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#### **Academic Positions and Affiliations**

Professor of Economic History, University of Geneva, July 2010-present.

Director, Department of History, Economics and Society, 2014-present.

Director, Paul Bairoch Institute of Economic History, 2010-2014.

Associate Professor of Management, The Wharton School of the University of Pennsylvania, July 2005-December 2011 (awarded tenure in February 2010; on leave for academic year 2010-2011).

Associate Professor of Strategy, INSEAD (The European Institute of Business Administration), July 2000-2005 (awarded tenure in April 2005).

Assistant Professor of Strategy, INSEAD (The European Institute of Business Administration), January 1997-June 2000.

Visiting Scholar, Center for European Studies, Harvard University, September 2003-June 2004. Co-director of European Commission TSER project n° SOE1-CT98-1114 on "Corporate

Governance, Innovation, and Economic Performance in the EU" (www.insead.edu/cgep). Visiting Scholar, Institute of Social Science, University of Tokyo (July 1996 – December 1996).

#### Education

# 1992-1996 HARVARD UNIVERSITY, GRADUATE SCHOOL OF ARTS AND SCIENCES

Doctor of Philosophy (Ph.D) in Business Economics, November 1996. Dissertation: "Innovation, Industrial Development, and Corporate Governance". Advisers: Stephen Marglin, Joseph Bower, Roberto Unger

### 1990-1992 HARVARD UNIVERSITY, GRADUATE SCHOOL OF BUSINESS ADMINISTRATION

Master in Business Administration (MBA), June 1992.

1985-1988 UNIVERSITY COLLEGE DUBLIN

Bachelor of Commerce (B.Comm.), October 1988.

## **Publications**

Books

Mary O'Sullivan, *Dividends of Development: Fits and Starts in the History of U.S. Securities Markets, 1865-1919,* Oxford University Press, in press, forthcoming September 2016.

William Lazonick and Mary O'Sullivan (listed in alphabetical order), eds., *Corporate Governance and Sustainable Prosperity*, co-edited with William Lazonick, Macmillan, 2002.

Mary O'Sullivan, Contests for Corporate Control: Corporate Governance and Economic Performance in the United States and Germany, Oxford University Press, 2000.

#### Articles in Refereed Journals

Mary O'Sullivan, "A Fine Failure: Relationship Lending, Moses Taylor, and the Joliet Iron & Steel Company, 1869–1888", *Business History Review*, Winter 2014, winner of the 2014 Henrietta Larson Award for the best article in the *Business History Review*.

Mary O'Sullivan, "Yankee Doodle went to London: Anglo-American Breweries & the London Securities Market, 1888-1892", *Economic History Review*, 2015.

Mary O'Sullivan, "Finance Capital in Chandlerian Capitalism," *Industrial and Corporate Change*, 19: 2, 2010, 549-589.

Richard Deeg and Mary O'Sullivan (listed in alphabetical order), "The Political Economy of Global Finance," *World Politics*, 61: 4, October 2009, 731-763.

Mary O'Sullivan, "The Expansion of the US Stock Market, 1885-1930: Historical Facts and Theoretical Fashions," *Enterprise and Society*, 8: 3, September 2007, 489-542.

Mary O'Sullivan, "Acting Out Institutional Change: Understanding the Recent Transformation of the French Financial System," *Socio-Economic Review*, 5: 3, July 2007, 389-436.

Mary O'Sullivan, "Living with the U.S. Financial System: the Experiences of GE and Westinghouse in the Last Century", *Business History Review*, 80: 4, Winter 2006, 621-655.

Marie Carpenter, William Lazonick and Mary O'Sullivan (listed in alphabetical order), "The Stock Market and Innovative Capability in the New Economy: The Optical Networking Industry," *Industrial and Corporate Change*, 12: 5, 2003, 963-1034.

Mary O'Sullivan, "The Political Economy of Comparative Corporate Governance," *Review of International Political Economy*, 10:1, 2003, 23-72.

Mary O'Sullivan, "The Innovative Enterprise and Corporate Governance," *Cambridge Journal of Economics*, 24: 4, 2000, 393-416.

Mary O'Sullivan, "The Sustainability of Industrial Development in Ireland," *Regional Studies*, 2000, 277-290.

Mary O'Sullivan, "Globalization and Corporate Governance", *THE ANNALS of the American Academy of Political and Social Science*, July, 2000, 153-172.

Mary O'Sullivan and William Lazonick, "Maximizing Shareholder Value: a New Ideology for Corporate Governance", *Economy & Society*, 29: 1, February 2000, 13-35.

Ronald Dore, William Lazonick and Mary O'Sullivan (listed in alphabetical order), "Varieties of Capitalism in the Twentieth Century", *Oxford Review of Economic Policy*, 15: 4, Winter 1999, 102-120.

Mary O'Sullivan and William Lazonick, "Finance and Industrial Development: The United States and the United Kingdom," *Financial History Review*, 4: 1, 1997, 7-29.

Mary O'Sullivan and William Lazonick, "Finance and Industrial Development: Germany and Japan," *Financial History Review*, 4: 2, 1997, 117-138.

Mary O'Sullivan and William Lazonick, "Organization, Finance, and International Competition," *Industrial and Corporate Change*, 5: 1 1996, 1-49.

### Chapters in Books

Mary O'Sullivan, "What Opportunity is Knocking? Regulating Corporate Governance in the United States," in Balleisen, Edward and Moss, David, eds., *Toward a New Theory of Regulation*, Cambridge University Press, 2009, 335-362.

Mary O'Sullivan, "The Deficiencies, Excesses & Control of Competition: The Development of the US Stock Market from the 1930s to 2001," in Galambos, L. and Fohlin, C., eds., *Balancing Public and Private Control: Germany and the US in the Postwar Era*, Cambridge University Press, forthcoming.

Mary O'Sullivan, "Funding New Industries: A Historical Perspective on the Financing Role of the U.S. Stock Market in the Twentieth Century," in Lamoreaux, N. and Sokoloff, K., eds., *Financing Innovation in the United States, 1871 to the Present, MIT Press, 2006, 163-216.* 

Mary O'Sullivan, "Analysing Change in Corporate Governance: The Example of France," chapter 15 in Keasey, K., Thompson, S. and Wright, M., eds., *Corporate Governance: Accountability, Enterprise and International Comparisons*, John Wiley and Sons, 2005, 351-386.

Mauro Guillén and Mary O'Sullivan (listed in alphabetical order), "The Changing International Corporate Governance Landscape," in Gatignon, H. and Kimberly, J., eds., *The INSEAD-Wharton Alliance on Globalizing: Strategies for Building Successful Global Businesses*, Cambridge University Press, 2004, 23-48.

Mary O'Sullivan, "Finance and Innovation," chapter 9 in Fagerberg, J., Mowery, D. and Nelson, R., eds., *The Oxford Handbook of Innovation*, Oxford University Press, 2004, 240-265.

Mary O'Sullivan, "Employees and Corporate Governance," in Peter Cornelius and Bruce Kogut, eds., *Corporate Governance and Capital Flows in a Global Economy*, New York: Oxford University Press, 2003, 105-132.

Philippe Larrue, William Lazonick and Mary O'Sullivan (listed in alphabetical order), "The

European Challenge in Video Game Software: The 'French Touch' and the 'Britsoft Paradox'," in F. D. Laramee, eds., *Secrets of the Game Business*, Charles River Media, 2003, 65-77.

Mary O'Sullivan and William Lazonick, "The Wealth of Wealthy Nations: Business Enterprise, Social Institutions, and Economic Development," in François Crouzet and Armand Clesse, eds., *Leading the World Economically*, Dutch University Press, 2003, 39-56.

Mary O'Sullivan, "Recent developments in Irish-based industry" in Jean Pyle and Robert Forrant, eds., *Globalization, Universities and Sustainable Human Development,* Elgar, 2003, 69-89.

Mary O'Sullivan, "Industrial Development: A New Beginning?" chapter 10 in O'Hagan, J., ed., *The Economy of Ireland: Policy and Performance of a European Region*, Gill and Macmillan, 2000, 260-285.

Mary O'Sullivan, "Corporate Control", in William Lazonick, ed., *International Encyclopedia of Business and Management*, Second Edition, Thomson Learning, 2002, 129-155.

Mary O'Sullivan and William Lazonick, "American Corporate Finance: From Organizational to Market Control," in Candace Howes and Ajit Singh, eds., *Competitiveness Matters: U.S. Industry, Industrial Policy, and Economic Performance*, University of Michigan Press, 2001, 106-124.

Mary O'Sullivan, "Sustainable Prosperity, Corporate Governance and Innovation in Europe," in John Grieve Smith and Jonathan Michie, eds., *Globalization, Growth, and Governance*, Oxford University Press, 1998, 180-203.

Mary O'Sullivan and William Lazonick, "Big Business and Skill Formation in the Wealthiest Nations: The Organizational Revolution in the Twentieth Century," in Franco Amatori, Alfred D. Chandler, Jr., and Takashi Hikino, eds., *Big Business and the Wealth of Nations*, Cambridge University Press 1998, 497-521.

Mary O'Sullivan and William Lazonick, "Big Business and Corporate Control," in Malcolm Warner, ed., *International Encyclopedia of Business and Management*, Routledge, 1996, 365-385.

Mary O'Sullivan, "Manufacturing and Global Competition," in John A. O'Hagan, ed., *The Economy of Ireland: Policy and Performance of a Small European Economy*, Macmillan 1996, 363-396.

#### Invited Papers, Comments, Book Reviews

Mary O'Sullivan, "Capital: The Blind Spot of Capitalism: *Capital in the 21st Century* by Thomas Piketty, translated by Arthur Goldhammer", *American Historical Review*, April 2015.

Mary O'Sullivan, Margaret Graham, Christopher McKenna, "Guest Editors' Introduction: Business History and Management Studies," *Journal of Management Studies*, 2010.

Mary O'Sullivan, "Usinor-Arcelor: Du local au global", Business History, 49:5, 2007, 682-688.

Mary O'Sullivan, "Typologies, ideologies and realities of capitalism," *Socio-Economic Review*, 3, 2005, 547-558.

Mary O'Sullivan, Comment on "CSR's potential contribution to international solidarity and social justice", *Development*, 47: 3, 2004, 3-8.

Mary O'Sullivan, "Variety and Change in the Role of Employees in Corporate Governance," *Comparative Labor Law and Policy Journal*, 2001, 179-189.

Mary O'Sullivan, "Pension Fund Socialism or Plus ça Change?: Retirement Provision and Corporate Governance in the United States", *Année de la Regulation*, 2000, 47-87.

Mary O'Sullivan, "A Battle of Orthodoxies or a Cooperative Effort? Business History and Economic Theory," *Business and Economic History*, 24, 1995, 230-237.

### Awards and Honours

President-Elect of the Business History Conference, 2017-2018 (http://www.thebhc.org/mission).

Principal Investigator (UNIGE), Research Project on 'Uses of the Past', financed by Humanities in the European Research Area, with University of Glasgow, Uppsala University, Universidad Carlos III de Madrid, 2016-2018.

Fellow, Wissenschaftskolleg zu Berlin - Institute for Advanced Study Berlin (<u>https://www.wiko-berlin.de/en/fellows/</u>), 2016-2017.

Fellow, Institut d'études avancées (http://www.paris-iea.fr/en), Paris, 2016-2017 (declined).

Ferdinand Braudel Fellowship, European University Institute, 2015, declined.

Recipient of the 2014 Henrietta Larson Award for the best article published in the *Business History Review* for "A Fine Failure: Relationship Lending, Moses Taylor, and the Joliet Iron & Steel Company, 1869–1888".

Recipient of the Harold F. Williamson prize in Business History, 2012, awarded every two years by the Business History Conference of the United States to an individual "at mid-career" who has made significant contributions to business history.

Helen Kardon Moss Anvil Award, The Wharton School, 2007 (awarded annually to the one faculty member who has exemplified outstanding teaching quality during the last year).

Excellence in Teaching Award, The Wharton School, 2008 (awarded annually to the eight MBA faculty members who receive the highest average instructor rating on their course evaluation forms over the three prior semesters).

MBA Program Core Teaching Award, The Wharton School, 2007 (awarded each year to the eight MBA faculty members who receive the highest teaching evaluation ratings from their students in core courses in previous Spring and Fall semesters).

INSEAD Outstanding Teacher Award for an MBA Elective, 1997 and 2000 and nominee for the same award in 1998, 1999 and 2001 for course on "Innovation, Strategy, and

Corporate Governance".

Harvard University Graduate Society, Dissertation Completion Scholarship, July 1996 – December 1996.

Harvard Business School Dean's Doctoral Fellowship for full term of Ph.D. studies.

Center for European Studies Travelling Fellowship, research in Germany, January 1995.

Harvard Business School Baker Scholar (graduated in top 5% of 1992 M.B.A. class).

Recipient of International Academy of Management Medal in 1988 for academic achievement.

Dean's List in University College Dublin for three years; first place in B. Comm. graduating class.

Recipient of fully-funded postgraduate scholarship from University College Dublin.

Recipient of Price Waterhouse Scholarship in 1988 for academic excellence.

#### **Professional Activities**

Member of the Comité pour l'Histoire de la Poste, 2014-present.

Member of the ERC Advanced Grants evaluation panel, 2016 call for proposals

Member of the Board of Associate Editors, Industrial and Corporate Change, 2015-

Chair of the Ralph Gomory Prize Committee of the Business History Conference, 2015-2016 (and member of the committee from 2014)

Scientific Advisory Committee, Finance, Innovation and Growth (FINNOV) Project, funded under 7<sup>th</sup> Framework Programme of the European Commission, European Research Area, 2011 & 2012 (<u>http://www.finnov-fp7.eu/people</u>)

Member of the Dean's Advisory Council, Wharton School, University of Pennsylvania, 2007-2010.

Member of "Knowledge for Growth" expert group appointed by Mr Janez Potočnik, the European Commissioner for Science and Research, 2005-2009.

Member of the Evaluation Board for Senior Fellowships for the Irish Research Council for the Humanities and Social Sciences, 2004-2008, 2010-2011.

Elected member of the Business History Conference's nominating committee, a three-person committee responsible for nominating the president of the society as well as new trustees, 2011.

Member of the selection committees for the John H. Rovensky Doctoral Fellowship in American Business and Economic History, 2006-2012.

Member of the Program Committee for the Business History Conference's annual meeting in 2001 and 2008.