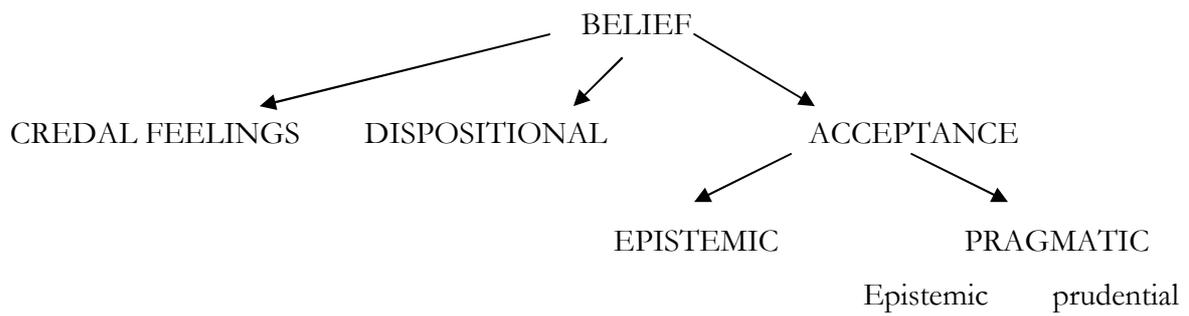


Fig. 1

In what sense is this taxonomy distinct from Lehrer's? It is distinct in that on the present taxonomy, acceptance is a kind of belief and not a separate doxastic attitude, and in that acceptance comes in two forms, epistemic and pragmatic. For Lehrer acceptance, which is distinct from belief, has one form and is essentially epistemic. All of this may be a matter of terminology, and a lot of the literature on acceptance has turned on terminological matters. But the issue is not only terminological, as we shall see when it is applied to the problem of the foundation of reason.

3. Acceptance and Trust

Acceptance is not merely a psychological attitude. It is also, and foremost, an epistemological and theoretical attitude. It plays a crucial role in that Lehrer calls his principle of trustworthiness:

(T) I am trustworthy in what I accept with the objective of accepting something
just in case it is true

This principle is supposed to be the “keystone” at the basis of knowledge, and because it is self supporting it is the basis of the “loop of reason”. Lehrer (1997: 6) gives us the “acceptance argument”:

- (1) I accept that P with the aim of truth
- (2) Therefore I am trustworthy in accepting that P
- (3) Therefore I am reasonable in accepting P with the aim of truth

The argument raises at least two questions: (a) how is my trustworthiness in accepting that P supposed to flow from my accepting that P? (b) What is the nature of the acceptance which gives rise to trustworthiness? Let us start with the second question. As we saw, Lehrer characterises acceptance in epistemic terms. It is a functional state which is directed towards truth, and leading to inference and reasoning. But although it is theoretical, Lehrer describes it as an act of reasoned choice which is “the freedom to be guided by my acceptances” (Lehrer 2000: 219), hence as a kind of act and as a *practical* attitude which is much similar to what I have called above *pragmatic* acceptance, although it is governed by epistemic reasons (it aims at truth and knowledge). Indeed the fact that trust is the result of a reasoned choice orientated towards reason and truth does not make it a pragmatic acceptance in the prudential sense, for it is a pragmatic acceptance in the epistemic or theoretical sense, according to the above distinctions. But it involves a choice of the agent, and is in this sense pragmatic nevertheless. Trust too, as it figures in premise (2) of the acceptance argument, is a practical attitude. What is characteristic of the attitude of trust is that it is not, as such, evidentially constrained. But trust, like acceptance, can be more or less, rational. The problem is: can it be rational in a sense which would give us the appropriate bootstrapping in the loop of reason? There is bootstrapping in that to accept that P *is to take oneself to be trustworthy in one’s belief that P*. Lehrer’s idea is to use acceptance as a *minimal epistemic attitude* which can serve as a lever to obtain knowledge, but without taking it to involve knowledge, for otherwise the strategy would be circular (David 1991). But one may ask: if acceptance is supposed to be the *minimal* attitude on the way to knowledge, how does one know that one is

reasonable? Whether or not reasonableness is supposed to do the trick in levelling knowledge, the sceptic is entitled to ask: how do we know that we are reasonable? To answer this question, Lehrer (1990) adds the condition of trust. If I accept that P, I must accept that it is for me more reasonable to believe that P than to believe that not P, and I do that with the aim of believing the true and avoiding the false. But I must also accept that I am *trustworthy* about P. As Lehrer says :

I must accept that I am trustworthy as well: that when I accept something, that is a good enough reason for thinking it to be true, so that it is at least more reasonable for me to accept it than to accept its denial. (1990: 122)

The full acceptance argument contains two more steps:

- (4) I am reasonable to trust my acceptance that P
- (5) I am reasonable to accept P

Here the trust condition (“I am worthy of my trust”) is a meta-level condition which says that I am trustworthy concerning my acceptances. But, as Lehrer (1997:7) notes, this seems to be the argument from despair: unless I accept my own trustworthiness I cannot respond to the sceptical doubt. It indeed looks perfectly circular: my reasonableness presupposes my trust in my reasonableness, but my trust presupposes that I take myself to be reasonable. But, Lehrer tells us that although the sceptic will never be convinced of (2), (2) applies to the acceptance of itself. It is actually a “keystone loop” as he calls it (1997: 9) of my claim to know. The loop or the circle is virtuous.

Although trust is here a personal matter the attitude of acceptance is motivated by self-trust. But both the sceptic and the dogmatic are here prone to ask: where does this trust come from? Is it the product of a pure act of faith? Could I accept something for reasons which would fall short of being epistemic or could I accept something for no reason at all, or “for nothing”? Clearly not, and for two reasons. In the first place, I accept only what is worthy of acceptance. So truth is the aim,

and it is implicit in Lehrer's argument that one values truth. Lehrer actually argues against instrumentalism (*ibid*: 56): truth is the ultimate goal and I am not worthy of my trust if I reach truth by pure luck. In the second place I do not ascribe to myself trustworthiness unless I can give reasons for it. As he says : "What makes me trustworthy in what I accept... is that I exercise a capacity to accept what is worth accepting. This requires a capacity to discern that the ends that I pursue in acceptance are worth pursuing." (*ibid*: 56) But this does not come by magic. The capacities are grounded somewhere. My reasons for being a worthy guide to the truth must have something to do with my *reliability*.¹³

Lehrer is, like a number of other philosophers who have invoked the notions of trust and of acceptance as the basis for reasonableness,¹⁴ faced to a dilemma: either the notion of acceptance is a pragmatic one, and (unless one sides with pragmatism in holding that theoretical reasons and justifications are reducible to practical ones) it cannot be a basis for reason, or it is an epistemic attitude. If so, it must contain an evidentialist and a reliabilist component. Keith Lehrer admits that acceptance is based on a capacity to accept.(1997:56). What else can this capacity be, if not a disposition to believe that I am trustworthy? Hence my acceptance of my own trustworthiness must be based on my believing myself to be reliable in my acceptances. And this kind of belief cannot bootstrap itself into the status of a keystone of reason.

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¹⁴ It is interesting to note that similar difficulties affect Crispin Wright's recent attempt to solve the sceptical problem by appealing to the notion of trust and of "entitlement" on the basis of the attitude of acceptance(Wright 2004:). I have compared elsewhere Wright's views and Lehrer (*Engel to appear*)

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