## 

#### The state of our inquiry –

## **Representing context for Negation**

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Joint Work with...



Ye Tian, Université Paris Diderot

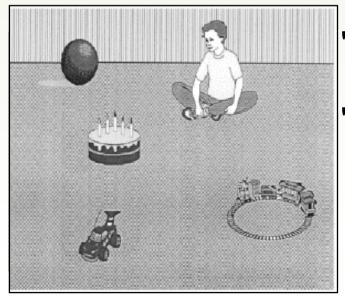


#### Outline

- Context in incremental processing
   A role for QUDs?
- Projecting context when processing negation
  - Positive and negative QUDs?
- Relation between QUD and data?

## Anticipation in Incremental Interpretation Altmann & Kamide (1999)

• 'Look and listen' paradigm

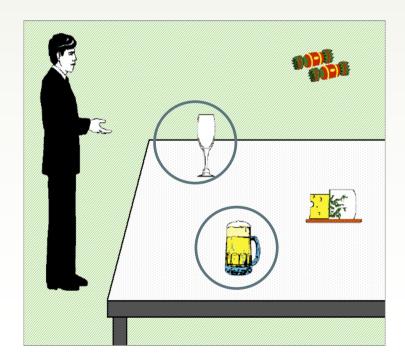


"The boy will move the cake"

"The boy will eat the cake"

## Ingredients of Incremental Interpretation: Compositional Semantics Altman & Kamide (2007)

- (1) The man will drink all of the beer.
  (2) The man has drunk all of the wine.
- Results show semantic composition in incremental interpretation – over and above simple associations



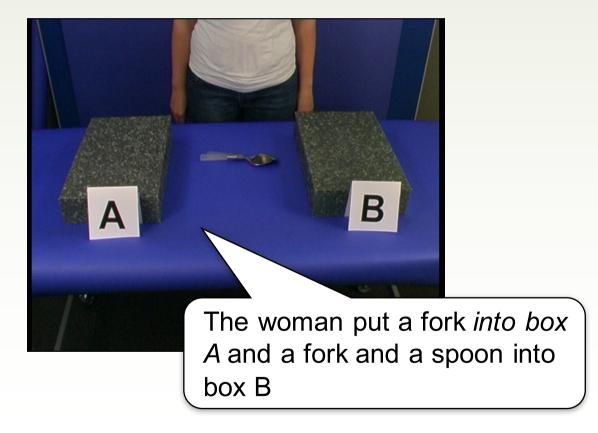


#### Background – A Role for QUDs in Language Processing?

- Quantity Implicatures
  - Breheny et al. (2013) show incremental access to Particularised Implicatures.

## Still of last frame of the video for the 'hearer'

Breheny, Ferguson & Katsos (2013)





## **Interim Summary**

- We find immediate access to Particularised Implicatures on-line
- Access not only constrained by linguistic cues.
  - Ignorance condition had same prosody.
- PCI access integrated into cue-based probabilistic comprehension systems.

#### Discussion

- Cue-based/Probabilistic automatic comprehension systems cannot simply associate forms with all contextual inferences (e.g. PCIs)
  - Models of automatic processing set up to *select* decision from pre-determined options
  - Implicatures are *generated* in context.
- Pragmatic theory can provide a guide to what is monitored
  - For Qls, this is
    - likely source of relevance,
    - alternatives,
    - speaker's epistemic state regarding these etc.



## **Incremental Dynamics**

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#### **Dynamics meets incremental interpretation**

- Interpretation is an information update process.
- Rich shared information structures
- Includes not only information to satisfy presuppositions but also information about likely *source of relevance* of utterance.
  - Describe using 'Question Under Discussion' (QUD).
  - No commitment to specific rhetorical structure in dialogue (cf Ginzburg 2012).



#### **Dynamics meets incremental interpretation**

- Incrementalism says that automatic processes take linguistic input together with information in utterance situation to yield (anticipatory) hypotheses about interpretation.
- Interpretation involves updating shared information.



#### **Dynamics meets incremental interpretation**

 Incrementalism says that automatic processes take linguistic input together with information in utterance situation to yield (anticipatory) hypotheses about *shared information update*.

## **A History of Negation Research**

- Negation is difficult but easier in context
  - For decades, psycholinguistic research has shown that processing negation is hard (takes longer, more errors) but it gets easier with context
  - Wason (1967), Clark & Chase (1972),...
- Processing widely assumed to proceed via the argument of negation:
  - John didn't cook the spaghetti

## **A History of Negation Research**

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- Processing seems to proceed via the argument of negation:
  - John didn't cook the spaghetti
  - > [John cooked the spaghetti]

## <sup>•</sup>UCL

## **A History of Negation Research**

- Negation is difficult but easier in context
  - For decades, psycholinguistic research has shown that processing negation is hard (takes longer, more errors) but it gets easier with context
  - Wason (1965), Clark & Chase (1972),...
- Processing seems to proceed via the argument of negation:
  - John didn't cook the spaghetti
  - > Not [John cooked the spaghetti]

#### **A History of Negation Research**

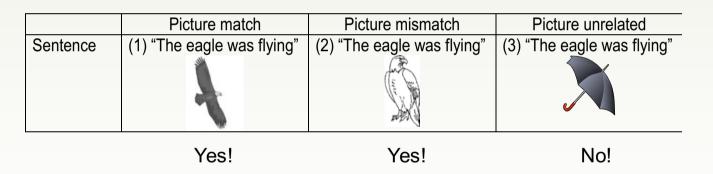
- Truth-functional approaches to negation (Clark & Chase 1972; Kaup et al 2006,2007) seek to account for cost/delay in terms of extra step of embedding under negation.
  - First represent the argument of negation, then 'negate' it.

 'Contextualist' approaches seek to account for cost/delay in terms of the need for a context for negation (Wason 1965, etc.)

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#### Kaup et al 2006, 2007

Based on visual probe recognition task. Say 'yes' if type of object in image is mentioned.



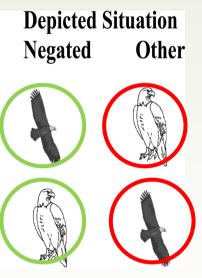
Advantage for 'matching' image with positive sentences

#### Kaup et al 2006, 2007

#### Experiments 1 and 2:

There was no eagle in the sky. The eagle was not in the sky.

There was no eagle in the nest. The eagle was not in the nest.



- At short SOA, advantage for 'mismatch' image for negative sentences
- At longer SOAs, advantage for match image.



## An Incremental-Dynamic Perspective Tian, Breheny & Ferguson (2010)

- Incremental processes probabilistically establish QUDs for utterance
- Assume: Without any further contextual information, the most likely QUD for a negative sentence is the positive polar question.



## An Incremental-Dynamic Perspective Tian, Breheny & Ferguson (2010)

• I.e. for 'The bird is not in the air', the QUD is *whether the bird is in the air.* 

Exceptions like, 'John is not happy' (*vs. not sad*) based on things like frequency.

## A Dynamic Perspective Tian, Breheny & Ferguson (2010)

- Assume: Situational representations (e.g. 'simulations') for context include source of relevance/QUDs.
  - I.e. some representation that means an answer to the QUD would be desirable.

## **DCL**

## **Rejection or Context Accommodation?**

	Match	Mismatch
Clefted:		1000
It was Jane who didn't cook the spaghetti		and and
Non-cleft:	and the second	83.63
Jane didn't cook the spaghetti	1	

#### **Rejection or Context Accommodation?**



- Manipulate QUD using clefting. Keep assertive content the same.
  - For clefted items, presupposition is 'Someone didn't cook the spaghetti', assume QUD is 'Which person didn't cook the spaghetti'
- Assume cleft items lead to accommodation of a negative presupposition/QUD.
- Predictions at short SOA (250ms):
  - Kaup et al:  $RT_{mismatch} < RT_{match}$  for both conditions
  - Tian et al: Interaction.  $RT_{mismatch} > RT_{match}$  for cleft condition



## John didn't iron his shirt.



SOA = 250ms







# It was John who didn't iron his shirt.



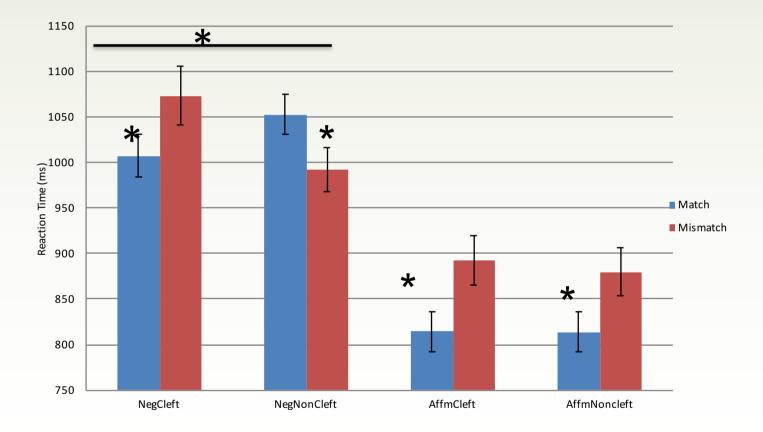
SOA = 250ms





## **DCL**

## Tian et al (2010)





## **Interim Summary**

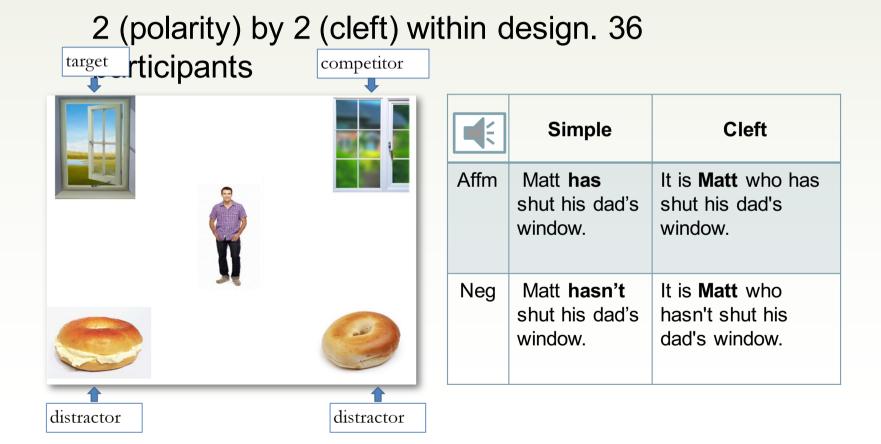
- We have some evidence that participants spontaneously accommodate source of relevance even for decontextualised experimental items.
- But positive context seems to be represented before negative state of affairs?
- Can negative content find representation in the same timecourse as positive?

## Move to Visual World

- Minimise secondary cost of negative viz a viz affirmative.
- Infer what state of affairs supports a sentence:
  - For affirmative, access relevant properties of soa from an interpretation of sentence structure.
    - 'John ironed the shirt'
  - For negative, an extra step of inference:
    - 'John didn't iron the shirt'



#### **Context accommodation on-line (Tian et al. 2016)**





## **QUDs, Negation and Clefting**

	Simple	Cleft
Affm	Matt <b>has</b> shut his dad's window.	It is <b>Matt</b> who has shut his dad's window.
QUD	Has Matt shut his dad's window?	Who has shut their dad's window?
Neg	Matt <b>hasn't</b> shut his dad's window.	It is <b>Matt</b> who hasn't shut his dad's window.
QUD	Has Matt shut his dad's window?	Who hasn't shut their dad's window?



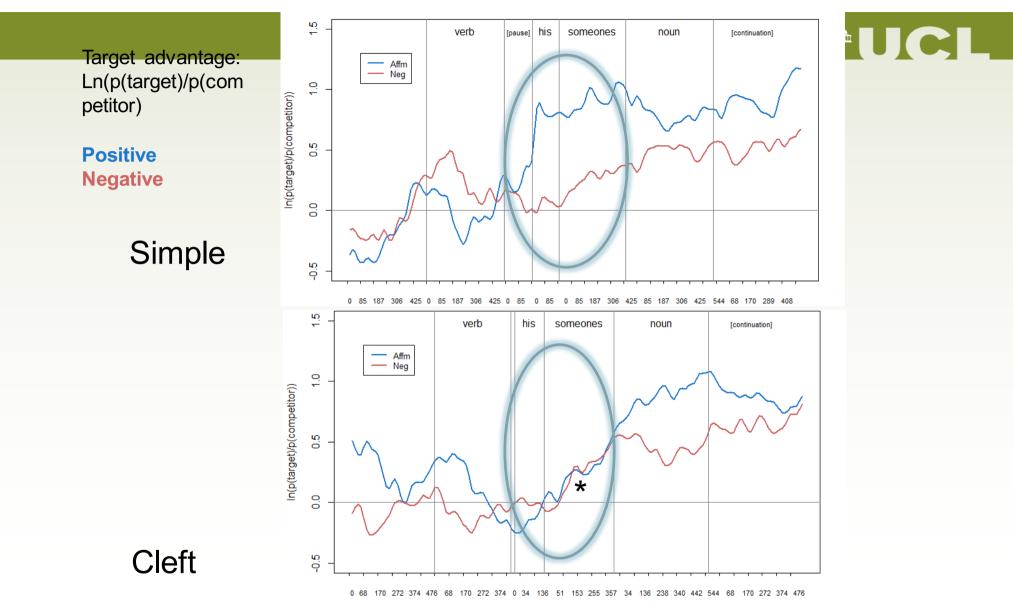
## **QUDs, Negation and Clefting**

	Simple	Cleft
Affm	Positive sentence	Positive sentence
QUD	Positive QUD	Positive QUD
Neg	Negative sentence	Negative sentence
QUD	Positive Que ct	Negative QUD



#### **Predictions**

- For simple sentences, more interference from the competitor for negative compared to positive sentences.
  - Due to positive QUD accommodation
- For cleft sentences, no more interference for negatives than positive sentences.
- Negation is incorporated incrementally: more looks to target before onset of noun, at least in the cleft case.



time in ms(resynchronized by word)

## **DCL**

#### Discussion

- Cleft condition shows that when we control for QUD accommodation, the time course for processing negatives is the same as positives.
- Simple negatives take longer to process than their positive counterparts due to QUD interference.
- Our results show that QUDs are incorporated incrementally
  - Negation also! Rather than after the argument is processed



#### A Role for Context in QUD accommodation



The star is above the cross



**True Affirmative (TA)** 



The cross is above the star



**False Affirmative (FA)** 



The cross is not above the star



**True Negative (TN)** 



The star is not above the cross



**False Negative (FN)** 



- All studies find ME of polarity
- Many studies find interaction effect:
  - TA < FA < FN ≤/≈ TN



• Insight: Pattern can be explained as joint effect of 'negation time' and 'verification time'.

#### Verification Procedure - TN Clark & Chase (1972), Carpenter & Just (1975)

- Translate sentence and picture into propositional format
- Set response at default, 'True'
- Compare most embedded representation. Switch to 'False' if mismatch.
- Compare next most embedded operator, switch response if mismatch.
- RT reflects number of switches

- Image = A(s,c);  $S = \neg A(c,s)$
- A(s,c) vs. A(c,s) FALSE!
- Aff. vs. Neg\_\_\_\_TRUE!

#### Verification Procedure - FN Clark & Chase (1972), Carpenter & Just (1975)

- Translate sentence and picture into propositional format
- Set response at default, 'True'
- Compare most embedded representation. Switch to 'False' if mismatch.
- Compare next most embedded operator, switch response if mismatch.
- RT reflects number of switches

- Image = A(s,c); S =  $\neg A(s,c)$
- A(s,c) vs. A(s,c) no switch
- Aff. vs. Neg\_\_\_\_FALSE!

#### **Verification Procedure?**

- No real reason why this should be the procedure.
- FA and FN have the same amount of switches but different latencies. (FA < FN)</li>
- Need extra assumption that 'negation time' is longer than 'falsification time'
  - No motivation for this.
  - In fact, it seems easier to compare polarities (1 bit) than embedded propositions (2-3 bits)

#### **Doing Verification Task Straight**

- Task QUD: ?True(utterance content)
- Assume extra costs to infer negative state.
- Assume there is a greater cost for falsification than verification.
  - Given data for positives.
- Predict only MEs of polarity and TV!
   E.g. TA < FA INTN < FN</li>



#### **'Classic' Strategy?**

- The classic interaction effect is not always obtained.
- In C&C and C&J and elsewhere, studies are very long and repetitive with very long training phases (training data not analysed).
- We conjecture that the interaction is the result of participant strategies



#### **'Classic' Strategy Results from Dynamic Pragmatic Processes**

- Task QUD: ?True (utterance content)
  - So, participants need to interpret the utterance prior to task.
- As per above studies, assume that participants project positive polar question as most likely QUD.
  - I.e. ?S(s,c) for both 'The star is above the cross' and 'The star is not above the cross'.



#### **'Classic' Strategy Results from Dynamic Pragmatic Processes**

- We conjecture that there is an interference from the utterance QUD in this task.
- As a result, participants form a strategy to respond to utterance QUD and, in the case of negation, more or less consciously switch responses.

#### **Verification Strategy for 'Classic' Interaction Pattern: TN**

- 'The cross is not above the star'
- Project QUD: ?A(c,s)
- Answer 'no' by inferring situation of image supports negative proposition. (Falsification time)
- Switch answer because sentence is negative (Negation Time).



#### **Verification Strategy for 'Classic' Interaction Pattern: FN**

- 'The star is not above the cross'
- Project QUD: ?A(s,c)
- Answer 'yes' by inferring situation of image supports positive proposition. (Verification time)
- Switch answer because sentence is negative (Negation Time).





#### **'Classic' Strategy?**

- On our account, both TN and FN involve extra 'negation time' due to strategy.
- Assuming falsification time is longer than verification time, FA > TA and TN > FN.
- We explain both the ME of negation and the interaction in classic studies in terms of indirect strategy.

#### (Tian & Breheny, 2015, in prep)

- We predict that participants change their behaviour in the course of an experiment from simple ME pattern to interaction pattern.
- We predict that altering the utterance QUD will eliminate this strategy.

# **DCL**

#### Design

Between Groups	Conte xt	Sentence	Image	Туре
	1 Item	The banana is peeled		TA
				FA
		The banana isn't peeled		TN
				FN
	2 Item	The banana is peeled		ТА
				FA
		The banana isn't peeled		TN
				FN

2\*2\*2 Mixed design - Context a between groups factor



#### QUDs

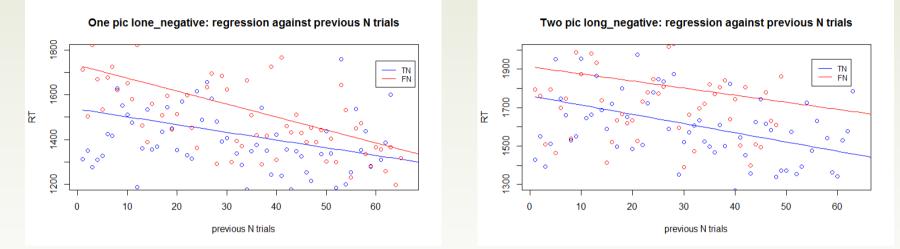
Contex t	Sentence	Task QUD	Utterance QUD
1 Image	The banana isn't peeled	Is it true that the banana isn't peeled?	Is the banana peeled
2 Image	//	//	Which one isn't peeled

#### **Methods and Results**

- Sentence-picture verification (80 participants, 146 trials in total).
- Sentence presented first.
- Half the participants saw one-item pictures and had simple sentences as fillers, while the other half saw two-item pictures and had cleft sentences as fillers.
- Both groups had the same experimental sentences.

#### **Methods and Results**

- Overall we found a main effect of polarity and truth value in both 1-item and 2-item groups (Fs>16.85, ps<0.01).
- Combining 1 and 2-item groups, there was a significant 4 way (2(picture context) x 2(half) x2(polarity) x 2(truth value)) interaction (F(1,78)= 10.41, p=0.002).
- We found a training effect in the one-item group: interaction only emerged in the second half of the experiment (F (1,39) =6.70, p=0.01).
  - No differences in 2-item group between stages.



- The predicted change of behaviour occurred in 1-picture context.
  - Participants got relatively better at FN items.
- No change in 2-picture context.



#### Discussion

- In a verification task, there are two stages:
  - i. interpret the sentence,
  - ii. judge if it is true.
- QUD accommodation for stage (i) explains a lot of the difficulty in verification tasks.
  - Difficult to ignore or 'turn off' QUD accommodation in spite of the actual task demand.
- Suggests QUD accommodation 'automatic'



#### **Interim Summary from Negation Research**

- QUD accommodation occurs in the same timecourse as inferring sentence content.
- QUD accommodation is automatic

# **DCL**

#### **Summary from Negation Research**

#### Different factors affect QUD accommodation

- Sentence form/semantic properties
  - clefting, focus (watch this space)
- Situational context
- Frequency
  - Mary is not sad vs. Mary is not happy

#### **QUDs in Language Processing**

- QI and Negation studies show evidence for incremental access to inferences about likely source of Relevance.
- Do we really represent source of Relevance in terms of QUDs?
- What does it mean to represent a QUD?
- What do QUDs have to do with question interpretation?
  - What is the difference between:
    - Did Matt shut his dad's window?
    - Did Matt not shut his dad's window?



#### Aims

- Explore the time course of processing for positive and negative questions using visual world paradigm.
- Explore time course of response particles, 'yes' and, 'no'.

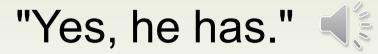


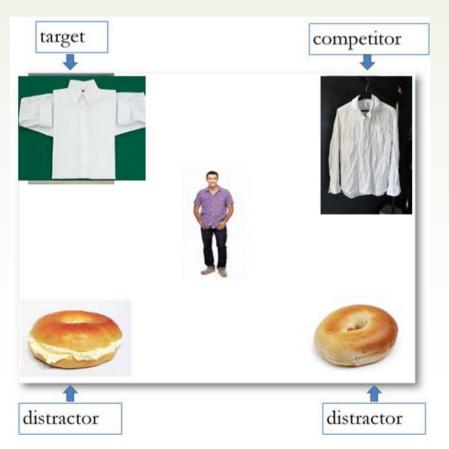


# Our experiment – visual world eyetracking

positive		negative		Question	Answer
	Ŵ		Posi tive	Has John ironed his father's shirt?	Yes, he has. No, he hasn't
			High -neg	Hasn't John ironed his father's shirt?	
distractor		distractor	Low - Neg	Has John not ironed his father's shirt	

# "Has John ironed his father's shirt?"







# "No, he hasn't."





# Our experiment – visual world eyetracking

positive		negative		Question	Answer
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#### **Our experiment – visual world eyetracking**

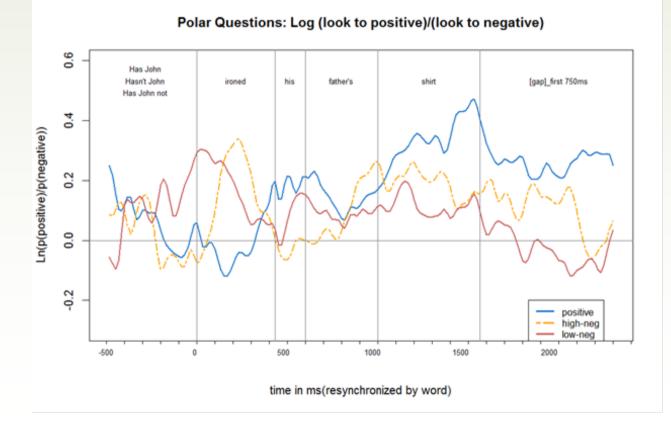
- 42 experimental sentences (3 conditions, 14 each), plus 14 positive fillers.
- 1.5 second preview time. Then the audio starts. Between the question and the answer, there is a 1.5 second gap.
- Participants press a key that corresponds to the correct picture after they've heard the answers. The trial is terminated as soon as they press the response.
- The eye movements and responses are recorded.



# Results – Question phase

### **DCL**

#### **Results – Question Phase**

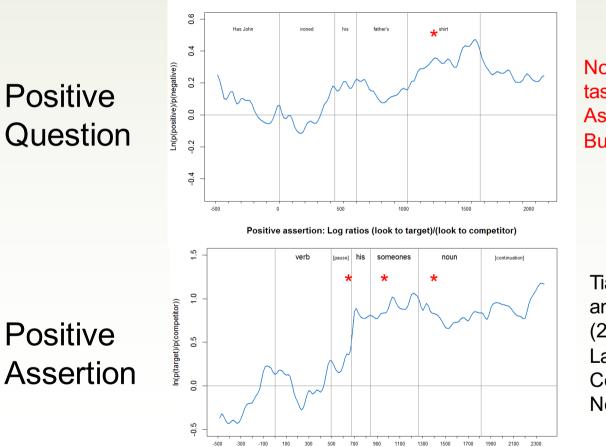




# Results – Early timecourse

# **DCL**

#### **Comparison with positive assertion**



Positive polar question: Log ratios (look to positive)/(look to negative)

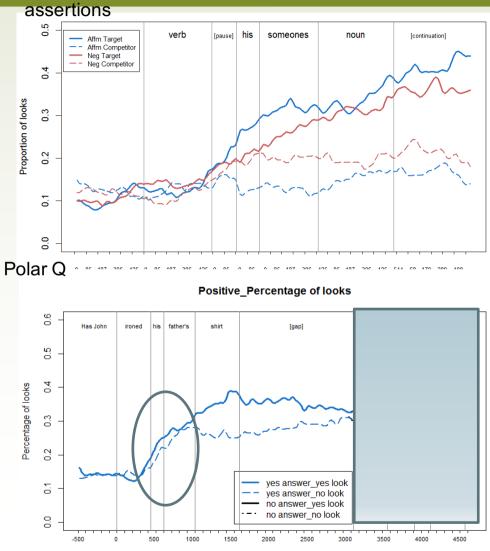
Note: Different task for Assertion data! But same items

Tian, Ferguson and Breheny, (2016), Language Cognition and Neuroscience

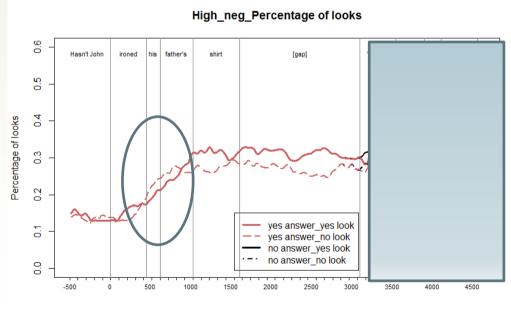
time in ms(resynchronized by word)

# <sup>±</sup>UCL

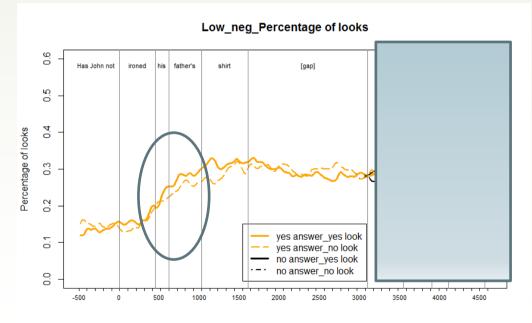
 Delay in bias formation implies prolonged inspection of negative state of affairs for positive questions.



 Delay in bias formation implies prolonged inspection of positive and negative state of affairs for high-neg questions.



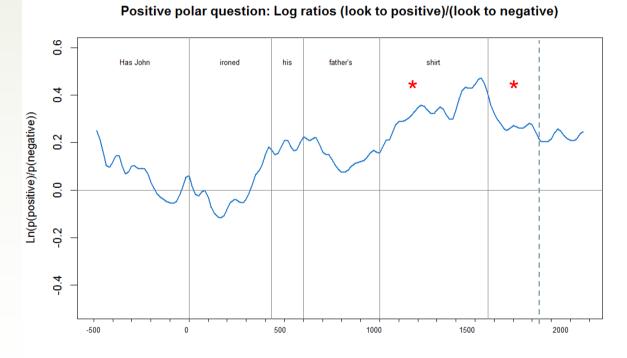
 Delay in bias formation implies prolonged inspection of positive and negative state of affairs for low-neg questions.



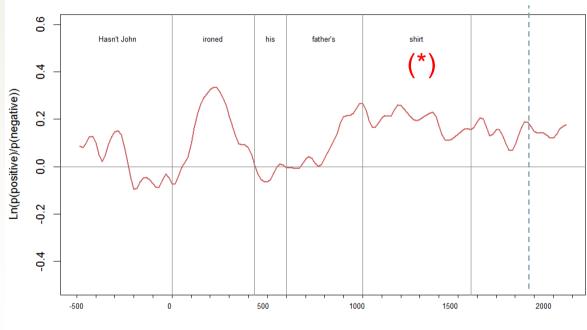


# Results – Late timecourse

### **Question Phase: Positive**

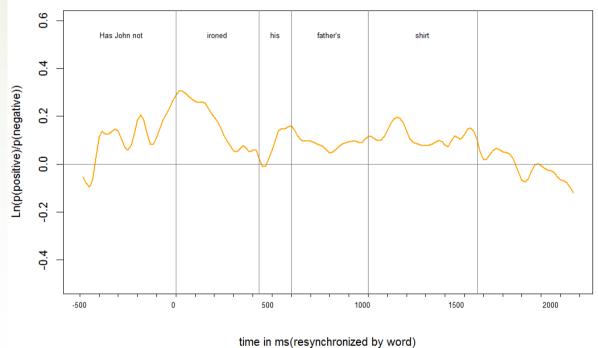


### **Question Phase: High neg**



High neg polar question: Log ratios (look to positive)/(look to negative)

### **Question Phase: Low neg**



Low neg polar question: Log ratios (look to positive)/(look to negative)

### **Results for each condition**

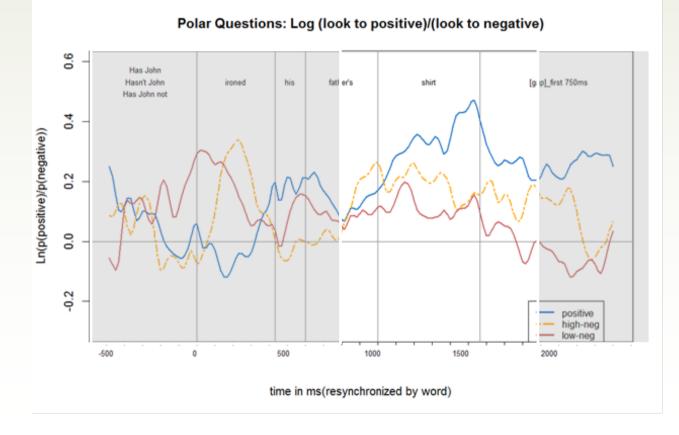
Mixed effect models on natural log ratios of looks to the positive and negative pictures. The looks are averaged per trial for a region. The random effects are subjects and item, the fixed effect is condition.

Ln(positive/nega		p values				
Period	Positive	High- neg	Low- neg	Pos	High Neg	Low Neg
Noun	0.5	0.22	0.13	p<0.001 *	(p=0.06* )	n.s.
gap 0-750ms	0.5	0.21	0.02	p=0.01	n.s.	n.s.
gap 750-1500ms	0.24	0.2	-0.08	n.s.	n.s.	n.s.

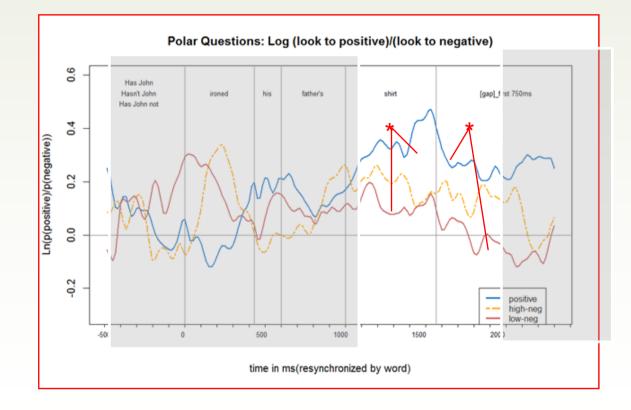


# Results comparing three conditions

### **Results – Question Phase**



### **Results – Question Phase**



### Results – comparing pos, high and low neg

Mixed effect models on natural log ratios of looks to the positive and negative pictures. The looks are averaged per trial for a region. The random effects are subjects and item, the fixed effect is condition.

Ln(positive/nega						
Period	Positive	High- neg	Low- neg	pos vs. high	pos vs. Iow	high vs. Iow
Noun	0.5	0.22	0.13	p=0.10	p=0.049 *	p=0.73
gap 0-750ms	0.5	0.21	0.02	p=0.09	p=0.01*	p=0.42
gap 750-1500ms	0.24	0.2	-0.08	p=0.71	p=0.09	p=0.18



### **Summary Q- Phase**

- Experimental procedure allows for rapid discrimination of positive and negative states.
  - No cost of inferring negative soa.
- We show rapid attention to **both** positive and negative images in all question forms.
- Late bias to positive image for Positive and High Neg
  - Surprising given equal likelihood for upcoming 'yes'/'no' answer

### Follow up – control for task effect

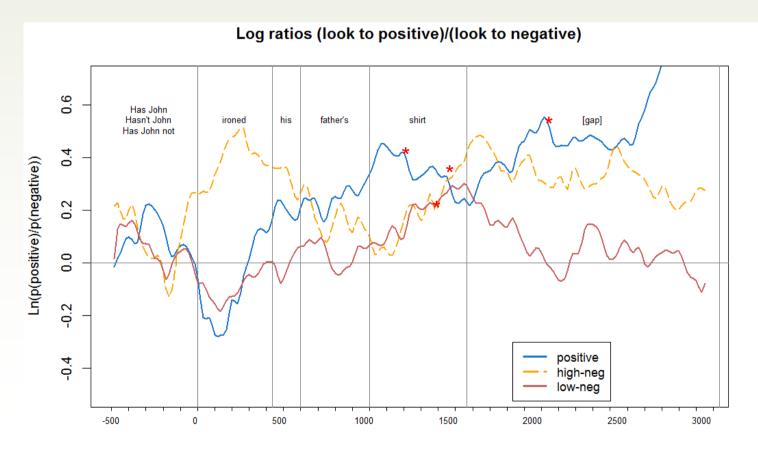
- Experimental procedure allows for rapid discrimination of positive and negative states.
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- We show rapid attention to **both** positive and negative images in all question forms.
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### Follow up – 'Look and listen' to control for task effect

- Same materials as the first study.
- Instead of having to click the picture corresponding to the answer, in the followup, the participants just listen to the question-answer pairs and look at the screen.
- A third of the trials are followed by a comprehension question to check that the participants are paying attention.

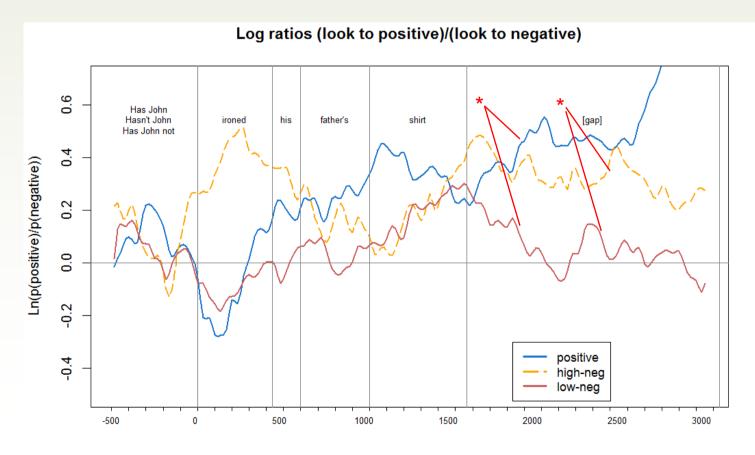
### 'Look and listen' follow up – similar results



### 'Look and listen' follow up – similar results

			ironed	his	father's	shirt?	gap
Compared to ze	ero						
	positive	n.s.	n.s.	n.s.	positive bias, p<.001	positive bias, p=0.01	
	high-neg	n.s.	n.s.	n.s.	trending positive bias, p=.06	n.s.	
		low-neg	n.s.	n.s.	n.s.	positve bias, p = .02.	n.s.
Pairwise comp	arison					Ln-ratio positive significantly higher than low-neg (p= .03)	Ln-ratio positive high/neg significantly higher than low-neg (p= .03)

### 'Look and listen' follow up – similar results



### **Summary Q- Phase**

- Experimental procedure allows for rapid discrimination of positive and negative states.
  - No cost of inferring negative soa.
- We show rapid attention to **both** positive and negative images in all question forms.
- Late bias to positive image for Positive and High Neg



### Discussion

- Evidence suggests processing question form can evoke representations of both positive and negative states
- Late positive bias for positive question *in spite of equal likelihood of 'yes'/'no' in answer phase.*
- Suggests positive questions strongly evoke representations of positive state of affairs.



### **Discussion**

• Polar question study consistent with our conjecture that effects of negation in lab could be due to projection of positive 'QUD'.



### But what could explain late bias?

- Frequency of response?
- Traditional taxonomy of usage (Gunlogson & Buring, 2000; Sudo, 2013)?
- State of inquiry



# **CORPUS STUDY**



# Percentage of different polar Qs

Polar Questions						
Positive	3733	96.21%				
High neg (outside reading)	132	3.40%				
High neg (inside reading)	6	0.15%				
Low neg	9	0.23%				
Sub-total	3880	100%				
Declarative polar questions						
Positive	1016	83.87%				
negative	210	17.13%				
Sub-total	1226	100%				
All						
Positive	4749	94%				
all negatives	357	7%				
Total	5006					

Switchboard Dialog Act Corpus



### Percentage of different polar Qs

Polar Questions						
Positive	3733	96.21%				
High neg (outside reading)	132	3.40%				
High neg (inside reading)	6	0.15%				
Low neg	9	0.23%				
Sub-total	3880	100%				
Declarative polar questions						
Positive	1016	83.87%				
negative	210	17.13%				
Sub-total	1226	100%				
All						
Positive	4749	94%				
all negatives	357	7%				
Total	5006	1:20				
Total assertions:	101,573	(polar Q : assertion)				

Switchboard Dialog Act Corpus: Polar Q

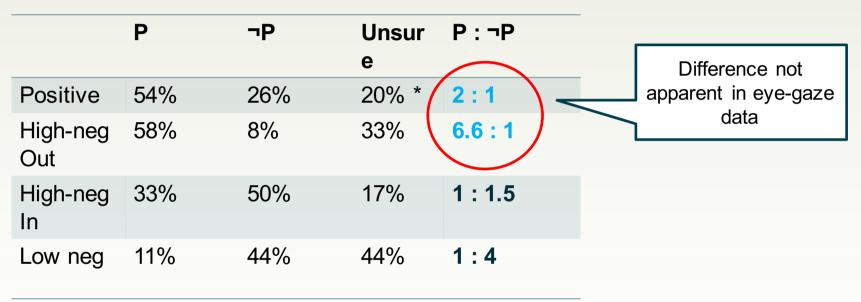
75145 statement nonopinion + 26428 statement opinion

### Probabilities of *P* vs. ¬*P* answers

	Ρ	¬Р	Unsure	P : ¬P
Positive	54%	26%	20% *	2:1
High-neg Out	58%	8%	33%	<b>6.6</b> :1
High-neg In	33%	50%	17%	1 : 1.5
Low neg	11%	44%	44%	1:4

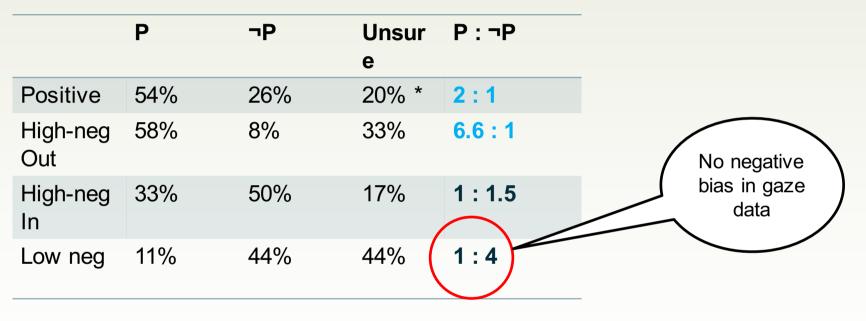
\* Sample estimate

### Probabilities of *P* vs. ¬*P* answers

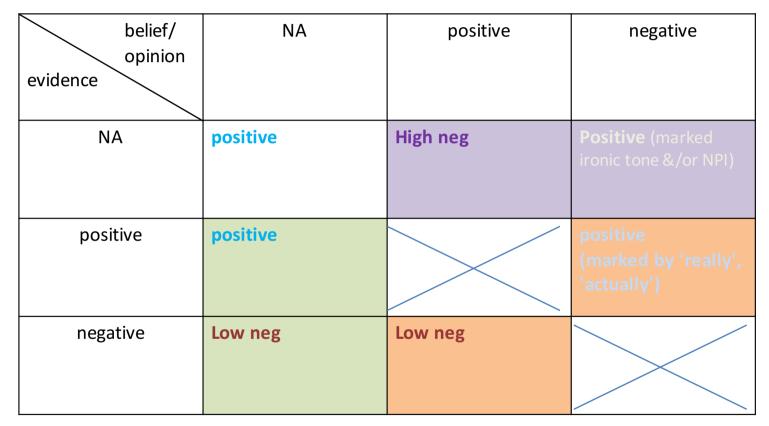


Does not square with late bias results.

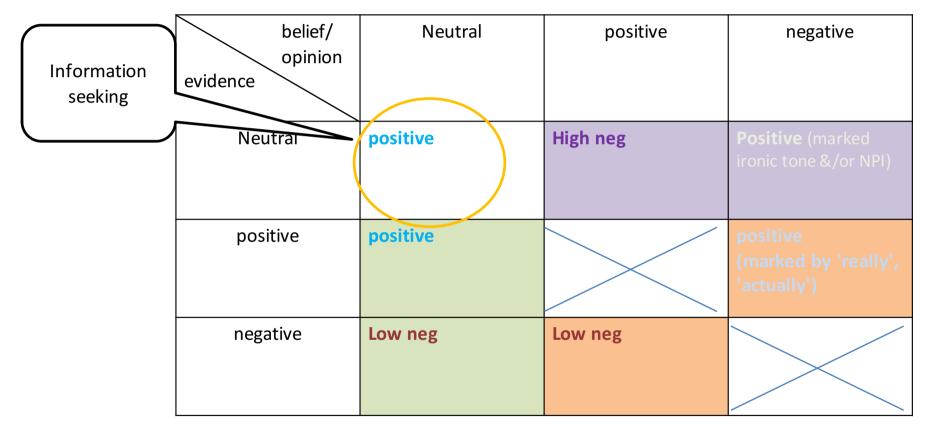
### Probabilities of *P* vs. ¬*P* answers



Does not square with late bias results.



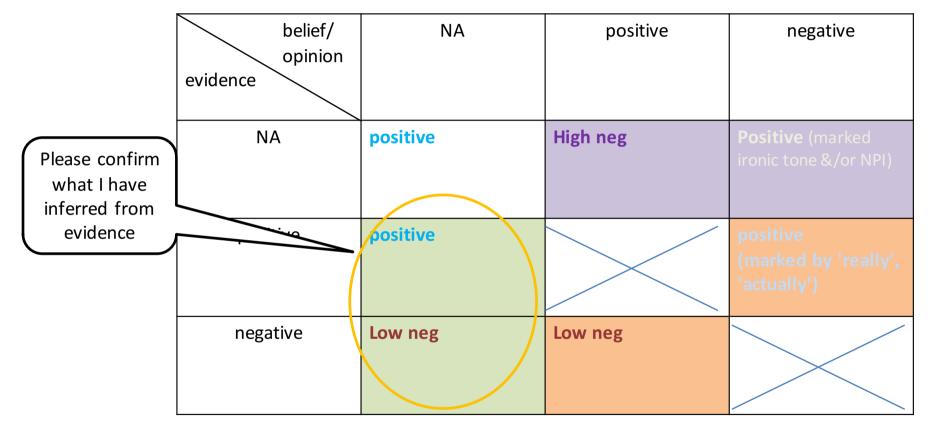
(Gunlogson & Büring, 2000), Sudo (2013)



(Gunlogson & Büring, 2000), Sudo (2013)



- Information seeking:
  - Have you been to Geneva before?
- No prior expectation, no evidence



(Gunlogson & Büring, 2000), Sudo (2013)



- Information seeking:
  - Have you been to Geneva before?
- Please confirm what I have inferred from *positive* evidence:
  - (saw you after Christmas): Have you put on weight?

# <sup>•</sup>UCL

negative

# What question do we use? NA positive High neg positive Low neg Low neg

- High-neg where speaker has positive belief/opinion, neutral evidence (cf Romero & Han, 2004).
  - Explains gaze bias?
- Unmarked positive questions in cases where speaker has neutral belief/opinion.
  - Epistemic stance does not always explain bias



negative

positive

# What question do we use? NA pos NA positive High neg positive positive Low neg Low neg

- Unmarked positive questions in cases where speaker has neutral or positive evidence.
  - Sufficient to explain stronger positive bias?
- Neutral questions less marked

### **Speculation – State of Inquiry**

- *?P* and *?¬P* have same denotations.
- Wondering about P is different from Wondering about not P
  - Due to 'confirmation bias', we prioritise search for confirming evidence for the target of inquiry.
  - Search for disconfirming evidence has lower priority.
- Cf. Carnap on Questions vs. Topics
  - Russell (2006)



### thanks to...



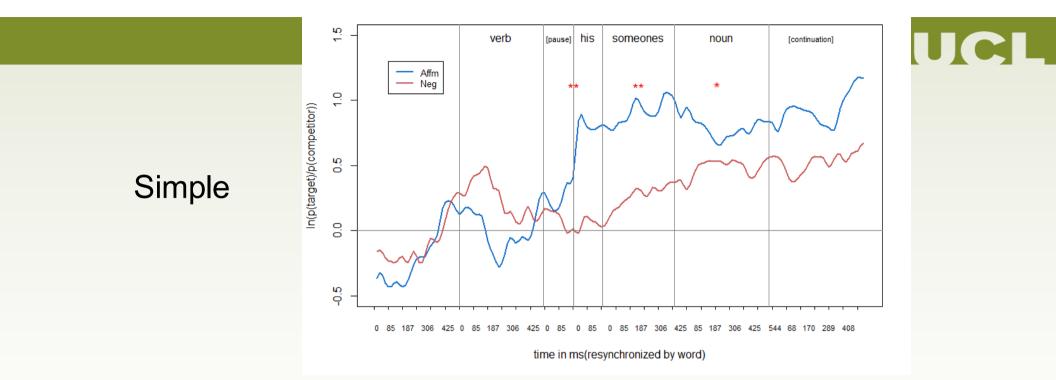


Napoleon Katsos

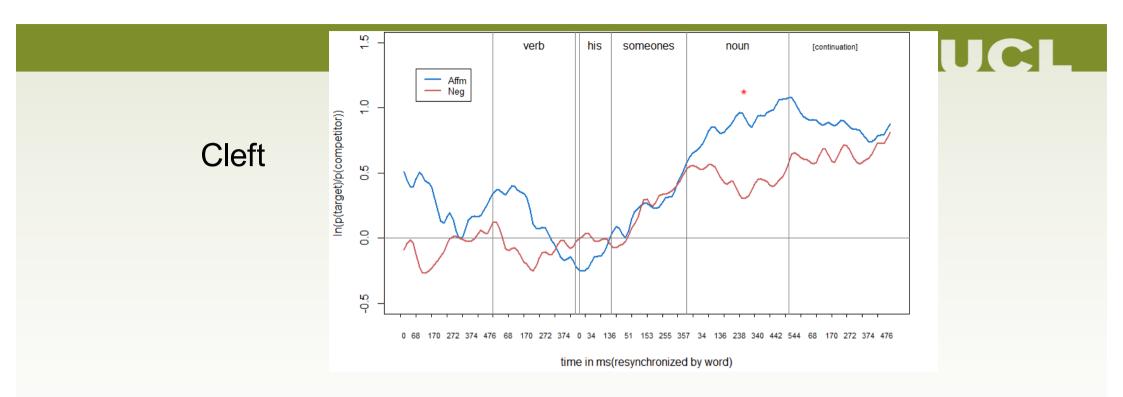
Heather Ferguson



Chao Sun



- For simple positives, looks to target but not competitor rises after the verb.
- For simple negatives, looks to both rises, and they only start to diverge in "dad's" region.
- In post-verb pause and "his" region, as well as "someone's" region, there is a significant difference between simple positive and simple negative (paired ttest on Ln(p(target)/p(competitor)), all ts>2.8, all ps<0.01).</li>

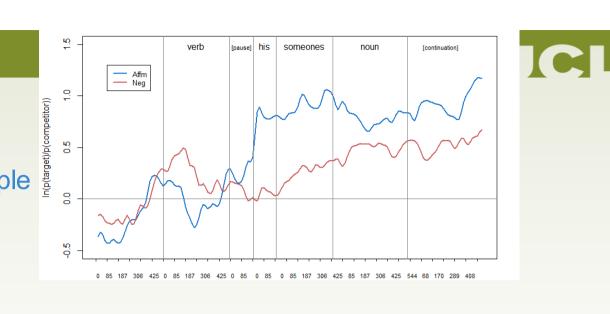


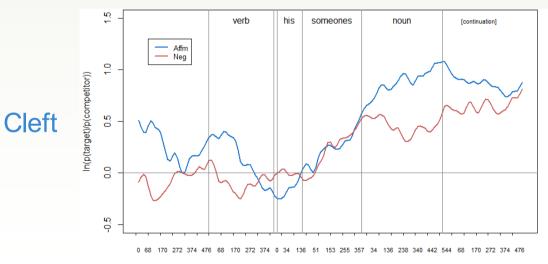
- cleft positive and negatives behaved the same immediately after the verb (all ps>0.4).
- Looks to both target and competitor rises, but start to diverge in "someone's" region.

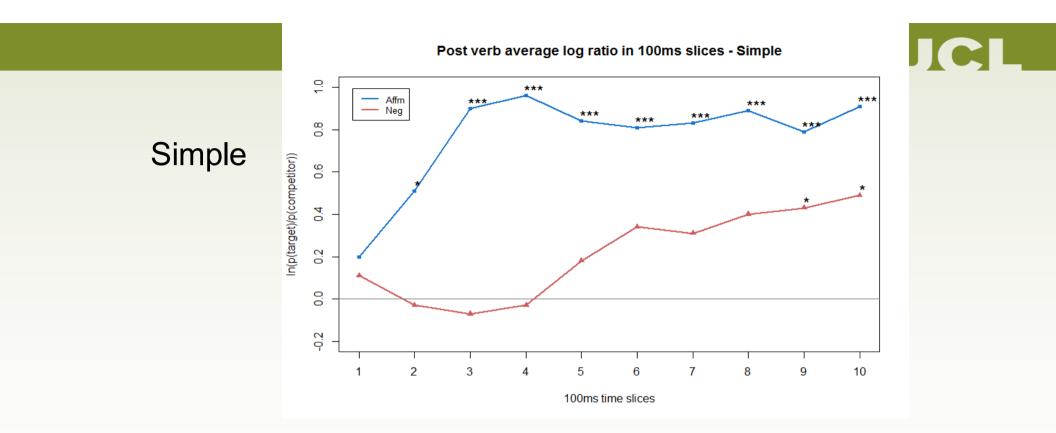
n(p(target)/p(cc

ANOVA shows a Simple significant polarity \* cleft interaction in a fixed post verb region.

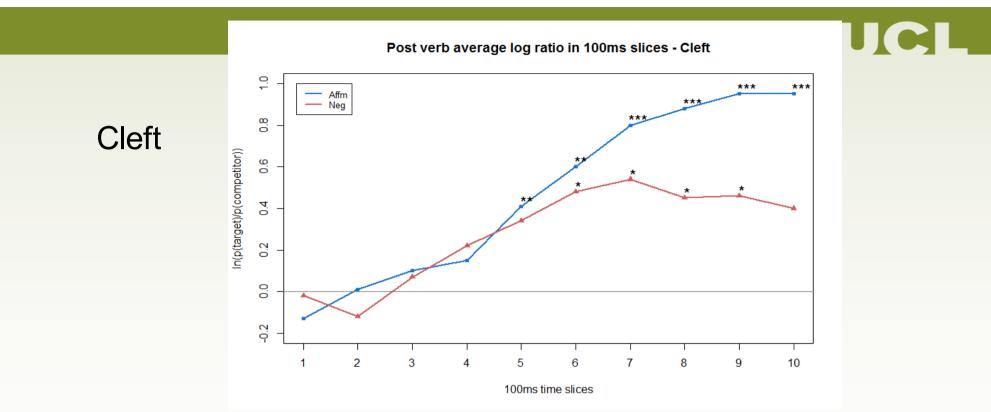
F<sub>1</sub>(1,35)=8.19, p=0.007. F<sub>2</sub>(1,38)=6.16, p=0.018.







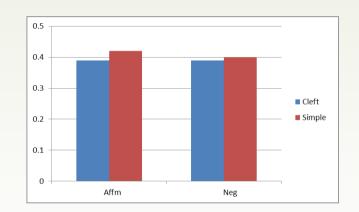
- Time course analysis: 100ms time slices post verb
- Asterisks indicates that the value is significantly different from zero by both subject and item.
- For simple, target bias became significant in the 9<sup>th</sup> time slice (trending in 7<sup>th</sup> and 8<sup>th</sup>.



- Time course analysis: 100ms time slices post verb
- For cleft target bias became significant in the 5<sup>th</sup> time slice for positives, and in the 6<sup>th</sup> for negatives (trending in the 5<sup>th</sup>).
- Importantly, target bias was formed **faster** in cleft negatives than simple negatives, despite the fact that cleft negatives are structurally more complex.

### No 'Inhibition' in Looks to verb-able objects





Cf MacDonald & Just 1989