

The cartography of subject positions in copular constructions¹

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1 Present-tense copular sentences in Hebrew

Copular sentences are formed with copular 'be' in past and future tense clauses, (1)a,b, but without a copular verb in the present tense, (1)c.

- (1) a. Dani haya more / xaver-i ha tov.
D. was teacher / friend-my the good
'Dani was a teacher / my good friend.'
- b. Dani yhye more / xaver-i ha tov.
D. will be teacher / friend-my the good
'Dani will be a teacher / my good friend.'
- c. Dani more / xaver-i ha tov.
D. teacher / friend-mine the good
'Dani is a teacher / my good friend.'

In English, such copula-less sentences can only occur in embedded contexts:

- (2) I consider Dani a teacher/my good friend.

They lack the functional structure of independent clauses:

- (3) *I believe that Dani a teacher/ a good friend.

The Hebrew copula-less sentences occur as independent clauses.

- (4) ani ma'amin še...(1)c.
I believe that

So in Hebrew, the clausal architecture is projected.

1.1 Is the present tense copula simply not phonetically realized?

- (5) Two possibilities
- a. 'Bare' copular sentences contain a phonetically-null, morphosyntactically-conditioned (suppletive) variant of BE. ✗
- b. There is no verb but some sort of T. ✓
(Doron 1983; Rapoport 1987; Rothstein 1995; Shlonsky 2000).

¹ I started to work on this topic in 1998 and wrote Shlonsky (2000). The present talk is based on that paper but incorporates parts of Rizzi & Shlonsky (submitted) and Roy and Shlonsky (to appear), as well as sections that didn't make it into these papers. This said, **the only person responsible for what you are about to hear is I.**

Merge of Pol forces the subject to raise to Spec/SUBJ. Let us now consider cases where merge of SUBJ is independent of a tight link to Pol and is motivated by properties of the subject phrase itself. Copular sentences like (1)c can be 'bare', but there are other types of copular sentences that cannot be bare. For such copular sentences to be grammatical, some functional material must appear between the two terms of the copular construction (Shlonsky 2000). Examples of such sentences appear in (19) and (20). In the grammatical (a) examples, the negative particle *lo*, the emphatic affirmation particle *ken* and adverbs meaning 'of course', or 'certainly' appear between the two terms of the copular sentences. The (b) cases show that as 'bare' sentences, such copular sentences are ungrammatical. The first pair illustrates generic statements with a generic bare plural subject and the second a type-referring bare singular subject.

(19) a. 'orvim lo/ken/bevaday/betax šxorim.
ravens neg/yes/of course/certainly black
 'Ravens are not/ARE/of course/certainly black.'

b. *'orvim šxorim.
ravens black
 'Ravens are black.'

(Greenberg 1998; 2002)

(20) a. namer lo/ken/bevaday/betax nadir be arc-enu.
tiger neg/yes/of course/certainly rare in country-ours
 'Tigers/the tiger is/are not/IS/ARE/of course/certainly rare in our country.'

b. *namer nadir be arc-enu
tiger rare in country-ours

'Tigers/the tiger is/are rare in our country.' (Doron 2003)

An interim conclusion that we can draw at this point is that Hebrew makes available two halting specs in copular constructions, a low position akin to or perhaps identical to the small-clause-related subject position found in English small clauses, subj, and a higher position in the clausal skeleton, SUBJ.

Generic subjects *must* appear in SUBJ, while non-generic ones may.

4 Inverse copular constructions

Assuming, then, that (1)c is derived by moving *Dani* from Spec/Pred to Spec/Subj and (19)a and (20)a by moving 'ravens' and 'tiger', respectively, or to a higher subject position, we now ask why (21), which superficially involves a reversal of the position of the two constituents of the small clause, is ungrammatical.

(21) *xaver-i ha tov Dani
friend-my the good Dani
 'My good friend is Dani.'

- (22) ..._[subjP] xaver-i ha tov [_[PredP] ~~xaver i ha~~ tov [Pred Dani]]
friend-my the good Dani
- (23) ..._[subjP] xaver-i ha tov [_[PredP] Dani [Pred ~~xaver i ha~~ tov]]
friend-my the good Dani
- (24) *xaver-i ha tov lo/ken Dani
friend-my the good not/yes Dani
 'My good friend is not /IS Dani.'
- (25) *..._[SUBJP] xaver-i ha tov [_[PolP] lo/ken ...[Dani Pred ~~xaver i ha~~ tov]]
friend-my the good not/yes Dani

But inverse copular constructions do exist across languages, e.g., in English and Italian:

- (26) a. John is my best friend.
 b. My best friend is John.
- (27) a. Gianni è il mio miglior amico.
 b. Il mio miglior amico è Gianni.

5 Enter PRON: Yet an even higher subject position

The first observation that needs to be made is that inverse copular sentences do exist in Hebrew, but they require the presence of a third person pronoun in a position between the two terms of the copular construction.

(28)	Sing.	Plu.
Masc.	hu	hem
Fem.	hi	hen

(29)b shows that Pron must be higher than Pol,

- (29) a. xaver-i ha tov *(hu) Dani.
friend my the good PRON.ms Dani
 'My good friend is Dani.'
- b. xaver-i ha tov *(hu) (lo/ken) Dani.
friend my the good PRON.ms neg/yes Dani
 'My good friend is not /IS Dani.'

while inflected verbs, (31), including the verbal copula, (32), obligatorily follow Pol.

- (30) *xaver-i ha tov lo/ken hu Dani.
friend-my the good neg/yes PRON.ms Dani

(31) a. Dani lo/ken ohev xacilim.
D. neg/yes likes eggplants
 'Dani doesn't/does like eggplants.'

b. *Dani ohev lo/ken xacilim.

(32) a. Dani lo/ken haya more / xaver-i ha tov.
D. neg/yes was teacher / friend-my the good
 'Dani was not/WAS a teacher / my good friend.'

b. *Dani haya lo/ken more / xaver-i ha tov.
D. was neg/yes teacher / friend-my the good

(33) PRON lexicalizes SUBJ₂^o.

(34) ...DP SUBJ₂...DP SUBJ₁ ...Pol ...DP subj [_{PredP} ...]
 | | |
 PRON ∅ ∅

PRON only appears with a defective T and cannot occur with a T that selects the copula. Thus, the co-occurrence of PRON and a verbal copula is strictly ungrammatical.²

(35) *xaver-i ha tov hu haya /yhye Dani.
friend my the good PRON.ms was/will be Dani
 'My good friend was/will be Dani.'

The option of merging both PRON and a tensed copula is apparently found in Polish, where SUBJ is lexicalized with an invariant element, *to*. Citko (2008) characterizes *to* as a 'pronominal copula', glossing it PRON, and provides the following paradigm (her (4) and (5)). As (36)c shows, PRON can co-occur with a verbal copula (a 'dual copula sentence', in Citko's terms). Moreover, *to* can co-occur with 'be' in all tenses in Polish.

(36) a. Jan jest mój najlepszym przyjacielem. *jest: verbal copula*
Jan is my best friend
 'Jan is my best friend.'

² Berman and Grosu (1976) note that (35) is grammatical when *hu* is a resumptive pronoun and 'my good friend' is a topic. Not only does such a sentence manifest the particular intonational contour of topicalization - a pause between the topic and the following phrase - but it resists a quantificational subject, a typical property of topics, contrast (ia) with PRON and (ib) with *hu* as a resumptive pronoun.

(i) a. af student hu lo ga'on.
no student PRON-ms neggenius
 'No student is a genius.'

b. *af student hu lo haya ga'on.
no student he negwas genius
 lit. 'No student, he was a genius.'

- b. Jan to mój najlepszy przyjaciel. *to: pronominal copula*
Jan PRON my best friend.
 'Jan is my best friend.'
- c. Jan to jest mój najlepszy przyjaciel. *to and jest*
Jan PRON is my best friend
 'Jan is my best friend.'

to is obligatory in inverse copular sentences:

- (37) a. mój najlepszy przyjaciel to (jest) Jan.
my best friend PRON is Jan
- b.³ *mój najlepszy przyjaciel jest Jan.
my best friend is Jan

French is a little different: Spec/SUBJ₂ and SPEC/Subj₁ are both filled, the latter by an expletive of sorts (see Roy and Shlonsky (to appear)).

- (38) a. Jean (c')est mon meilleur ami. *canonical: CE is optional*
Jean (CE).is my best friend
- b. Mon meilleur ami ??(c')est Jean. *inverse: CE is obligatory*
my best friend (CE).is Jean.

I know address the following two questions.

- I. **Why must inverted predicate nominals target Spec/SUBJ₂** (and not simply move to Spec/SUBJ₁)?
 - II. **How is this movement compatible with RM** (on the assumption that the predicate nominal moves over the subject.)?
- (39) **Derivation of inverse copular sentences** (Rizzi 2015b, Shlonsky & Rizzi (submitted))
First, the subject in an inverse copular sentence is moved to a low focus position in the clause.
Second, the remnant PredP is moved (smuggled) over it.
Third, the predicate nominal is extracted out of the remnant PredP and merged with SUBJ₂.

5.1 The derivation of inverse copular sentences

5.1.1 Focalization

It has often been observed that the post-copular nominal in an inverse copular sentence is focalized (Heycock (2012), and references cited therein).

³ Acceptable if *is* means 'playing the role of, pretending to be', in which case it is presumably not an inverted sentence.

(40) A: mi haya ha ašem? (Dani o Bill?)
Who was the culprit? (Dani or Bill?)

B: DANI haya ha ašem.
DANI was the culprit.

(41)A: sapri li ‘al Dani. hu haya ha ašem o ha qorban?
‘Tell me about Dani. He was the culprit or the victim?’

B: Dani haya ha AŞEM.
Dani was the CULPRIT.

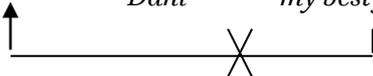
(42) A: Mi haya ha ašem? (Dani o Bill?)
Who was the culprit? (Dani or Bill?)

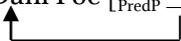
B: ha ašem haya DANI.
The culprit was DANI.

(43) A: sapri li ‘al Dani. hu haya ha ašem o ha qorban?
‘Tell me about Dani. He was the culprit or the victim?’

B: *ha AŞEM haya Dani.
‘The CULPRIT was Dani.’

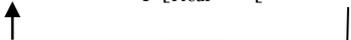
(44) The necessarily focal character of the subject in inverse copular constructions can be made to follow from locality (Relativized Minimality). Rizzi (2015b)

(45) [[Dani] [Pred [xaver-i ha tov]]]
Dani my best friend


(46) [Dani Foc [_{PredP} [Pred [my best friend]]]]


5.1.2 Smuggling and extraction

(47) a. SUBJ [_{PredP} [Pred [my best friend]]] X [Dani Foc ___]


b. My best friend SUBJ [_{PredP} [Pred ___]] X [Dani Foc ___]


(48) ... SUBJ₂... SUBJ₁ POL ... DP_a Foc ... [___ [Pred DP_b]]
 | |
 PRON Ø

(49) ... SUBJ₂... [___ [Pred DP_b]] X ... SUBJ₁ POL ... DP_a Foc ___ ...
 | |
 PRON Ø

(50) Why does smuggling necessarily target a position higher than SUBJ₁ as in (49).

(51) ... SUBJ₁ ... [___ [Pred DP_b]] ... DP_a Foc ___ ...
 |
 Ø

Roy & Shlonsky (to appear) argue that *ce* in inverse copular constructions such as (38)b, repeated below in (52), occupies Spec/SUBJ₁. The idea is that the probe in French requires Move and not mere Agree. However, movement of *Jean*, the focalized subject, is barred by criterial freezing. Thus, to satisfy the agreement probe, *ce* is externally-merged in Spec/SUBJ₁.

(52) Mon meilleur ami ??(c')est Jean.
my best friend (CE).is Jean.

(53) a. [_{FocP} Jean FOC [_{PredP} Jean PRED [mon meilleur ami]]]
 b. [_{SUBJ₁} ___ SUBJ₁... [_{FocP} Jean FOC [_{PredP} Jean PRED [mon meilleur ami]]]
 ↑
 |
 c. [_{SUBJ₁} *ce* SUBJ₁... [_{FocP} Jean FOC [_{PredP} Jean PRED [mon meilleur ami]]]

Smuggling of PredP then follows and subsequently, *mon meilleur ami* is moved to Spec/Subj₂.

Italian differs from French in, among other things, allowing the probe to verify its case features with Agree alone, without Move. One possible consequence of this difference is that the copula agrees with *ce* in French, but with the postcopular DP in focus in Italian:

(54) a. La cause de la révolte c'est les photos du mur. *be is singular*
 b. La causa della rivolta sono le foto del muro. *be is plural*
The cause of the riot is the pictures of the wall.

In Hebrew, unlike French, SUBJ₁ is dependent on the presence of POL. This is why POL is required in copular sentences with generic or type-referring subjects: It forces, as it were, the subject nominal to raise to its specifier, just as in non-generic canonical copular sentences such as

(55) [_{SUBJP} Dani SUBJ₁[_{POLP} lo/ken ... [_{PredP} Dani PRED xaver-i ha tov]]]
Daninot/yes friend-my the best

In bare copular sentences, without POL, I assume that SUBJ₁ does not need to be merged and that some sort of default mechanism assures Case on the subject nominal.

6 Optional PRON

In bare copular sentences - with nothing in-between subject and predicate - the subject nominal is in Spec/subj, as it presumably is in embedded scs in English.

It must raise to Spec/SUBJ₁ in the generic and type-construed sentences exemplified by the (a) sentences in (19) and (20) as well as in sentences in which Pol is merged.

Now, we should ask

(56) Is PRON (i.e., SUBJ₂) restricted to inverted copular sentences?

No. PRON is also an option, alongside SUBJ₁ in sentences with generic subjects:

(57) 'orvim hem (lo/ken/etc...) šxorim.
ravens PRON.3mpl no/yes/etc black
'Ravens are/are not(ARE/etc... black.'

(58) . namer hu (lo/ken/etc...) nadir be arc-enu.
tiger PRON.3ms no/yes/etc rare in country-ours
'Tigers are/are not(ARE/etc... rare in our country.'

- (59) a. PRON is obligatory in inverted copular constructions.
b. PRON is optional in sentences with generic subjects .

7 The 'content' of the different subject positions

(60) a. ba'aya *(axat) hi Dani.
problem (one) PRON-fs Dani
'One/*a problem is Dani.'

b. Dani hu ba'aya (axat).
Dani PRON-ms problem (one)
'Dani is one/a problem.'

c. ba'aya (axat) omedet be-dark-enu
problem (one) stands in-way-our
'One/a problem stands in our way.'

(61) a. mišehu hu xaver šel Dani
someone PRON-ms friend of Dani
'Someone (specific) is Dani's friend.'

b. mišehu xaver šel Dani
someone friend of Dani
'Someone or another is Dani's friend.'

- (62) a. *Personne ce (n')est mon ami.
nobody CE (not) is my friend
- b. *Aucun étudiant ce (n')est un génie.
no student CE.is a genius
- c. Chaque étudiant c'est un génie potentiel.
every student CE. is a potential genius
- d. La plupart de mes collègues c'est des amis.
most of my colleagues CE.is friends

(63) SUBJ2 is +presuppositional

- (64) a. ha rofe šeli be pariz.
the doctor mine in Paris
 'My doctor is in Paris (now).'
- b. ha rofe šeli hu be pariz.
the doctor mine PRON in Paris
 'My doctor is in Paris (generally).'

- (65) a. ha rofe šeli be pariz.
the doctor mine in Paris
 'My doctor ga is in Paris.'
- b. ha rofe šeli hu be pariz.
the doctor mine PRON in Paris
 'My doctor wa is in Paris.'

(66) a. ha šamaym kxulim compare: a. le ciel est bleu.
the sky blue
 'The sky is blue (now).'

b. ha šamaym hem kxulim b. Le ciel c'est bleu
the sky PRON blue
 'The sky is of blue color.'

(67) Subjects of PRON sentences are categorical judgements.

Following Ladusaw (1994; 2000), we might consider i-level "predication" to be categorical judgements (and s-levelthetic ones):

- (68) a. Rina hi yafa / nexmada ha erev.
Rina PRON.3fs pretty/nice this evening
- b. Rina yafa / nexmada.
Rina pretty/nice

When SUBJ₁ is deployed and not SUBJ₂, we get thethetic and not the categorical judgement

- (69) a. ha šamaym lo kxulim
the sky not blue
'the sky ga is not blue'
- b. ha rofe šeli lo be pariz.
the doctor mine neg in Paris
'My doctor ga is not in Paris.'

This means that SUBJ₁, like subj, is not the locus of categorical judgements, only SUBJ₂ is. This is perhaps not particularly revealing for these examples but it becomes relevant when we consider type-referring or generic subjects. Recall that these required access to either SUBJ₁ or SUBJ₂:

- (70) 'orvim hem šxorim.
ravens PRON.3mpl black
'Ravens are black.'
- (71) namer hu nadir be arc-enu.
tiger PRON.3ms rare in country-ours
'Tigers are rare in our country.'
- (72) 'orvim lo/ken/etc... šxorim.
ravens no/yes/etc black
- (73) namer lo/ken/etc...nadir be arc-enu.
tiger no/yes/etc rare in country-ours

8 Focalization and wh movement out of copular constructions

Generic sentences are also fine with contrastive focus.

- (74) 'ORVIM šxorim (, lo drorim).
RAVENS black (, not sparrows)

This kind of contrastive focalization involves movement of the focus to the left periphery (Rizzi 1997; Bocci 2013). The crux of the proposal here is that in (74), both the Subject Criterion and the Focus Criterion can be satisfied by internal merge. After merge of the minimal halting subject position, subj (and perhaps T) Focus is merged and subj moves step-wise to Foc in the left periphery. Then, the subject nominal 'ravens' is moved out of the smuggled small clause directly to Spec/FOC+subj, where the Focus-criterion and the subject criterion are both satisfied. (See Rizzi (2011), Shlonsky (2014).)

Left-peripheral focalization does not, however, rescue an inverse copular sentence, where PRON is still required.

(75) HA AXOT *(hi) Rina (, lo ha rofa'a).
 THE NURSE PRON.3fs Rina (, not the doctor)

Recall that in the course of the derivation of inverse copular sentences, there is a step of smuggling of PredP. So (75) must involve more structure than (74).

If the next step after smuggling in (75) were to involve movement of the subject –embedded in the smuggled constituent – to Spec/SUBJ₂, the subject would get criterially frozen and further movement to the left periphery would not be possible.

Suppose; After smuggling and merge of SUBJ₂ – lexicalized by PRON - Fin and Focus are merged and SUBJ₂ moves step-wise to Foc in the left periphery. Then, the subject nominal is moved out of the smuggled small clause directly to Spec/FOC+SUBJ₂, where the Focus-criterion and the subject criterion are both satisfied.

Wh movement in (76) looks like contrastive focalization in (74) and, in the tradition following Rizzi (1997) – both involve A' movement to Spec/Foc.

(76) mi more ?
 who teacher

But now notice that whereas contrastive focalization of *ravens* is also possible also with PRON, as in (77), PRON is excluded in wh movement, (78).

(77) 'ORVIM hem šxorim (, lo drorim).
 RAVENS PRON.mpl black (, not sparrows)

(78) *mi hu more ?
 who PRON-ms teacher
 'Who is a teacher?'

The impossibility of (78) follows from the semantic/pragmatic signature of *hu* qua SUBJ₂, basically, the presuppositionality effect, which is not consistent with the bare wh element *mi*, while *ravens* is presupp.

Indeed, when *mi* is replaced by *eize assistant* 'which assistant', PRON becomes not only possible, but obligatory.

(79) eize assistant *(hu) more ?
 which assistant PRON-ms teacher
 'Which assistant is a teacher?'

Ditto for relatives:

(80) ha assistant še *(hu) gam more...
 the assistant that PRON.ms also teacher...

(76) involves movement of subj (or SUBJ₁, if negated) but no of SUBJ₂, to Fin and Foc → multiple criterial satisfaction.

In (78) and (79), SUBJ₂ moved to Fin, Foc (or REL).

8.1 Long wh movement out of copular constructions

Houdini: PRON reappears in subject extraction (compare (81)a and (78)).

- (81) a. *mi / eize yadid šel-xa ata xošev še more?
who /which friend of yours you think that teacher
- b. mi / eize yadid šel-xa ata xošev še hu more?
who /which friend of yours you think that PRON-ms teacher
'Who/Which one of your friends do you think is a teacher?'

(82) PRON is obligatory in long extraction independently of the pre-suppositionality of the wh subject.

(81)a contrasts sharply with long wh movement out of a clause with a tensed verb: (83) is constructed with the past tense form of BE. It shows that subject extraction in Hebrew is possible out of a tensed complement clause with an overt complementizer (Shlonsky 1990; 2014).

- (83) mi / eize yadid šel-xa ata xošev še haya more ?
who /which friend of yours you think that was teacher
'Who/Which one of your friends do you think was a teacher?'

Shlonsky (2014b) argues that (83) is good because Hebrew Fin can satisfy the Subject Criterion in the way French *qui* does (Rizzi & Shlonsky 2007): Endowed with a nominal feature, Fin satisfies the Subject Criterion in a head-head, rather than in the more familiar Spec-head configuration, allowing the subject to proceed directly to the left periphery (and onwards to the main clause) without being criterially-frozen in Spec/Subj.

The question is why PRON is required in (81)a. In a sense, we might expect the opposite, if PRON lexicalizes SUBJ₂ and the subject wh is in its specifier, it should be criterially-frozen there and (81)a should be bad while (81)b good.

Hypothesis:

Long wh movement of the subject mobilizes a "nominal" Fin, along the lines of Rizzi & Shlonsky (2006; 2007). The idea is that in (81)a, PRON lexicalizes Fin and not SUBJ₂. There is a clear relation between Fin and Tense, a division of labor, one might say, in the representation of tense (and perhaps one of the factors underlying V-to-Fin in verb-second Germanic, cf. Koster (2003)).

If so, the two PRONs are closely related, but not fully identical. In non-wh contexts with a defective T, PRON lexicalizes Subj₂. In wh-extraction cases, where nominal FIN is mobilized, PRON lexicalizes a nominal Fin.

Two questions

- (i) Why can't the strategy of moving subj to Foc (as in short wh/focus movement) be exploited in subject extraction?
- (ii) Why does short wh movement not avail itself of the strategy of merging SUBJ₂ and lexicalizing a nominal FIN with PRON to satisfy the Subject Criterion?

Answer to (i)

Multiple criterial satisfaction has the consequence of freezing the subject nominal, so that further movement to the matrix Foc would not be possible. Consequently, multiple criterial satisfaction is only possible in short wh movement.

Answer to (ii)

When the grammar has to choose between a strategy involving internal merge and one which requires access to the Lexicon (the pronominal lexicalization of FIN), it selects internal merge. *Ceteris paribus*,

(84) Internal merge preempts external merge.

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