

5. Indirect Non-Demolition Measurements

(→ Davies, Kraus, Maassen & Kümmerer)

We start with an example: Experiment

by group of Harsche & Raimond (→ Guerlin et al.)

P : a cavity filled in which a standing wave (= coherent state)

of e.m. field is excited

E : "environment/equipment"

consists of: (1) Probes  $A_1, A_2, A_3, \dots$

= identical Rydberg atoms, all prepared

in the same superposition of two

highly excited internal states,

$|\uparrow\rangle$  and  $|\downarrow\rangle$ ; (e.g., initial state of

every atom =  $|\uparrow\rangle$ .

Atoms  $A_i$ ,  $i = 1, 2, 3, \dots$ , are independent of each other; do not interact with each other.

During time interval  $[(m-1)\tau, m\tau]$ ,

$m^{\text{th}}$  atom streams through cavity  $P$  and is subsequently subjected to a projective measurement in:

(2) a detector  $D$  ( $\tau$ : duration of a measurement cycle)

Measurement in  $D$  corresponds, for example, to measuring value,  $\xi = \pm 1$ , of observable  $X$ ,

$$X := \sum_{\xi} \xi \pi_{\xi}$$

with

$$\left. \begin{aligned} \xi = 1 &\leftrightarrow \pi_1 = |\uparrow\rangle\langle\uparrow| \\ \xi = -1 &\leftrightarrow \pi_{-1} = |\downarrow\rangle\langle\downarrow| \end{aligned} \right\}$$

$$S = P V E$$

$$\mathcal{O}_P = \{ \text{functions of } N \},$$

where  $N$  is the photon number operator assoc. with cavity  $P$ .

$$\mathcal{O}_E = \left\langle I_P \otimes 1_{A_1} \otimes \dots \otimes X_{A_m} \otimes 1_{A_{m+1}} \otimes \dots \right\rangle_{m=1,2,3,\dots}$$

The Rydberg atoms  $A_i$  are out of resonance

w. r. to cavity  $P \Rightarrow$  they do not emit or

absorb a photon from  $P$  during their

passage through  $P$ .

$\Rightarrow$  Time evolution commutes with  $N$ !

However, evolution of internal state of

every atom,  $A_m$ ,  $m=1,2,3,\dots$ , during its passage

through  $P$  depends on the number of

photons in  $P$ .

§5.1

5.4

Assume, for simplicity, that only

$0, 1, 2, \dots, N < \infty$  photons, (all of the same frequency  $\omega_0$ ), can be excited in P.

Then

$$\mathcal{H}_P = \mathbb{C}^{N+1}$$

$$\mathbb{1} / \mathcal{H}_P = \sum_{v=0}^N \Pi_v,$$

$\Pi_v$ ; orth. projection on state with  $v$  photons

$$N / \mathcal{H}_P = \sum_{v=0}^N v \Pi_v$$

$$\mathcal{H}_{A_m} = \mathbb{C}^2, \quad \forall m$$

$$\mathcal{H}_S = \mathcal{H}_P \otimes \mathcal{H}_D \otimes \mathbb{C}_{A_1}^2 \otimes \mathbb{C}_{A_2}^2 \otimes \mathbb{C}_{A_3}^2 \otimes \dots,$$

with reference state

$$\omega = \omega_P \otimes \varphi_D \otimes |\uparrow\rangle \otimes |\uparrow\rangle \otimes |\uparrow\rangle \otimes \dots, \quad (1)$$

where  $\omega_P$  is an arbitrary density matrix

on  $\mathbb{C}^{N+1}$ ,  $\varphi_D$  is a state of  $D$  which it relaxes to exponentially fast after each proj.

measurement of observable  $X_m = X|_{\mathbb{C}_{A_m}^2}$ ,

$m = 1, 2, 3, \dots$ , with a rate  $\gg 1/\varepsilon$ ;

$|\uparrow\rangle \in \mathbb{C}_{A_m}^2$ ; initial state of  $A_m$ .

( Time evolution of  $S$  in time-interval

$[(m-1)\varepsilon, m\varepsilon)$ :

$$U^{(m)} := \sum_{\nu=0}^N \Pi_{\nu} |_{\mathcal{H}_D} \otimes R |_{\mathcal{H}_D} \otimes 1 |_{\mathbb{C}_{A_1}^2} \otimes \dots \otimes 1 |_{\mathbb{C}_{A_{m-1}}^2}$$

$$\otimes u_{\nu} |_{\mathbb{C}_{A_m}^2} \otimes \mathbb{I} |_{\mathbb{C}_{A_{m+1}}^2} \otimes \dots,$$

where  $u_{\nu}$  is a unitary operator on  $\mathbb{C}^2$ ,

$R$  is the time evolution of  $D$  driving its

state back to  $\varphi_D$  after proj. measure-

ment of  $X_m = X|_{\mathbb{C}_{A_m}^2}$ .

Born's Rule for probes:

$p(\xi/\nu) =$  probability for  $X (= X_m, \text{ for some } m=1,2,3,\dots)$  to have value  $\xi (= \pm 1)$ , given that cavity,  $P$ , contains  $\nu$  photons.

$$p(\xi/\nu) = \langle \uparrow | u_{\nu}^* \hat{\rho}_{\xi} u_{\nu} | \uparrow \rangle \tag{2}$$

$$\Rightarrow \sum_{\xi} p(\xi, \nu) = 1, \forall \nu.$$

Born's Rule for cavity:

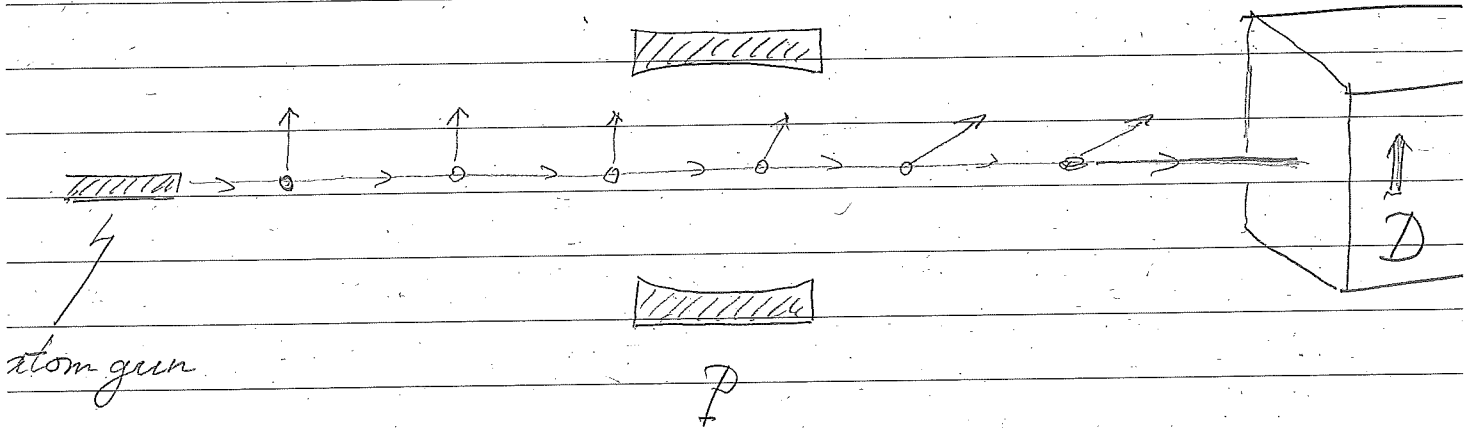
Probability to find  $\nu$  photons in cavity  $P$ , given that  $P$  has been prepared in state  $\omega_P$ ?

$$p_{\omega}(\nu) := \omega_P(\pi_{\nu}) \tag{3}$$

§5.2

5.7

Sketch of experiment



Atom  $A_m$  precesses in space spanned

by  $|\uparrow\rangle$   $|\downarrow\rangle$  during passage through P,

If  $m$ . Precession only depends on  $\nu$ .

(photon number).

States  $|\uparrow\rangle$  of D all occupied  $\Rightarrow A_m$  in state

$|\uparrow\rangle$  transmitted,  $A_m$  in state  $|\downarrow\rangle$  absorbed

(assuming  $A_m$  is a fermion  $\rightarrow$  Pauli principle).

## "Histories"

History of length  $r$  consists of a measurement protocol

$$\begin{aligned} \xi_r &= (\xi_{r-1}, \xi_r) \\ &= (\xi_1, \xi_2, \dots, \xi_r) \end{aligned}$$

of values  $\xi_m$  of observable  $X_m$  measured by  $D$  on probe  $A_m$  after its passage through  $P$ .

$\mu(\xi_r | \nu)$ : probability of a history  $\xi_r$  of length  $r$ , given that there are precisely  $\nu$  photons inside  $P$ .

$$\mu(\xi_r | \nu) = \prod_{m=1}^r p(\xi_m | \nu) \quad (4)$$

(see (2), page 7.6).



$\mu_\omega(\underline{s}_r)$ : probability of history  $\underline{s}_r$ ,  
given that  $S$  has been prepared  
in state  $\omega$ ; (see (1), page 7.4).

Then, by (3), page 7.6, and (4):

$$\mu_\omega(\underline{s}_r) = \sum_{v=0}^N p_\omega(v) \mu(\underline{s}_r | v) \quad (5)$$

§ 5.3

Conditional probability for  $N$  to have

the value  $v$ , given a history  $\underline{s}_r$  of  
length  $r$ , and given that  $S$  has been  
prepared in state  $\omega$ ;

$$p_\omega^{(r)}(v | \underline{s}_r) := \frac{p_\omega(v) \mu(\underline{s}_r | v)}{\mu_\omega(\underline{s}_r)} \quad (6)$$

where  $\underline{\xi}_n := \sum_{r=0}^n \tau = \infty = (\xi_1, \xi_2, \xi_3, \dots)$

$\Xi$ ; space of all histories,  $\underline{\xi}$ ,  
equipped with  $\sigma$ -algebra of  
cylinder sets.

Properties of functions  $p_\omega^{(r)}(\nu | \cdot)$  on  $\Xi$ :

(i)  $0 \leq p_\omega^{(r)}(\nu | \underline{\xi}) \leq 1,$

$$\sum_{\nu=0}^N p_\omega^{(r)}(\nu | \underline{\xi}) = 1.$$

(ii) 
$$p_\omega^{(r)}(\nu | \underline{\xi}) = p_\omega(\nu) \frac{\prod_{m=1}^r p(\xi_m | \nu)}{\sum_{\nu'} p_\omega(\nu') \prod_{m=1}^r p(\xi_m | \nu')}$$

$$= \frac{p_\omega(\nu) \prod_{m=1}^{r-1} p(\xi_m | \nu) \cdot p(\xi_r | \nu)}{\sum_{\nu'} p_\omega(\nu') \prod_{m=1}^{r-1} p(\xi_m | \nu') \cdot \sum_{\nu''} p_\omega(\nu'') \frac{\prod_{m=1}^{r-1} p(\xi_m | \nu'')}{\sum_{\nu'} p_\omega(\nu') \prod_{m=1}^{r-1} p(\xi_m | \nu')} \cdot p(\xi_r | \nu)}$$

$$p_\omega^{(r-1)}(\nu | \underline{\xi}) \cdot p_\omega^{(r-1)}(\nu'' | \underline{\xi})$$

Thus

$$p_{\omega}^{(r)}(v | \underline{\xi}_r) = p_{\omega}^{(r-1)}(v | \underline{\xi}_{r-1}) \cdot \frac{p(\xi_r | v)}{\sum_{v''} p^{(r-1)}(v'' | \underline{\xi}_{r-1}) p(\xi_r | v'')}$$

(7)

(iii) Conditional expectation

$$\mathbb{E}_{\omega} [p_{\omega}^{(r)}(v | \cdot) | \underline{\xi}_{r-1}]$$

$$= \left( \sum_{\xi_r} p_{\omega}^{(r)}(v | \underline{\xi}_r) \mu_{\omega}(\underline{\xi}_{r-1}, \xi_r) \right) / \mu_{\omega}(\underline{\xi}_{r-1})$$

$$\stackrel{(4), (5), (6)}{=} \sum_{\xi_r} \frac{p_{\omega}(v) \prod_{m=1}^r p(\xi_m | v)}{\mu_{\omega}(\underline{\xi}_r)} \cdot \frac{\mu_{\omega}(\underline{\xi}_{r-1}, \xi_r)}{\mu_{\omega}(\underline{\xi}_{r-1})}$$

$$= p_{\omega}^{(r-1)}(v | \underline{\xi}_{r-1}),$$

because  $\sum_{\xi_r} p(\xi_r | v) = 1, \forall v$ , by (2).

Hence

$$\mathbb{E}_\omega [p_\omega^{(r)}(v|\cdot) | \mathbb{F}_{r-1}^{\text{top}}] = p_\omega^{(r-1)}(v | \mathbb{F}_{r-1}^{\text{top}}), \quad (8)$$

$\forall v$

(i) & (iii)  $\Rightarrow \{p_\omega^{(r)}(v|\cdot)\}_{v=0, \dots, N}$  are  
bounded martingales on  $\Xi$ .

By the martingale convergence theorem,

$$p_\omega^{(r)}(v|\cdot) \xrightarrow{r \rightarrow \infty} p_\omega^{(\infty)}(v|\cdot), \quad (9)$$

$\mu_\omega$ -almost everywhere on  $\Xi$ ,  $\forall v$ .

Next, using (ii) (see (7), page 7.11), we find

$$p_\omega^{(\infty)}(v|\frac{\xi}{2}) = p_\omega^{(\infty)}(v|\frac{\xi}{2}) \frac{p(\frac{\xi}{2}|v)}{\sum_{v''} p_\omega^{(\infty)}(v''|\frac{\xi}{2}, \frac{\xi}{2}) p(\frac{\xi}{2}|v'')} \quad (10)$$

Suppose  $\underline{z} \in \Xi$  is such that

$$p_{\omega}^{(\infty)}(v | \underline{z}) \neq 0, \quad \forall v \in I_{\underline{z}} \subseteq \{0, 1, \dots, N\}$$

Then (i), page 7.11, implies that

$p_{\omega}^{(\infty)}(\cdot | \underline{z})$  is a probability distribution

on  $I_{\underline{z}}$ . Moreover, (10) then implies that

$$\begin{aligned} p(\underline{z} | v) &= \sum_{v' \in I_{\underline{z}}} p_{\omega}^{(\infty)}(v' | \underline{z}, \underline{z}) p(\underline{z} | v') \\ &= \overline{p(\underline{z} | \cdot)} p_{\omega}^{(\infty)}, \end{aligned} \quad (11)$$

$\forall v \in I_{\underline{z}}$ ,

\*  $\left\{ \begin{array}{l} \text{Suppose that } p(\underline{z} | v_1) = p(\underline{z} | v_2) \text{ implies} \\ \text{that } v_1 = v_2. \text{ (Remember that } \underline{z} \text{ only} \end{array} \right.$

takes 2 values,  $\underline{z} = \pm 1$ , and  $\sum_{\underline{z} = \pm 1} p(\underline{z} | v) = 1$ ,

$\forall v$ . Thus, if \* holds for  $\underline{z} = +1$  it also holds for  $\underline{z} = -1$ !

Then (11) implies that

$$I_{\underline{z}} = \left\{ \nu \left( \frac{\underline{z}}{\underline{z}} \right) \right\}, \text{ and}$$

$$p_{\omega}^{(\infty)} \left( \nu \left| \frac{\underline{z}}{\underline{z}} \right. \right) = \delta_{\nu \nu \left( \frac{\underline{z}}{\underline{z}} \right)}, \quad (12)$$

for some  $\nu \left( \frac{\underline{z}}{\underline{z}} \right) \in \{0, 1, \dots, N\}$ .

Purification!

Exercise: Show that, under assumption  $*$ ,

(11) implies (12).

[Hint: If  $p \left( \underline{z} \left| \nu_* \right. \right) = \max_{\nu \in I_{\underline{z}}} p \left( \underline{z} \left| \nu \right. \right)$  then

$p \left( \underline{z} \left| \nu_* \right. \right) = p \left( \underline{z} \left| \cdot \right. \right) p_{\omega}^{(\infty)}$  is compatible

with  $*$  iff  $I_{\underline{z}} = \{ \nu_* \}$ .]

Thm. Born's Rule

$$E_{\omega} \left[ p_{\omega}^{(\infty)} \left( \nu \left| \cdot \right. \right) \right] = p_{\omega} \left( \nu \right) = \omega_{\mathbb{P}} \left( \Pi_{\nu} \right)$$

Pf. Exercise

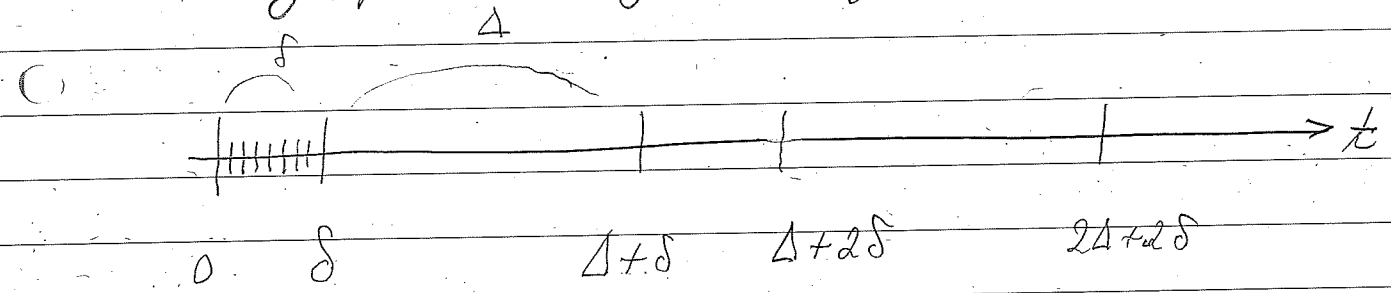
§ 5.4

Quantum jumps & tracks.

Here we consider a limiting regime

where  $\tau \rightarrow 0$ ; i.e., a very fast rate of

shooting probes through cavity  $P$ .



$$n = \frac{\delta}{\tau}$$

Limits:  $\Delta > 0$  fixed.

(i) For fixed  $\delta > 0$ , let  $\tau \rightarrow 0 \Rightarrow$

$$n \rightarrow \infty$$

(ii) Then let  $\delta \rightarrow 0$

Result.

(1)  $[H_p, N] \neq 0;$

(2) Proj. measurements of probes lead to indirect measnt. of value of  $N$ , (photon number).

(3) Then, in limiting regime  $\#$ , we obtain a

Markov chain, with

- state space  $\mathcal{E} = \text{spec } N$

- transition function,  $T$ , given by

$$T(v, v') := |\exp(-i\Delta H_p)_{v, v'}|^2$$

→ Diffusion process on  $\mathcal{E}$ .

---



## § 5.5 General Theory of Non-demolition

### Measurements

Recap. "Isolated" system,  $S = PVF$ , char. by:

$C^*$ -algebras  $\{\mathcal{E}_I\}_{I \subset \mathbb{R}}$  indexed by intervals

$I$  of proper time

$$\mathcal{E}_{I \supseteq J} = \bigvee_{I' \subset (t, \infty)} \mathcal{E}_{I'} \quad \text{--- } \parallel \parallel \quad , \quad \forall I \in \mathcal{R}$$

$$\mathcal{E} = \bigvee_{I \subset \mathbb{R}} \mathcal{E}_I \quad \text{--- } \parallel \parallel$$

States = positive, normalized linear functionals

on  $\mathcal{E}$ .

Choose a "stratum",  $\mathcal{I}$ , of physically

interesting states on  $\mathcal{E}$ . For  $\rho \in \mathcal{I}$ , let

$(\pi_\rho, \mathcal{H}_\rho, \Omega_\rho)$  be obtained from GNS con-

struction applied to  $(\mathcal{E}, \rho)$ .

$$\mathcal{K}_S = \bigoplus_{\rho \in \mathcal{P}} \mathcal{K}_\rho$$

$$\mathcal{K}_S = \bigoplus_{\rho \in \mathcal{P}} \mathcal{K}_\rho$$

$\mathcal{E}^-$ , weak closure of  $\mathcal{K}_S(\mathcal{E})$

$\mathcal{E}_{\geq t}^-$ , " " "  $\mathcal{K}_S(\mathcal{E}_{\geq t})$

( Then

$$\mathcal{E}^- \supseteq \mathcal{E}_{\geq t}^- \supsetneq \mathcal{E}_{\geq t'}^- \supseteq \mathcal{E}_I$$

↑  
INFO LOSS!

for any  $t' > t$ ,  $I \in [t', \infty)$ .

(

Normal states on  $\mathcal{E}^- =$  density matrices on  $\mathcal{H}_S$

$\omega$  a normal state on  $\mathcal{E}^-$

Def.

$$\omega_t := \omega|_{\mathcal{E}_{\geq t}^-}$$

Definition. "Observables at future  $\infty$ "

$$\mathcal{E}_{\infty}^{\mathcal{B}} := \bigcap_{t \in \mathbb{R}} \mathcal{E}_{\geq t}^{-}$$

Hypothesis 1, "Asymptotic abelianness"

$$\mathcal{E}_{\infty}^{\mathcal{B}} \subseteq \text{center of } \mathcal{E}^{-} \quad (13)$$

$\mathcal{E}_{\infty}^{\mathcal{B}}$ : "algebra of facts."

"Instrument" = abelian  $C^*$ -algebra,  $\mathcal{I}$

with property that, for each time  $t \in \mathbb{R}$ ,

$\mathcal{I}$  representation,  $\pi_t$ , of  $\mathcal{I}$  inside  $\mathcal{E}_{\geq t}^{-}$ ,

$$\pi_t(\mathcal{I}) \subseteq \mathcal{E}_{\geq t}^{-}$$

(and  $\pi_t(\mathcal{I}) \not\subseteq \mathcal{E}_{\geq t'}^{-}$ ,  $t' > t$ )

Instruments serve to make observations of

events

"Event algebras."

$$\mathcal{Z}_t = \left\langle \mathcal{Z}_{P_t} = \text{center of } \mathcal{C}_{P_t} \mid P_t \in \mathcal{I} \right\rangle \quad (14)$$

Assume that  $\mathcal{S}$  is such that its "event algebras,"  $\mathcal{Z}_t$ ,  $t \in \mathbb{R}$ , are generated by a single instrument,  $\mathcal{Y}$ ,

$$\mathcal{Y} = \left\langle \pi_{\xi} \mid \xi \in X \right\rangle, \quad (15)$$

with  $\pi_{\xi} = \pi_{\xi}^* = \pi_{\xi}^2$ ,  $\forall \xi \in X$ ,

$$\pi_{\xi_1} \pi_{\xi_2} = \delta_{\xi_1, \xi_2} \pi_{\xi_1}$$

$$\sum_{\xi \in X} \pi_{\xi} = \text{id}_{\mathcal{Y}}$$

$$\text{card}(X) < \infty.$$

Namely

$$\mathcal{Z}_t \subseteq \pi_t(\mathcal{Y}), \quad \forall t \quad (16)$$

Given an initial state  $\omega \in \mathcal{P}$  of  $S$ , a history of events is given by

$$\left\{ \pi_{\omega_i} (t_i) \in \mathcal{L}_{\omega^{(i-1)}}^{t_i} \subseteq \mathcal{L}_{t_i}^{t_i}, \forall \omega_i \in X \right\}_{i=1,2,3,\dots}$$

where

$$\omega^{(i-1)} := \omega^{(i-2)} \left( \pi_{\omega_{i-1}} (t_{i-1}) (\cdot) \pi_{\omega_{i-1}} (t_{i-1}) \right)$$

$$\omega_{t_i}^{(i-1)} := \omega^{(i-1)} \Big|_{\mathcal{E} \geq t_i} \times \omega^{(i-2)} (\pi_{\omega_{i-1}} (t_{i-1}))$$

$$\omega^{(0)} := \omega$$

$\{t_i\}$  is a sequence of times

$$0 \leq t_1 < t_2 < \dots < t_n < \dots$$

$$\omega_i \in X, \forall i$$

Trajectory of states:

$$H_{\omega_n} := \prod_{i=1}^n \pi_{\omega_i} (t_i) \tag{17}$$

State before  $n+1$ st event happens:

$$\omega_t^{(n)}(A) := \frac{\omega\left(H_{\frac{t}{\Delta t}} A H_{\frac{t}{\Delta t}}^*\right)}{\omega\left(H_{\frac{t}{\Delta t}} H_{\frac{t}{\Delta t}}^*\right)} \quad (18)$$

$$A \in \mathcal{E}_{\geq t}, \quad t_n \leq t \leq t_{n+1}$$

This summarizes the "ETH-approach to QM"

in the special case of a single instrument triggering events.

Probability of a history  $\frac{t}{\Delta t}$ :

$$\mu_{\omega}\left(\frac{t}{\Delta t}\right) := \omega\left(H_{\frac{t}{\Delta t}} H_{\frac{t}{\Delta t}}^*\right) \quad (19)$$

(LSW-formula)

Note that

$$\sum_{\xi_n \in X} \mu_\omega(\xi_n) = \mu_\omega(\xi_{n-1})$$

$$\mu_\omega(\phi) = \sum_{\xi \in X} \mu_\omega(\xi) = 1$$

Kolmogorov

$\Rightarrow \mu_\omega$  extends to a probability

measure on space,  $\Xi$ , of all long histories.

$(\Xi, \Sigma)$  is a measure space, where  $\Sigma$  is the  $\sigma$ -algebra generated by cylinder sets;

$\Delta \in \Sigma$  is a cylinder set iff

$$\Delta = \left\{ \xi \in \Xi \mid \xi_i = \eta_i, \text{ for } i \in I \right\},$$

for some points  $\eta_i \in X$ ,  $i \in I$ , where

$I$  is an arbitrary finite set of indices.

$\Sigma_n \subset \Sigma$  is the  $\sigma$ -algebra generated

by all cylinder sets  $\Delta \in \Sigma$  of the form

$$\Delta = X^{x_n} \times \tilde{\Delta},$$

$\tilde{\Delta}$  a cylinder set in  $\Sigma_{\geq n}$ ,

$$\Sigma_{\geq n} := \left\{ \pi_n \tilde{\Sigma} \mid \tilde{\Sigma} \in \Sigma \right\}$$

$$\pi_n \tilde{\Sigma} := \left( \tilde{\Sigma}_{n+1}, \tilde{\Sigma}_{n+2}, \dots \right).$$

"Tail  $\sigma$ -algebra";

$$\Sigma_{\infty} := \bigcap_{n \in \mathbb{N}} \Sigma_n$$

Null-sets and measure classes

Null-sets are sets  $\Delta \in \Sigma$  such that

$$\mu_{\omega}(\Delta) = 0, \quad \forall \omega \in \mathcal{S}$$

$\mathcal{N}_{\mathcal{S}} :=$  family of all null-sets in  $\Sigma$ .



We say that  $\mathcal{N}_g$  determines a "measure class"

$\exists$  state  $\Omega_0 \in \mathcal{H}_g$  such that

$(\pi_g, \mathcal{H}_g, \Omega_0)$  results from applying

GNS construction to  $(\mathcal{E}, \omega_0)$ ,

$$\omega_0(A) := \langle \Omega_0, \pi_g(A) \Omega_0 \rangle, \quad \forall A \in \mathcal{E}.$$

Lemma,

$\mathcal{N}_g$  consists of all sets  $\Delta \in \Sigma$  such that

$$\mu_{\omega_0}(\Delta) = 0.$$

Two  $\Sigma$ -measurable functions,  $f$  and  $g$ , are identified iff

$$f - g = 0, \quad \mu_{\omega_0} - \text{a.e.}$$

$\rightarrow$  Equivalence relation!