Publish in OPEN ACCESS

What options do I have?

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Spring 2019
AGENDA

- Why publish in Open Access?
- What are the different options?
- What are the funders’ requirements?
- How does UNIGE support Open Access?
A FEW DATES

1665  First formal scientific journals
   - Journal des savants
   - Philosophical Transactions of the Royal Society

1990  First online-only journal

1991  First subject repository (arXiv)

2002  Budapest Open Access Initiative

2003  Berlin Declaration

2003  PLoS Biology

2012  DORA Declaration

2020  100% Open Access for SNSF

2024  100% Open Access for Swissuniversities

http://gallica.bnf.fr
OPEN ACCESS EXPLAINED

FREE IMEDIATE RESEARCH ARTICLES
ONLINE AVAILABILITY RE-USE RIGHTS

J. Cham et al. (2012). PhD TV. https://youtu.be/L5rVH1KGBCY
WHY PUBLISH IN OPEN ACCESS?

CLASSIFICATION OF OPEN ACCESS

**Gold Road**
All articles of a Journal are accessible for free on the journal’s website

**Green Road**
Self-archiving on an institutional repository

**Hybrid**
Subscription Journal, but options to make individual papers open for a fee
• Processing Charges can be required
  ✓ **Article Processing Charge** - APC
  ✓ **Book Processing Charge** - BPC

• Journal list available on [https://doaj.org](https://doaj.org)
1. Author publishes in a Journal of his/her choice

2. The author him/herself posts the article to an open-access repository (e.g. Archive ouverte of UNIGE)

⚠️ Depending on the journal:
- Some versions (e.g. Publisher’s PDF) can not be posted
- An embargo period may apply
HYBRID JOURNALS

• Publication of an article in Open Access (after paying an APC)

• In a subscription journal

→ «double-dipping» risk for the institution

Publication Process / Article Versions

1. Journal Selection

2. Peer Review

3. Publication

- Preprint
- Accepted Manuscript
- Post-Print
- AAM
- Publisher Version
- Version of Record

RETRIEVE YOUR POSTPRINT (AAM)

Guides with instructions to retrieve your Author Accepted Manuscript (AAM or postprint) from your Journal’s Submission System:

- ScholarOne: T&F, CUP, Emerald
- Editorial Manager: Elsevier, SpringerNature
- BenchPress
- Evise & EEM

https://openaccessbutton.org/direct2aam
HOW DO I KNOW WHAT I CAN ARCHIVE?

[Image of SHERPA/RoMEO website]

[Table of RoMEO colours and archiving policies]

May need to verify on the journal website whether the policy has changed since.
Can I share my Energy and Building’s paper?

| Journal: | Energy and Buildings (ISSN: 0378-7788, ESSN: 1872-6178) |
| RoMEO: | This is a RoMEO green journal |
| Paid OA: | A paid open access option is available for this journal. |

- **Author's Pre-print:** ✓ author can archive pre-print (ie pre-refereeing)
- **Author's Post-print:** ✓ author can archive post-print (ie final draft post-refereeing)
- **Publisher's Version/PDF:** ✗ author cannot archive publisher's version/PDF

### General Conditions:
- Authors pre-print on any website, including arXiv and RePEC
- Author’s post-print on author's personal website immediately
- Author's post-print on open access repository after an embargo period of between 12 months and 48 months
- Permitted deposit due to Funding Body, Institutional and Governmental policy or mandate, may be required to comply with embargo periods of 12 months to 48 months
- Author's post-print may be used to update arXiv and RePEC
- Publisher's version/PDF cannot be used
- Must link to publisher version with DOI
- Author's post-print must be released with a Creative Commons Attribution Non-Commercial No Derivatives License

### Mandated OA: (Awaiting information)

### Paid Open Access: Open Access

### Notes:
- Publisher last reviewed on 03/06/2015

### Copyright:
- Unleashing the power of academic sharing - Sharing Policy - Sharing and Hosting Policy FAQ - Green open access - Journal Embargo Period List (pdf) - Journal Embargo List of Funding Body Agreements

### Updated: 01-May-2015 - Suggest an update for this record

### Link to this page: http://www.sherpaproject.org/romeo/issn/0378-7788/

### Published by: Elsevier - Green Policies in RoMEO
**Can I share my Nature’s paper?**

<table>
<thead>
<tr>
<th>Journal: Nature (ISSN: 0028-0836, ESSN: 1476-4687)</th>
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<tbody>
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<td>RoMEO: This is a RoMEO yellow journal</td>
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- **Author's Pre-print:** ✓ author *can* archive pre-print (ie pre-refereeing)
- **Author's Post-print:** ✓ subject to Restrictions below, author *can* archive post-print (ie final draft post-refereeing)
- **Restrictions:** 6 months embargo
- **Publisher's Version/PDF:** ✗ author *cannot* archive publisher's version/pdf

**General Conditions:**
- Research articles only
- On author's personal website, institutional repository, and under designated repository
- Publisher's version/PDF cannot be used
- Authors retain copyright
- Must link to publisher version
- Published source must be acknowledged and DOI cited
- Post-prints are subject to Springer Nature re-use terms

**Mandated OA:** Compliance data is available for 24 funders

**Notes:**
- Applies to Research articles only

**Copyright:** [Pre-print policy] - [Self-archiving policy] - [Post-print re-use terms]

**Updated:** 20-Sep-2018 - [Suggest an update for this record]

**Link to this page:** [http://www.sherpa.ac.uk/romeo/issn/0028-0836/](http://www.sherpa.ac.uk/romeo/issn/0028-0836/)

**Published by:** Nature Research (part of Springer Nature) - [Yellow Policies in RoMEO]
### Openness Spectrum

<table>
<thead>
<tr>
<th>Access</th>
<th>Reader Rights</th>
<th>Reuse Rights</th>
<th>Copyrights</th>
<th>Author Posting Rights</th>
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<th>Machine Readability</th>
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[HowOpenIsIt?](https://www.plos.org/files/HowOpenIsIt_English.pdf)
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WHAT DOES THE SNSF REQUIRE?

As of **2020**: all publications resulting from SNSF funding have to be available in OA (articles, books…) - [https://oa100.snf.ch](https://oa100.snf.ch)

You can choose:

- **The gold road.** APC will be covered by SNSF, but **not for hybrid journals** ([https://oa100.snf.ch](https://oa100.snf.ch))

- **The green road.** Publish in a subscription journal, then share via a public repository (embargo can not be longer than **6 months for an article, 12 months for a book**)

- **Hybrid** is not a valid option for a funding by SNSF !!!
What about Swissuniversities?

- **National Open Access Strategy** (2017): all publicly funded publications have to be freely accessible from **2024** onwards.

- This vision is in line with current European models.

- Allows orange and green roads.

- Action plan: negotiations with international publishers, national monitoring…
PUBLISHERS’ NEW MODELS

- **Platinum Journals**: no APC (financed by institutions, learned society…)

- Licences «**Read & Publish**»: bundle together access to a publisher’s subscription content with the ability to publish openly through its journals without paying individual APCs

- Licences «**Publish & Read**»: the institution pays for a subscription for publishing, and it also includes access to read the contents

Kai Geschuhn (Max Planck Digital Library), *Transformative Open Access Agreements*, Workshop on Data Analysis for Open Access Agreements, 13 September 2018, ETH Zurich
WHAT IS THE PLAN S?

- Initiative for Open Access launched in September 2018
- Supported by cOAlition S: international consortium of research funders
What is the Plan S?

As of January 1st, 2020:

- All scholarly publications (VoR or AAM) must be immediately openly available (no embargo period)

- Must be accessible under an open license allowing for re-use (CC BY license)

- No hybrid journals (ok in a transition period, only if transformative agreements, such as «Read & Publish»)

- Journals must be registered in the DOAJ
UNIGE OPEN ACCESS POLICY

✔ Obligation for all UNIGE collaborators to deposit a copy of their publications in Archive ouverte UNIGE (§2 of institutional Policy)

✔ Obligation to select the most open access level possible (§4)

✔ Both VoR and AAM are accepted

Institutional policy
https://archive-ouverte.unige.ch/pages/unige_policies
UNIGE supports Open Access


- Journal in the DOAJ (no hybrid journals)
- Covers half the amount of APC or BPC, but a maximum of CHF 1'000 (fully covered up to CHF 500)
- Requester is employed since 2015, or later

Archive ouverte of UNIGE: https://archive-ouverte.unige.ch/

Open Access Publications: https://oap.unige.ch/

Unpaywall: https://www.unige.ch/biblio/fr/unpaywall
To sum it up

- No possible return
- Paradigm change, but many practices are already ok
- 2020 – 2024: landmark dates
- Many roads to Open Access
- Still possible to publish in «prestigious» journals, but with some additional steps (= academic freedom)
- Library support
ANY QUESTIONS?

openaccess@unige.ch