

# Strategies for exposure, vulnerability and resilience assessment: State-of-the-art and challenges

Day 1, Pillar 1: Disaster Risk Knowledge

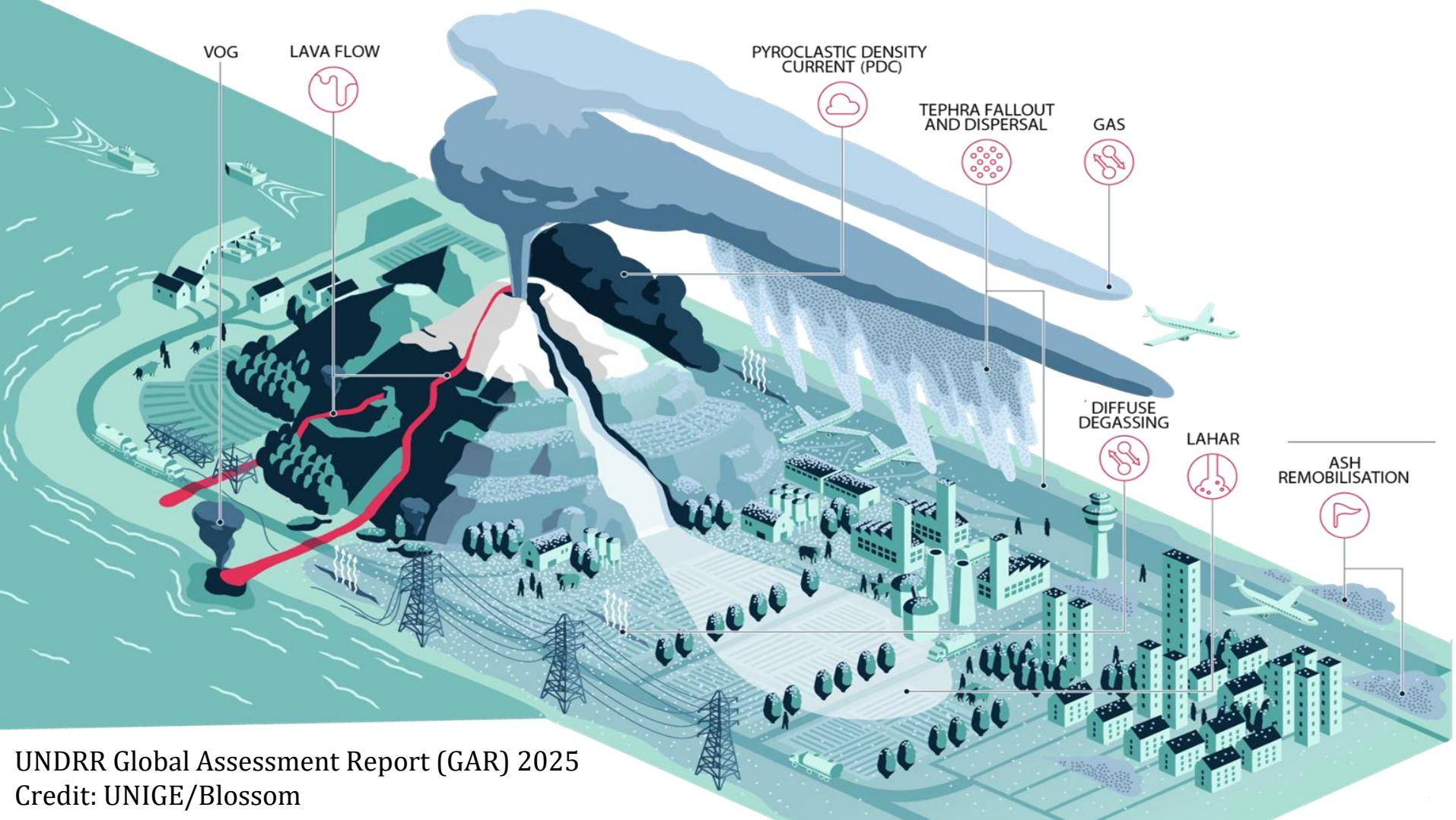
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Merapi 2010 eruption, Indonesia. Buildings destroyed in Balerante, 5 km from the vent  
Photo taken by village chief, surveying the damage to his village.



# Outline

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- What are state-of-the-art methods to characterise **exposure**, **vulnerability** and **resilience** in volcanic contexts?
  - Review associated needs, gaps, challenges and opportunities
    - Overarching conclusions and future perspectives



# Exposure

- **Exposure:** The spatiotemporal situation of people, man-made and natural elements potentially affected - directly or indirectly - by the occurrence of hazardous phenomena.



population characteristics



housing type



land use



critical infrastructure & essential services



Courtesy of Evelyn Mühlhofer / IDMC

# Collecting exposure data

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## Collected data

- Census → full *enumeration* vs *sampling*
- UAVs + high res images + computer vision
- *Volunteered Geographic Information Systems*



Courtesy of PHIVOLCS/REDAS

# Collecting exposure data

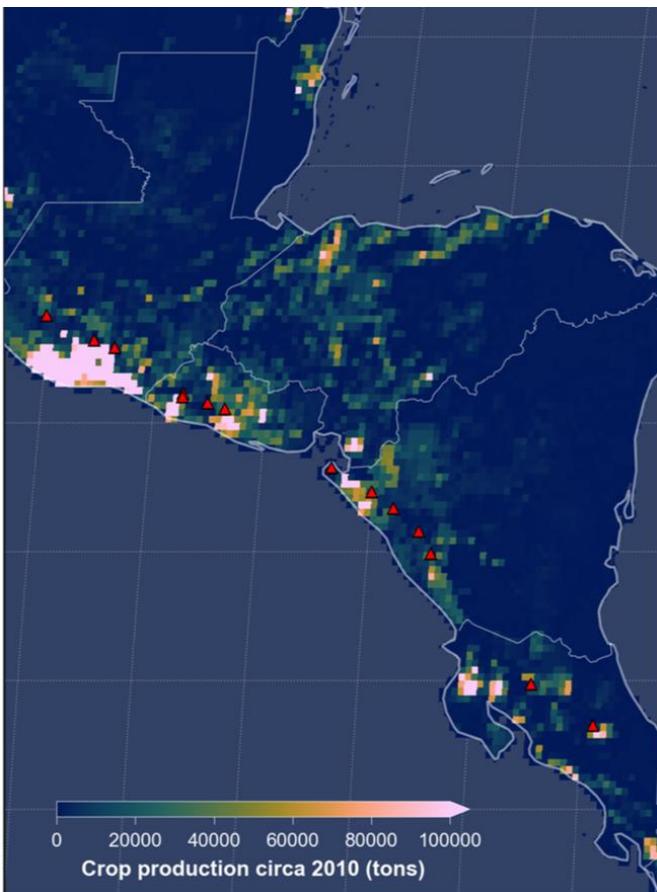
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## Modelled data

- Spatial disaggregation based on proxies
- Importance of geostats + ML + data availability

*Crop exposure around Central America*



# Collecting exposure data

## Collected data

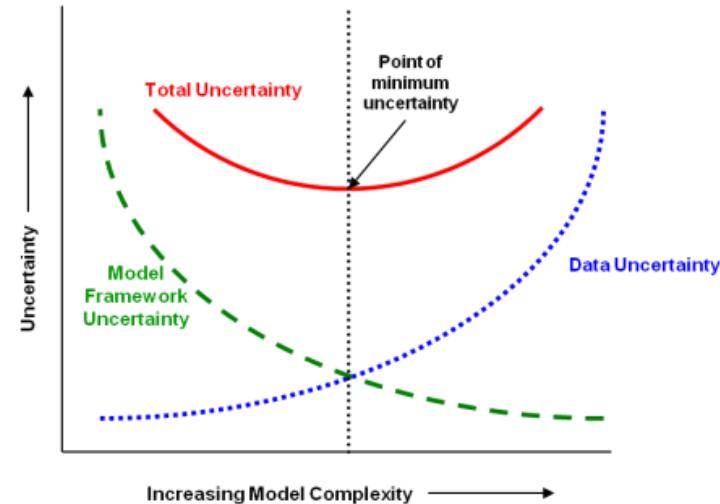
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## Modelled data

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## Uncertainties

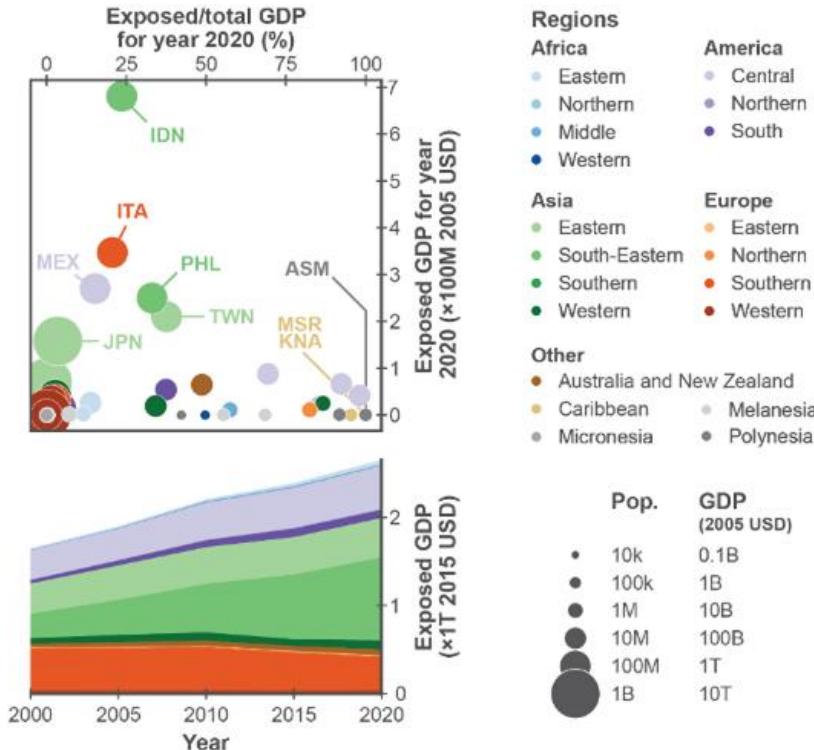
- Hard to constrain (type of data, temporality)
- Stem from various sources



# Exposure analyses

## Global/international

- Modelled data
- Help identifying global trends



Country/region GDP exposure within 100 km of a Holocene volcano

# Exposure analyses

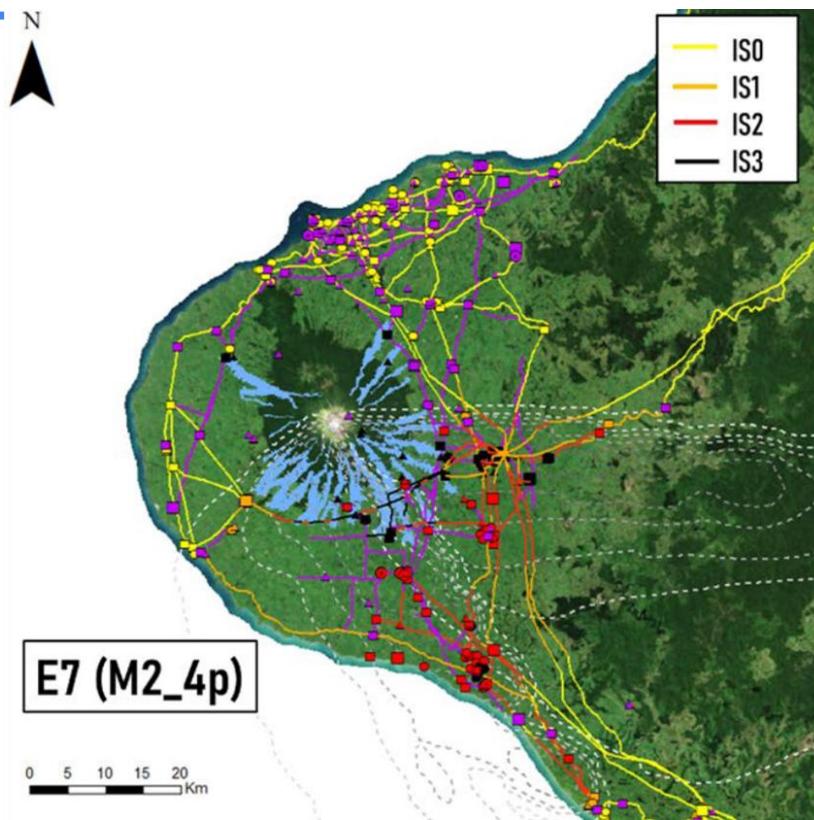
*Direct and indirect impacts on infrastructures around Taranaki, New Zealand*

## Global/international

- Modelled data
- Help identifying global trends

## (Sub) National

- Modelled / collected → Philippines
- Trust with data providers → New Zealand



# Exposure analyses

## Global/international

- Modelled data
- Help identifying global trends

## (Sub) National

- Modelled / collected → Philippines
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## Local

- Ideally collected, often complemented with global modelled data
- Often qualitative indicators → drivers

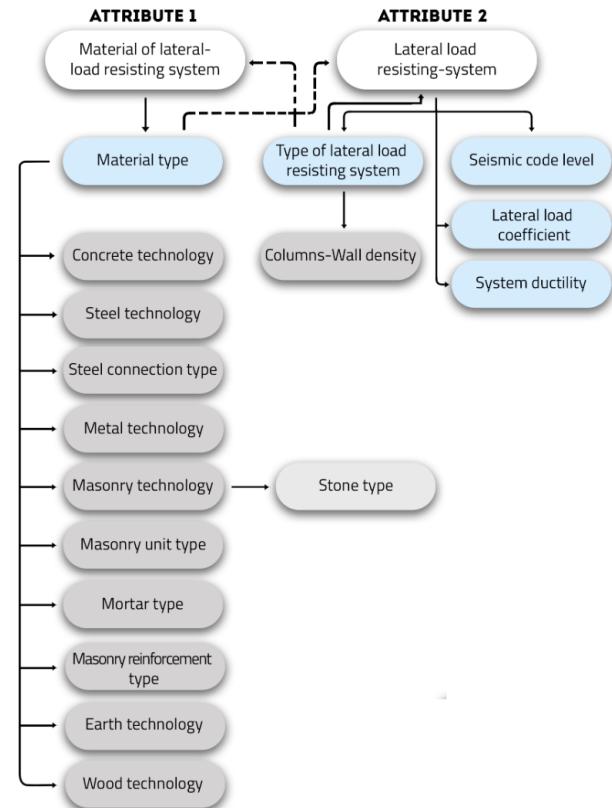


# Needs & gaps

2 out of 13 attributes of the GEM building taxonomy

## Taxonomies

- Designs for volcanic (multi)hazards
- Alignment with vulnerability models → [GEM](#)



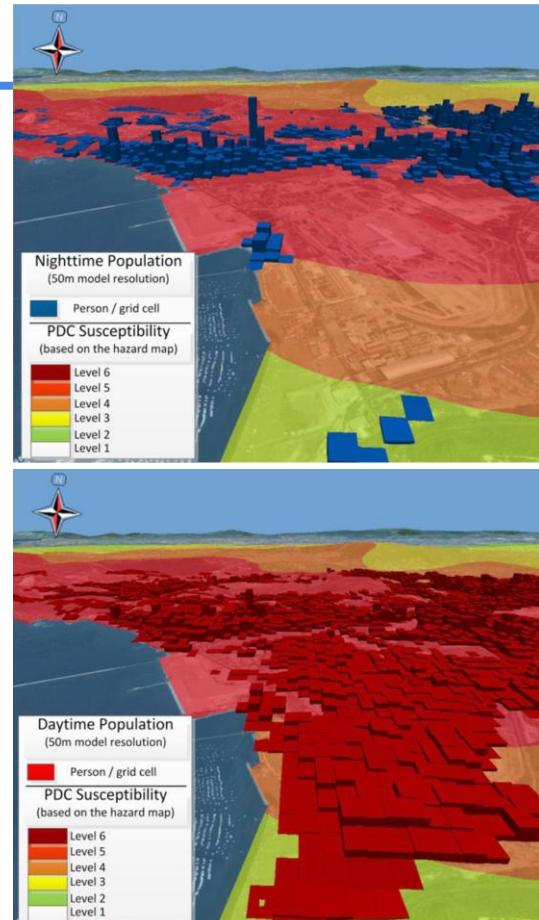
# Needs & gaps

## Taxonomies

- Designs for volcanic (multi)hazards
- Alignment with vulnerability models → [GEM](#)

## Temporality

- Capture dynamics of exposure
- Short and long-term displacements → [IDMC](#)



# Needs & gaps

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## Taxonomies

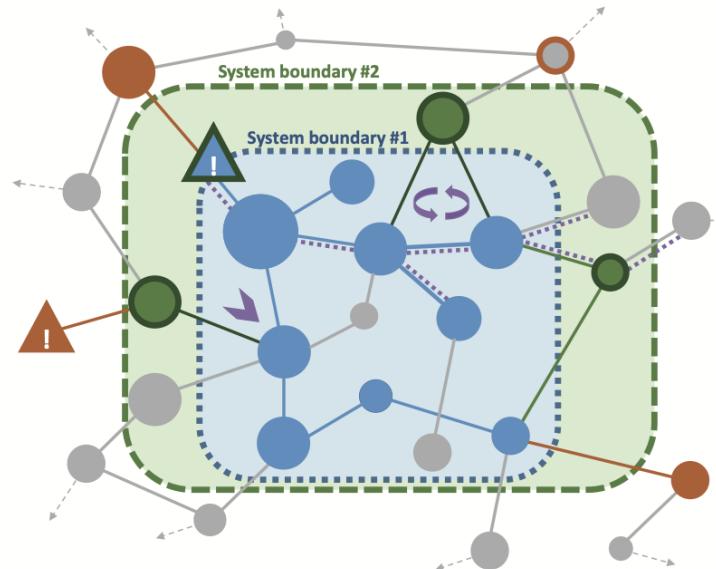
- Designs for volcanic (multi)hazards
- Alignment with vulnerability models → [GEM](#)

## Temporality

- Capture dynamics of exposure
- Short and long-term displacements → [IDMC](#)

## Systems (of systems) & indirect exposure

- Hard to define domains and metrics
- Largely lacking transdisciplinary expertise



# Challenges & opportunities

*La Palma, 2021*

## Challenges

- Dynamics of exposure and associated drivers
- Global data often inform data-poor environments
- Systemic / indirect / intangible

## Opportunities

- Data acquisition & analysis
- Collaboration with data providers (e.g., local, big tech)

**We have loads to learn from other communities!**

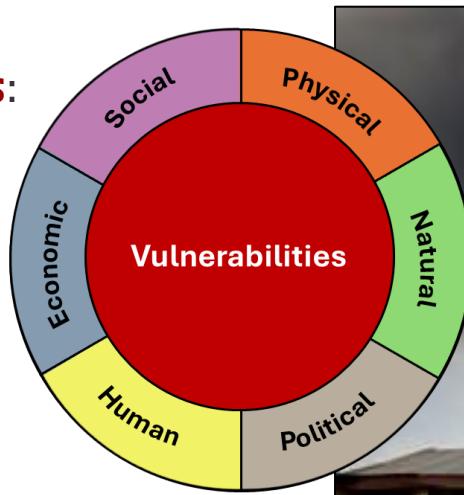


# Vulnerability: Approaches

**Vulnerability** describes how an exposed element (e.g. person, building, crop) is impacted by a hazard.

It takes **many forms**:

- Physical
- Social
- Economic
- Institutional
- Functional
- Systemic
- Environmental
- ...



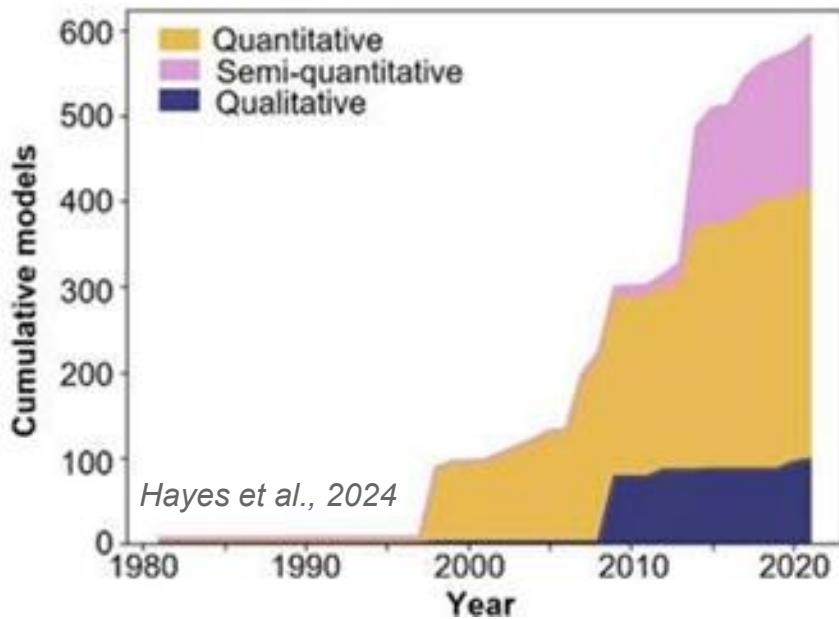
**Disasters occur when hazards affect vulnerable people.**



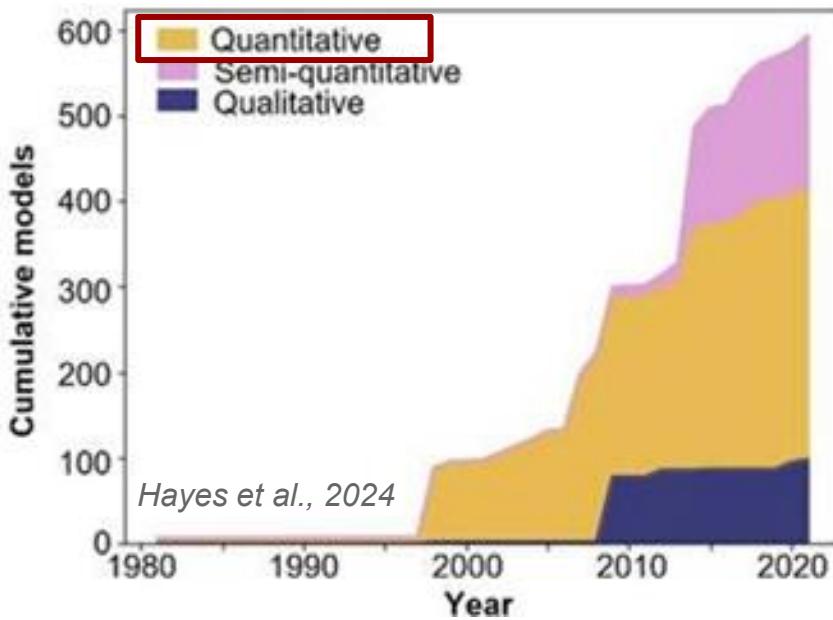
*Karo district, North Sumatra during the Sinabung eruption, 2013 © Reuters*

# Vulnerability: Approaches

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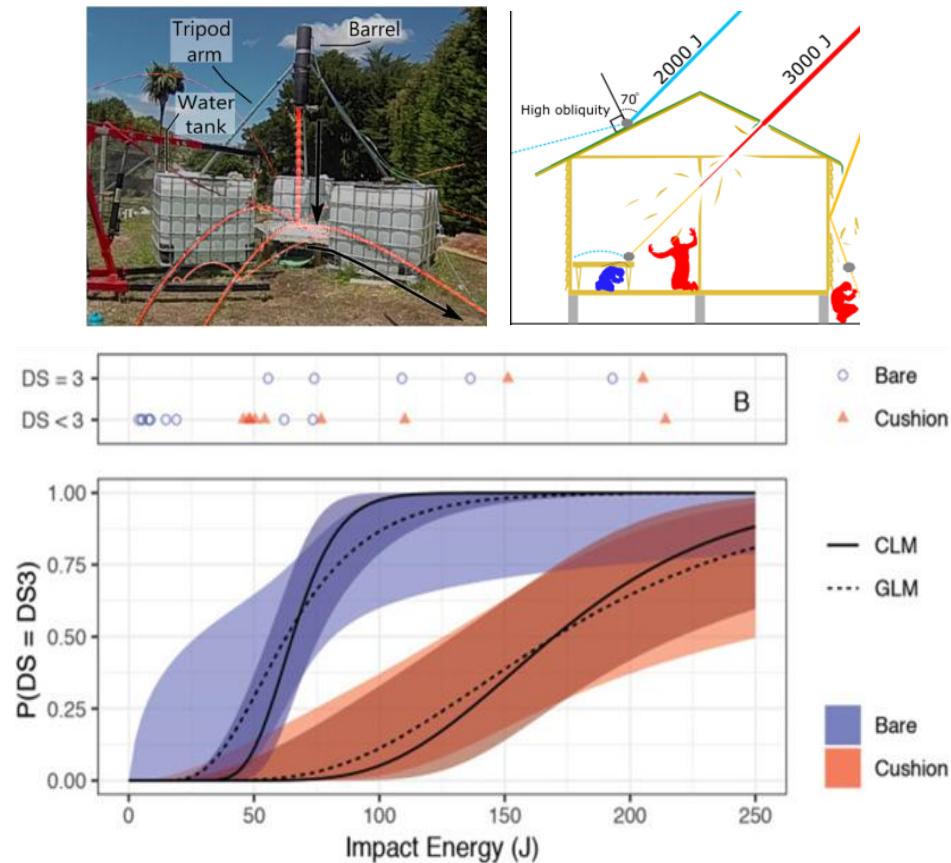


# Vulnerability: Approaches

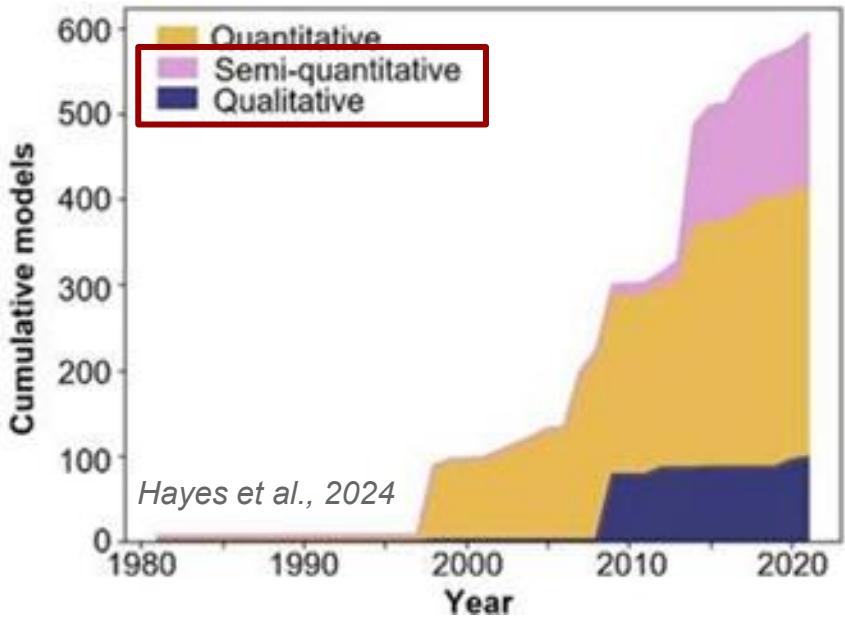


**Fragility functions** for ballistic projectiles to damage clay tiles (with or without tephra), based on experimental data.

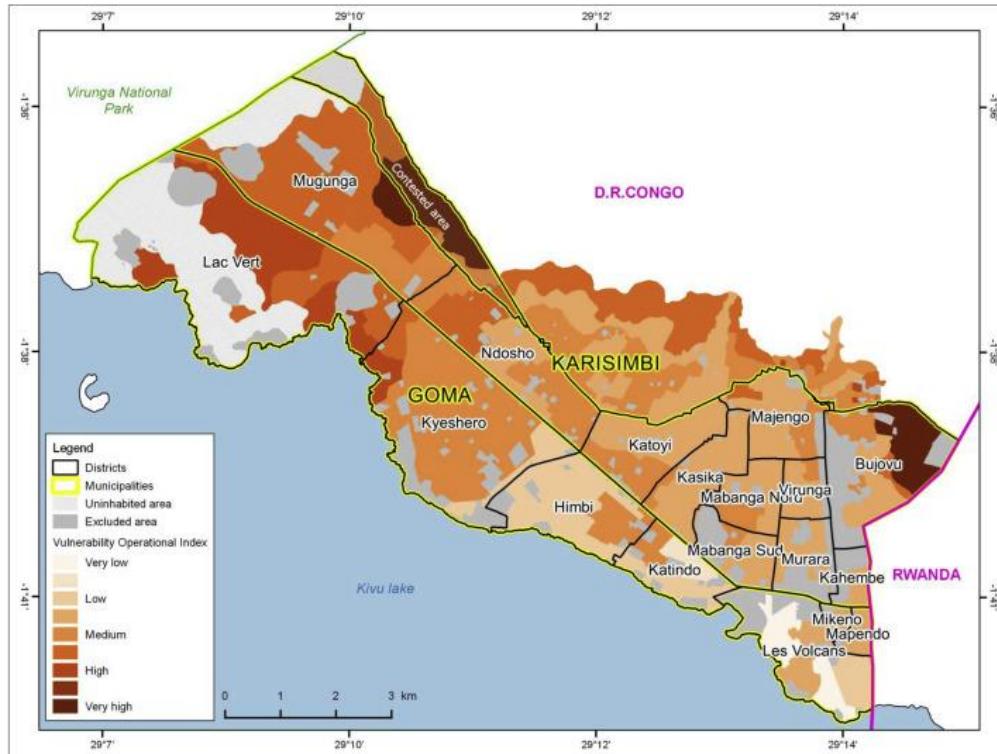
Williams et al. 2019.



# Vulnerability: Approaches



**Operational Vulnerability Index** for Goma, DRC. Based on economic factors, education, household resources, risk perception, and household structure.

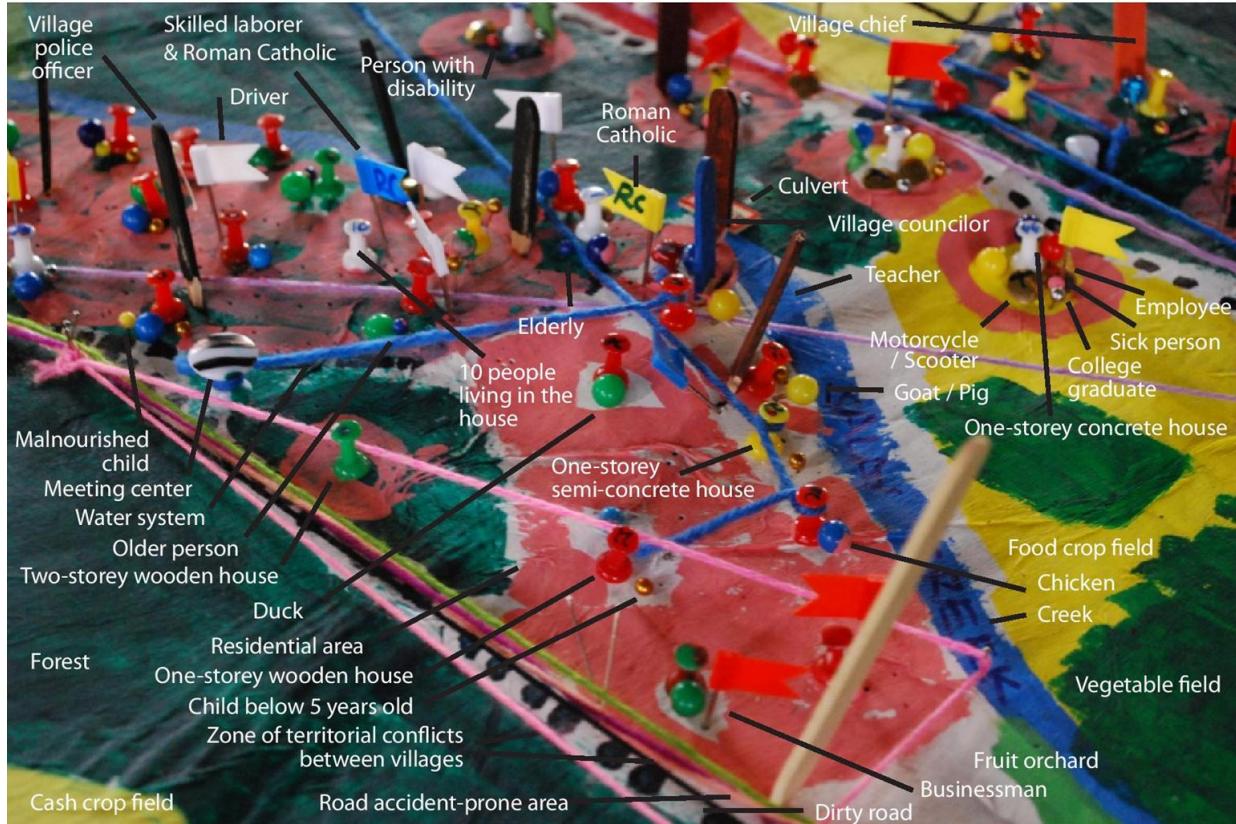


*Michellier et al., 2020*

# Vulnerability: Data

Three main sources:

- Empirical

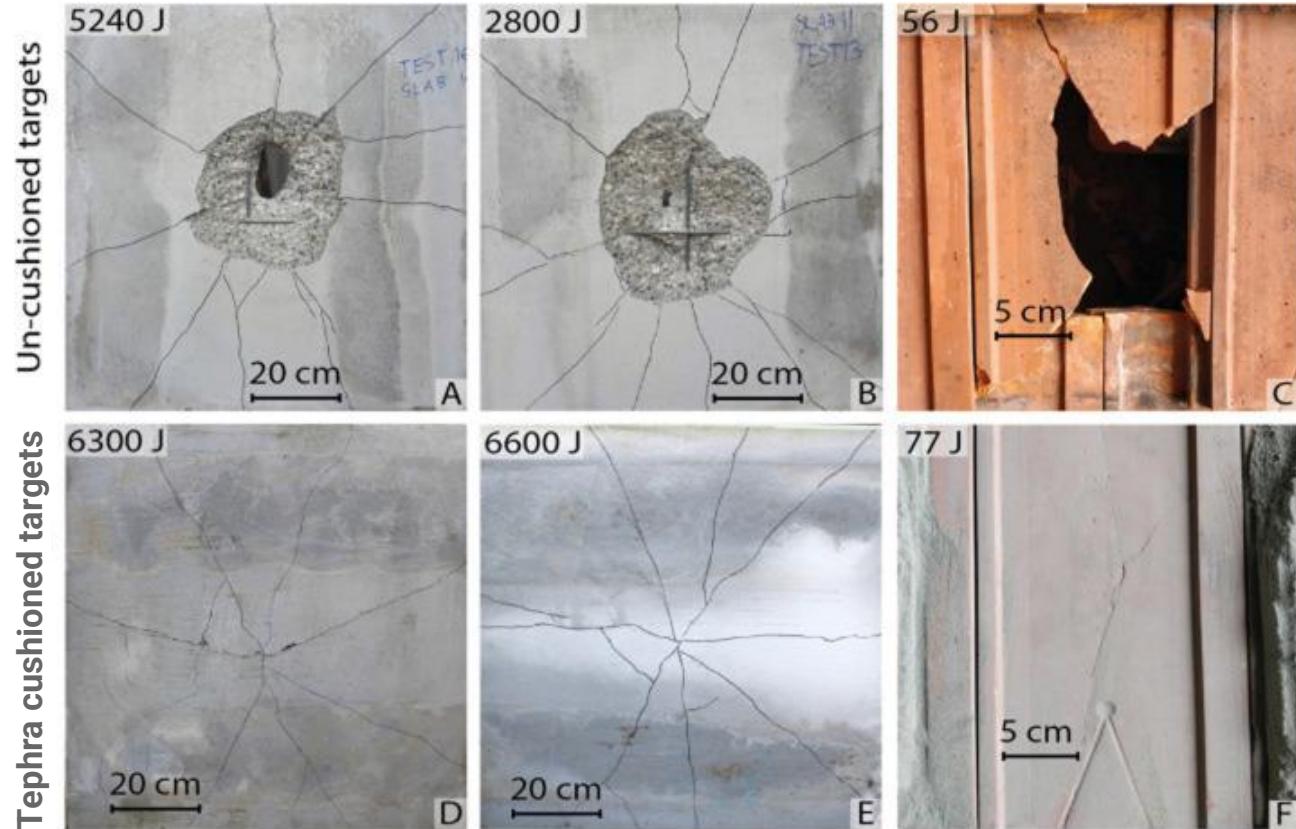


Participatory 3D mapping  
(Gaillard, 2010)

# Vulnerability: Data

Three main sources:

- Empirical
- **Experimental**

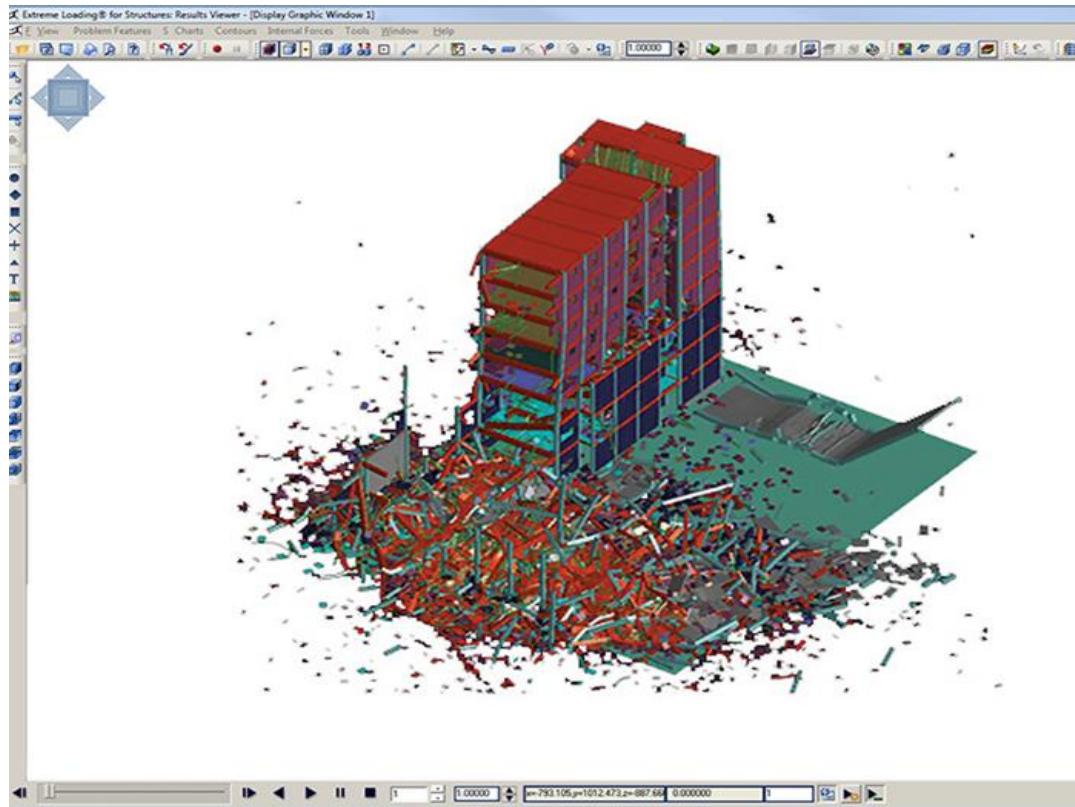


# Vulnerability: Data

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Three main sources:

- Empirical
- Experimental
- **Theoretical**



*Simulation © Extreme loading for structures*

# Vulnerability: Data

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- Data are (sometimes) available locally.
- Globally applicable data are not.
- Extrapolation is common (to different scales, locations, exposure types).

# Vulnerability: Needs, gaps

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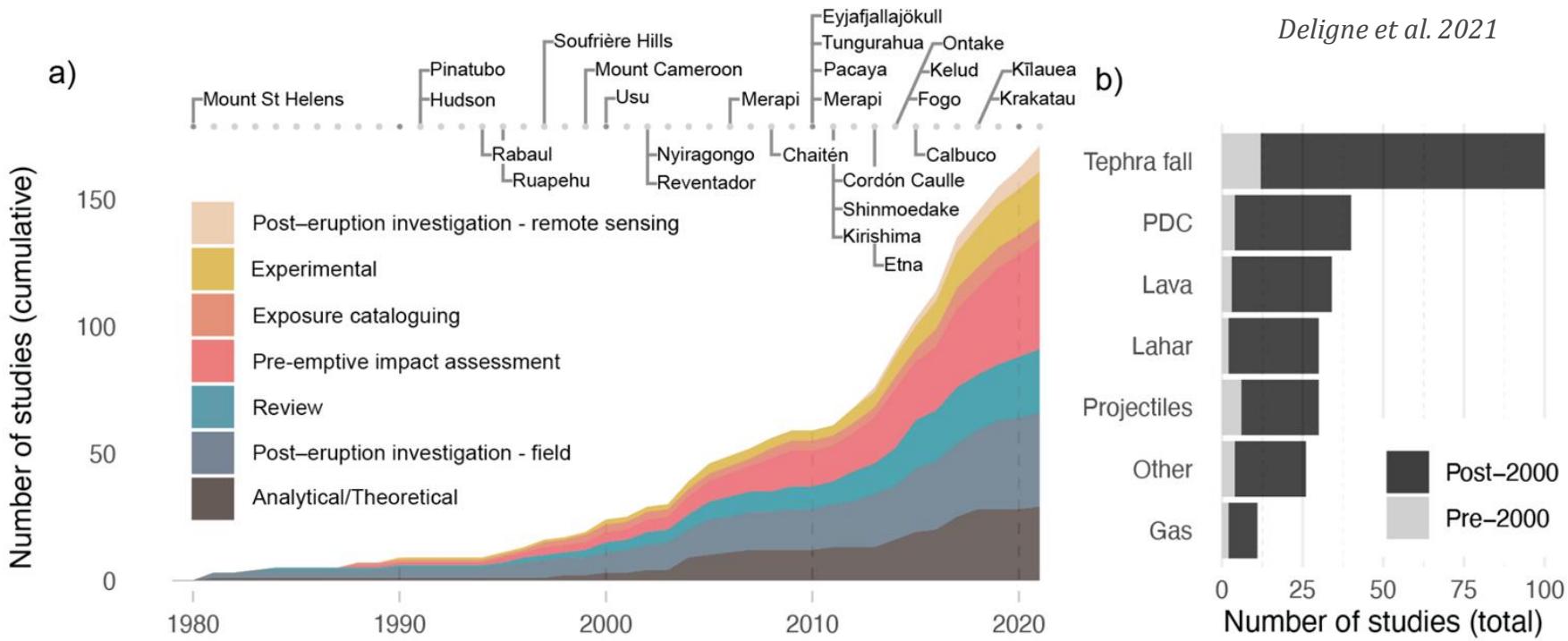
# Vulnerability: Needs, gaps

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## DATA

- Data collection requires funding, collaboration, expertise, and more eruptions.
- Systematic guidelines for collection are needed to promote data transferability.

# Vulnerability: Needs, gaps

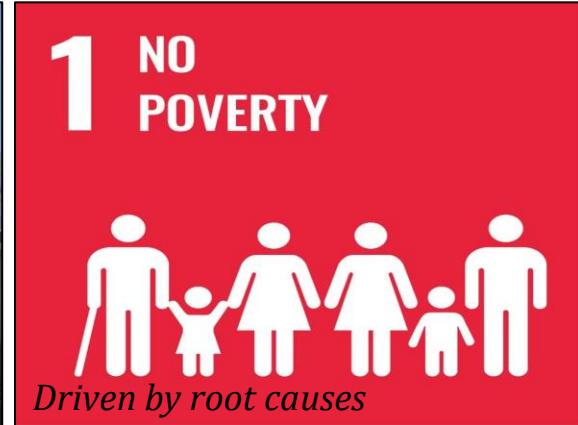
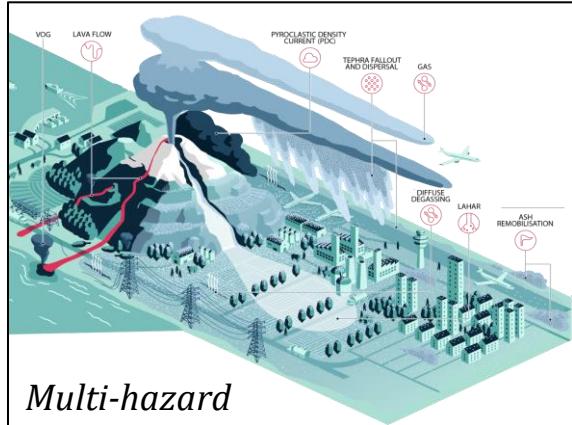


Vulnerability assessments are currently based on very few data.

Volcanic impact assessment is in its infancy compared to other natural hazards.

# Vulnerability: Challenges, opportunities

## Challenges



## Opportunities



# Resilience

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*The ability of a system, community or society exposed to hazards to resist, absorb, accommodate, adapt to, transform and recover from the effects of a hazard in a timely and efficient manner, including through the preservation and restoration of its essential basic structures and functions through risk management.* UNDRR definition

Close relationship with capacity/coping capacity and often viewed as the inverse of vulnerability

Nature and scale of the hazard are key here- e.g.:



...and many that have not erupted in living memory, where there is little social or political awareness...

# Resilience

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...What does resilience to a volcanic eruption look like in practice?



Returning and rebuilding?



Clean-ups and incremental  
management?

Relocation?



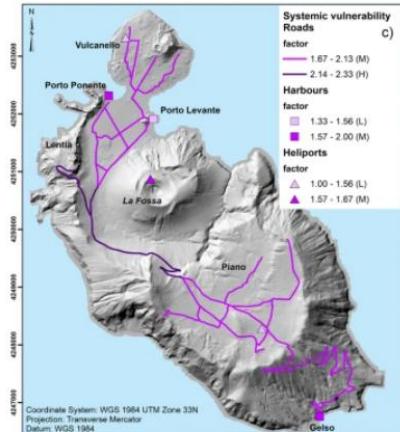
# Resilience: Approaches

To date, largely qualitative or semi-quantitative and focus on identifying coping capacities, adaptive capacities, mitigation measures

- Interviews and surveys with residents, officials, scientists
- Focus groups, participatory methods
- GIS mapping to show critical links, identify redundancies (or lack thereof)
- Expert judgement

Resilience assessment in volcanology very much in its infancy with a few exceptions

Most studies focus on a single volcano/local area

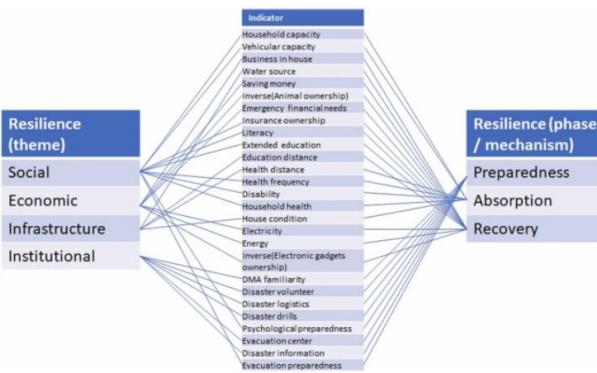


Bonadonna et al, 2021



# Resilience: Approaches

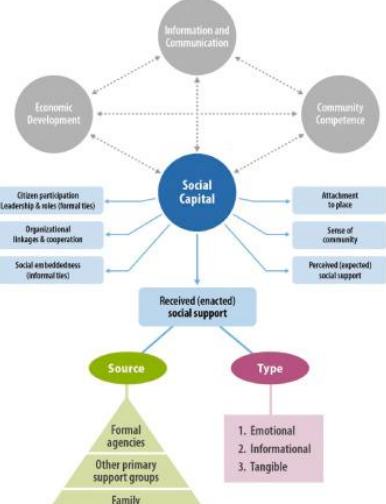
$$\begin{aligned}
 \text{Resilience} &= \text{Absorptive Capacity} + \text{Adaptive Capacity} + \text{Restorative Capacity} \\
 \text{Resilience Cost Index (RCI)} &= \text{System Impact} + \text{Recovery Effort} \\
 \text{Community Resilience Cost Index (CRCI)} &= \text{Loss} + \text{Recovery Costs} \\
 \text{CRCI to a volcanic ash dispersion scenario} &= \text{Direct Loss, Indirect Loss (agriculture, airline, manufacture)} + \text{External Cost (health damage)} + \text{Cleanup Cost}
 \end{aligned}$$



Yu, et al, 2016, Paektu/Changbaishan for RoK, scenario-based, economic resilience

Ómarsdóttir et al, 2022, Eyjafjallajökull, interview-based, community resilience

Wardekker et al, 2023, Agung, mixed methods, cultural/heritage resilience



# Resilience: Data

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Similar to vulnerability. Useful information includes:

- Institutional capacities (esp observatories)
- Institutional connections/flows of information and trust (link to pillars 3 and 4)
- Infrastructural capacities and connections (transport links, hospitals/healthcare, construction, communications)
- Indicators of social cohesion (social network analysis, strong grassroots groups, religious groups, schools)
- Existence (or not) of evacuation plans
- Public awareness of risk and planning
- Land-use planning (e.g. zonation and development limitations) and risk-sensitive development



# Resilience: Needs, gaps

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## Strong, well-resourced and trusted institutions

- Studies show scientists often highly trusted
- Institutions need capacity to assess resilience



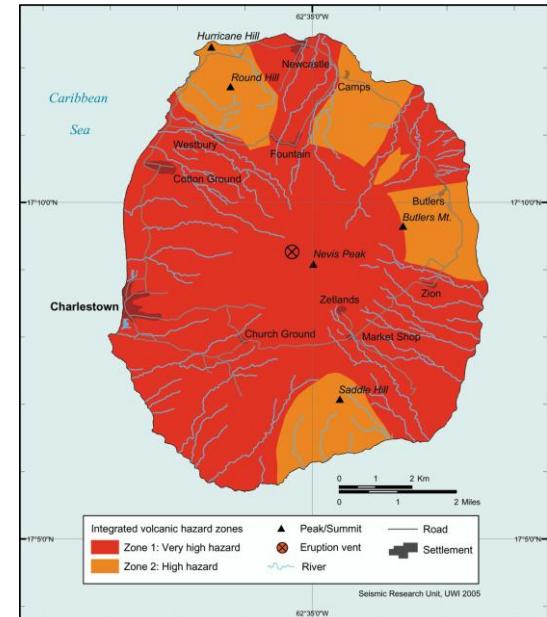
Best practices for assessments,  
planning, communication/dialogue  
with communities at risk



**Data and funding to  
assess and build  
resilience, especially  
in developing  
contexts**

# Resilience: Challenges, opportunities

- As with other hazards, **SIDS face particular challenges** because they cannot easily absorb the cost
- Huge variation in resourcing between nations
- Very many unmonitored or poorly monitored volcanoes especially in sub-saharan Africa
- ... need data to understand these gaps better and funding to plug them!
- ...volcanoes need to be part of a multi-hazard, multi-risk approach



UWI, 2005

*Links to pillars 3 and 4: understanding community and institutional/governmental capacities to disseminate warnings and respond*

# Resilience: Challenges, opportunities

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- Awareness of volcanic risk is typically poor in areas without historical eruptions and among marginalised groups - but place-specific
- Many unstudied/unreached communities are living at risk - **opportunities to add volcanic resilience assessment to multi-hazard/risk assessment efforts in these areas**
- ... need data to understand these gaps better and funding to plug them!
- ...volcanoes need to be part of a multi-hazard, multi-risk approach



*Links to pillars 3 and 4: understanding community and institutional/governmental capacities to disseminate warnings and*

# What do we need?

As a minimum for exposure, vulnerability, resilience assessment?

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**DATA (and**

That is as high spatial and temporal resolution as possible.  
**funding)**

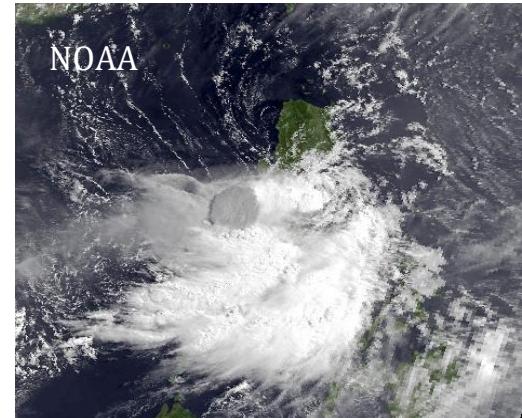
We are getting close to a minimum capabilities for direct ± physical impacts, but these remain associated with important modelling assumptions and large uncertainties due to limited data..  
Methods for social/systemic assessments are in their infancy, and their final form remains unclear.

# What next?

Where are the opportunities?

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- Synergies within IAVCEI to advance interdisciplinary academic research and operational links
- Opportunity to link with institutions (UN, NGOs)
- Integrate the broader multi-hazard perspective (specificities of eruptions → we have a lot to learn from other hazards, but some things we can contribute too)
- Resourcing for community engagement
- Bottom line:
  - We need eruptions to improve DRR
  - We need DRR to reduce eruption impacts



Nurul Hidayat/AP

# Take aways

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## 1. **DATA**

- we need it! Our uncertainties are **LARGE**.

2. Need to **promote volcanic hazard within wider multi-hazard community**
3. **Collaboration** is required to access data and for **equitable capacity building**.
4. **Volcano observatories need to be adequately resourced** for monitoring and engagement, both through funding and collaboration.





# Strategies for exposure, vulnerability and resilience assessment: State-of-the-art and challenges

Day 1, Pillar 1: Disaster Risk Knowledge

Thank you

*Merapi 2010 eruption, Indonesia. Buildings destroyed in Balerante, 5 km from the vent  
Photo taken by village chief, surveying the damage to his village.*