

Michele Bocchiola, Emanuela Ceva, Marta Giunta Martino

Accountable and trustworthy institutions? 17-18 March 2022

URL: https://www.unige.ch/entrust/



Outline

- 1. The problem
- 2. Operational institutions
- Operational institutions and institutional trustworthiness
- 4. Interpersonal trust and institutional trustworthiness
- 5. Endogenous institutional trustworthiness

1. The problem

Dysfunctional public institutions are not trustworthy

1. The problem

Dysfunctional public institutions are not trustworthy

"Exogenous" Perspective

- Looking at the properties of public institutions from an external point of view:
 - E.g., citizens towards representative public institutions

"Endogenous" Perspective

- Looking at the properties of public institutions from an internal point of view:
 - E.g., public officeholders towards their own institution

1. The problem

Dysfunctional public institutions are not trustworthy

"Exogenous" Perspective

- Looking at the properties of public institutions from an external point of view:
 - Citizens



 Trustworthiness = reaction of trust of those who interact with the institution from the outside

"Endogenous" Perspective

- Looking at the properties of public institutions from an internal point of view:
 - Officeholders



towards the working of a public institution that comes from those who occupy a role within it

2. Operational institutions

Public institutions

 a system of interrelated rule-governed roles (the offices) occupied by human persons (the officeholders)

Institutional operability

- public institutions are operational when the officeholders sustain by their interrelated conduct the working of the institution in keeping with the normative ideas that justify the establishment of the institution and regulate its working (the institution's raison d'être)
- the power mandates of each institutional role are designed in accordance with the institution's raison d'être,
- Therefore operational institutional action requires officeholders to use their normative powers of office in keeping with the terms of their mandate

3. Operational institutions and institutional trustworthiness

"Exogenous" Perspective

- "A trusts B to do X"
 - A = (some account of) the public
 - B = a public institution
 - X = the tasks the institution is meant to perform in conformity with its raison d'être



- Outward perspective
 - Example: "principal-agent" relation

"Endogenous" Perspective

 What grounds public officeholders' readiness to act in keeping with their mandate thus sustaining by their interrelated action the working of their institution?



- Inward perspective
 - Endogenous institutional trustworthiness

3. Operational institutions and institutional trustworthiness

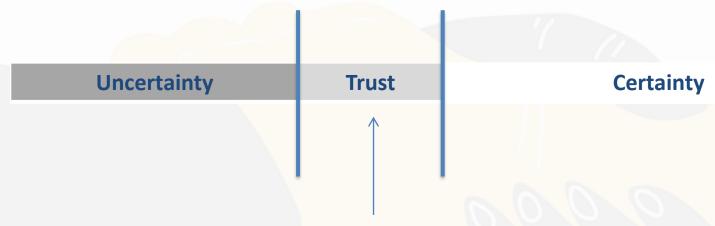
Endogenous perspective:

Officeholders must trust that

- every fellow officeholder uses their power of office in keeping with their mandate;
- 2. the ensuing officeholders' **interrelated action** can sustain the working of the institution.

A public institution that enacts such relations of trust among officeholders is endogenously trustworthy

Institutional trustworthiness



Endogenous institutional trustworthiness is the property of a public institution within which the officeholders are ready to act in keeping with their mandate thus sustaining by their conduct the working of their institution

4. Interpersonal trust and institutional trustworthiness

Interpersonal trust

- A trusts B to do x
- Trust
 - an (affective or cognitive) attitude
- Trustworthiness
 - a virtue of the person who elicits trust in others
 - a property of systems of relations where at least two persons are involved

Institutional trustworthiness

- Institutional framework
 - Distinct normative powers
- Special relations
 - relations between agents who interact in their institutional rather than personal capacity
- Multilateral relations
 - public officeholders are an interrelated group of agents
- Multiobject relations
 - each officeholder trusts that (a) the other officeholders will act in keeping with their mandate and, (b) in so doing their interrelated work can sustain institutional action
- Dependence
- Vulnerability

The interrelatedness of institutional roles creates a special kind of mutual dependence between public officeholders

- It makes them vulnerable to each other's conduct for the exercise of the normative powers they acquire by virtue of their institutional roles
- The failure of one officeholder exposes the others to failing their roles,
 and makes officeholders together fail institutional action
- Public officeholders are accountable to one another in a more specific sense than what we can see in general moral relations

 What grounds public officeholders' mutual trust that they, as an interrelated group of agents, can keep to their mandate, thus sustaining the working of the institution?

Personal grounds

- officeholders' personal affective attitudes or interests
- moral powers

Impersonal grounds

- officeholders' knowledge of their structural interdependence as per the interrelatedness of institutional roles
- office powers

- Second order commitment
 - All public officeholders have a general duty of office:
- The duty of office accountability
 - Officeholders' fundamental normative commitment to acting in such a way that puts them in the position of justifying the rationale of their conduct in keeping with their mandate
 - ↓ Grounds from the inside ↓
- Institutional trustworthiness
 - Relations of institutional trust as a multilateral relation of mutual dependency between public officeholders in virtue of their interrelated institutional normative commitment to office accountability. It creates vulnerability of public institutional action to the officeholders' interrelated conduct.

The trustworthiness of a public institution as the endogenous resultant of multilateral, multiobject, and interdependent relations of mutual accountability by which public officeholders attribute the capacity of being trust-responsive to each other.

This web of institutional relations makes public institutional action trustworthy from within and, therefore, resistant to institutional dysfunctions

Why does endogenous institutional trustworthiness matter?

- 1. To make sense of the complexity of institutional relations (of mutual accountability and trust) that ground the operability of a public institution from within,
- 2. To understand the source of the many reactive attitudes (of blame, disappointment, betrayal) that institutional dysfunctions may trigger between fellow officeholders.

1 and 2 offer

- a. a helpful diagnostic tool of institutional dysfunctions and
- b. the therapeutic motivation to call on officeholders for re-founding their trustful relations in response to such dysfunctions.

Endogenous institutional trustworthiness is a "work in progress" to which all officeholders are called to contribute.



Michele Bocchiola, Emanuela Ceva, Marta Giunta Martino

Workshop, Accountable and trustworthy institutions? 17-18 March 2022

URL: https://www.unige.ch/entrust/

