SNSF FUNDING POLICY FOR OA MONOGRAPHS AT THE CONFERENCE "AFFORDABLE OPEN ACCESS", UNIVERSITY OF GENEVA Regula Graf, SNSF

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24 October 2023

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Content

OA policy

- Measures for OA
- OA obligation

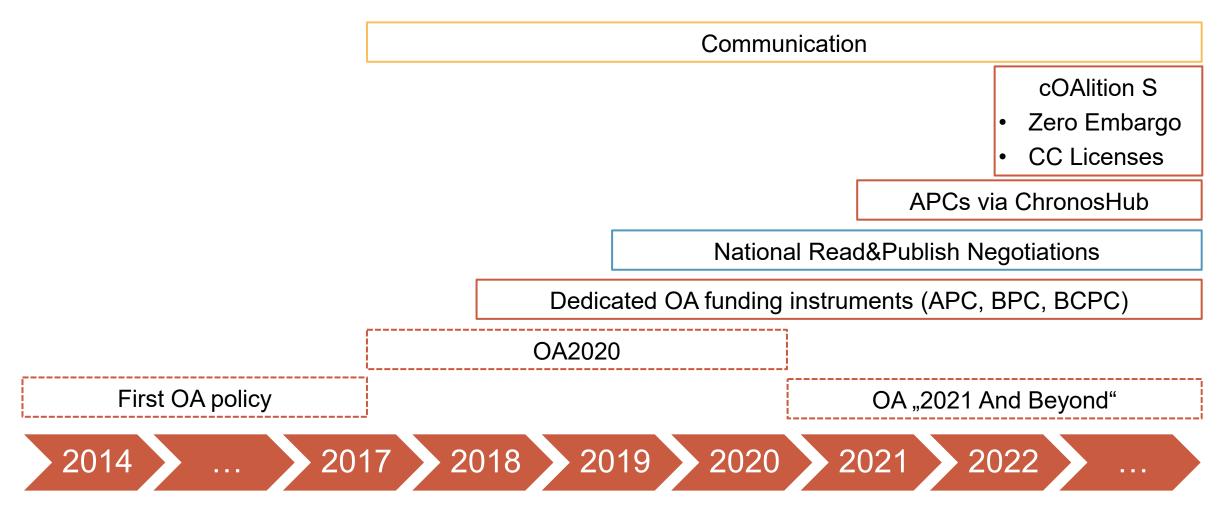
OA funding

- Article Processing Charges (APC)
- Book Chapter Processing Charges (BPC)
- Book Processing Charges
 - (BPC)

Main focus on the funding of OA books



Measures at the SNSF since 2014

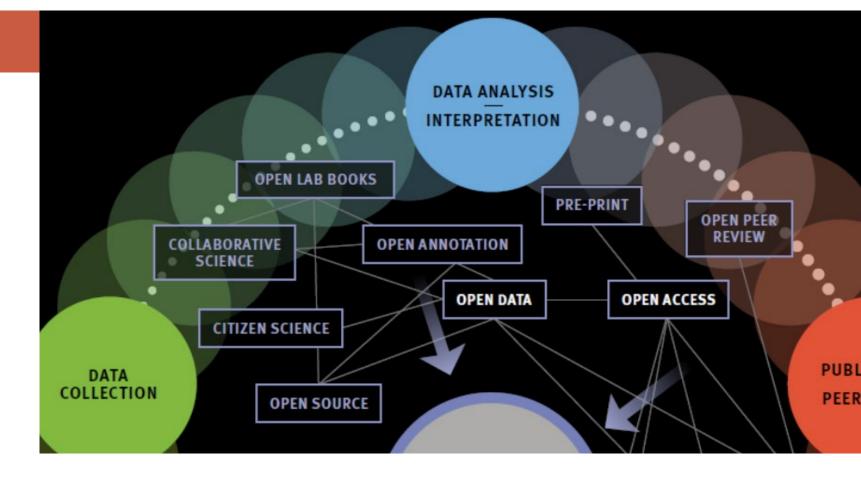




Open Access policy

Open Science

SNSF-funded researchers are obligated to Open Access and Open Data



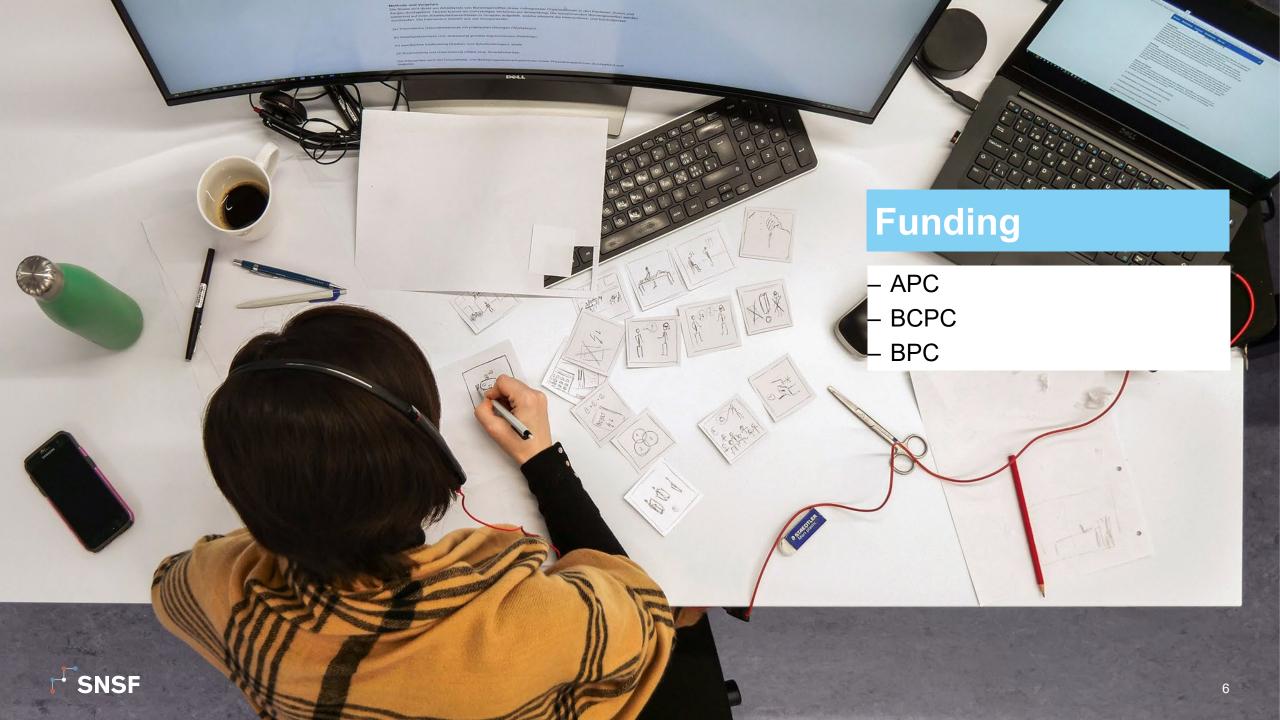


Open Access obligation

All scholarly publications resulting from SNSF-funded research must be Open Access

Articles	Books	
 Gold road 	 Gold road 	Funding of the publication costs
		(APC, BCPC, BPC)
 Green road (no embargo) 	 Green road (12 months embargo) 	
– Hybrid		
	 Diamond 	
 Diamond 		





Article Processing Charges (APC)

Requirements

- The article must be the output of an SNSF-funded research project.
- The article must be published in a fully (Gold) open access journal with peer review.
- Applications can be submitted by grantees or by members of the project team. One of them must be mentioned as author.
- The article must include an **acknowledgement** of SNSF funding.
- Co-Funding: The SNSF funded at least 50% of the research on which the article is based.

Submission via ChronosHub, anytime



Book Chapter Processing Charges (BCPC)

Requirements

- The book chapter must the output of an SNSF-funded research project.
- The book chapter must be published in a peer-reviewed anthology.
- The book chapter must be published immediately OA. During an ongoing transition period, the book chapter may also appear in an anthology that is not entirely made freely available.
- Applications can be submitted by grantees or by members of the project team. At least one of these persons must be listed as an author.
- The funding by the SNSF must be mentioned in the publication (acknowledgement).





Type of books

- Monographs (incl. doctoral theses and habilitations, legal commentaries and editions)
- Anthologies

No funding for:

- textbooks
- special editions of journals
- conference proceedings
- new editions
- translation

SNSF

Books can be funded regardless of whether they result from SNSF funded research.

Book Processing Charges (BPC) Eligibility criteria

Monographs

- Employment of at least 50% at a Swiss higher education institution at the time of application submission
- or: Publication results from an SNSF-funded project

Doctoral theses / Habilitations

- Employment of at least 50% at a Swiss higher education institution at the time of application submission
- or: Publication results from an SNSF-funded project
- or: Doctoral theses/Habilitation has been defended at a Swiss university

Anthologies

 Employment of at least 50% at a Swiss higher education institution at the time of application submission



Eligible costs

- Costs related to the production of the first digital copy:

Quality control incl. peer review; editing and/or proofreading of the manuscript; typesetting, layout and cover, image processing and image reproduction rights; marketing and distribution; provision of the conventional, digital and open access specific metadata; publication of the OA book on the publisher's website.

- Modular funding:

- Basic module: CHF 15'000
- Supplementary module (SM) higher number of characters: CHF 3'000 bzw. 5'000
- SM Layout and image rights: CHF 5'000
- SM Foregin language proofreading: CHF 5'000
- SM Enriched E-Book: CHF 5'000



Peer Review

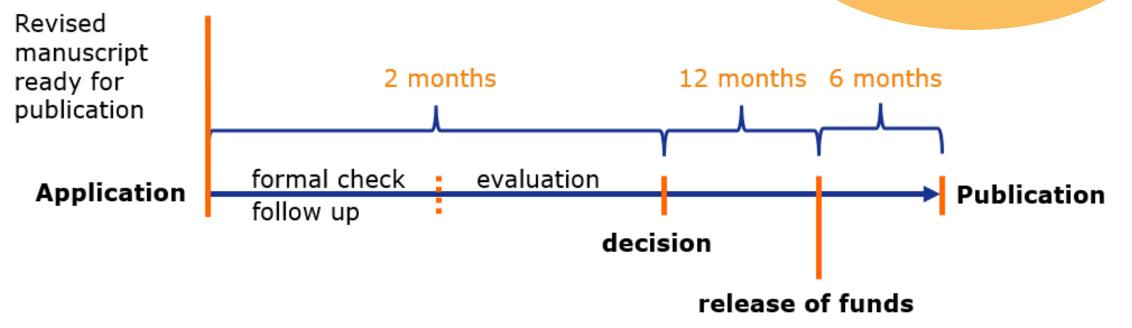
- The peer review process lies within the responsibility of the publisher.
- At least one independent, external and meaningful peer review must be submitted to the SNSF in written form.
- The peer review procedure must be based on the entire manuscript.
- In the case of edited volumes, the peer review must assess both the overall concept of the volume and each individual contribution.
- The peer review procedure must be documented by the publisher in a statement.

It is recommended to inform the publisher about the peer review requirements before submission.



Shortest time to publication: 2 months

Longest time to publication: 20 months





Process

Meta data

- The funded book publications must appear under a Creative Commons licence. The minimum requirement is the CC BY-NC-ND licence (creative commons: created by, non commercial, no derivative). The SNSF recommends the CC-BY licence.
- All publications funded with BPC are given a **DOI number** (Digital Object Identifier) by the publisher.





Most frequent "mistakes"

- Eligibility of the applicant
- Peer review requirements
- Publication before decision
- Publication format (target audience in practice or in education)

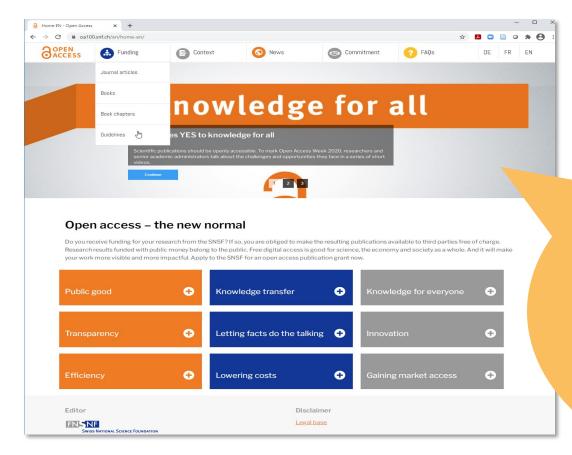
The SNSF finances Gold OA books whose main purpose is to publish **research results**, which have proven added scientific value, and which are primarily aimed at a **scientific target audience**.





Information & Contact

www.oa100.snf.ch



Regula Graf, regula.graf@snf.ch

General e-mail address for OA books: pub@snf.ch

Important documents:

- Regulations on the funding
- of Open Access publications
- <u>Guidelines for funding open</u> access book publications
 - <u>Guidelines for publisher</u> <u>concerning finances</u>