



Strategies for exposure, vulnerability and resilience assessment: State-of-the-art and challenges

Day 1, Pillar 1: Disaster Risk Knowledge

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Merapi 2010 eruption, Indonesia. Buildings destroyed in Balerante, 5 km from the vent
Photo taken by village chief, surveying the damage to his village.

Outline

- What are state-of-the-art methods to characterise **exposure**, **vulnerability** and **resilience** in volcanic contexts?
 - Review associated needs, gaps, challenges and opportunities
 - Overarching conclusions and future perspectives



Exposure

- **Exposure:** The spatiotemporal situation of people, man-made and natural elements potentially affected - directly or indirectly - by the occurrence of hazardous phenomena.



population characteristics



housing type



land use



critical infrastructure
& essential services



Collecting **exposure** data

Collected data

- Census → full *enumeration* vs *sampling*
- UAVs + high res images + computer vision
- *Volunteered Geographic Information Systems*



Collecting **exposure** data

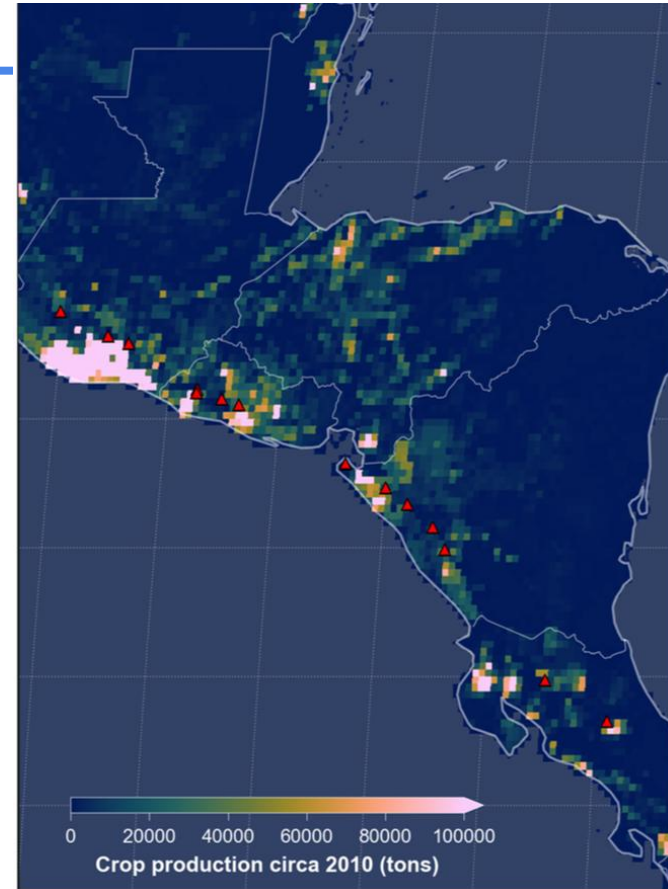
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Modelled data

- Spatial disaggregation based on proxies
- Importance of geostats + ML + data availability

Crop exposure around Central America



Yu et al (2020); Lu et al (2020)

Collecting **exposure** data

Collected data

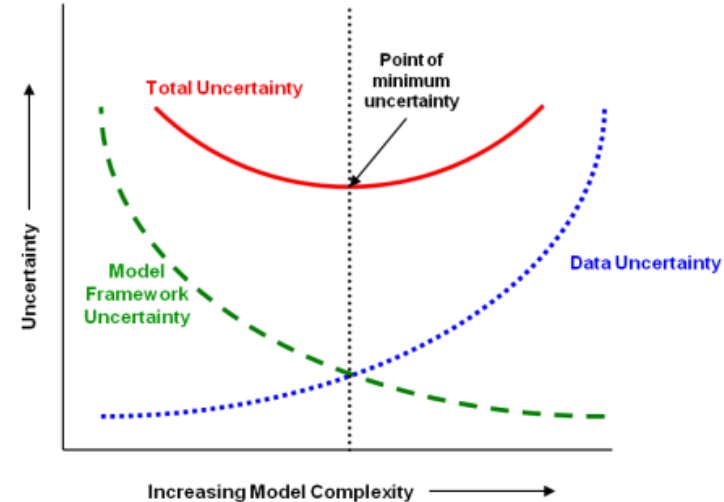
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Modelled data

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Uncertainties

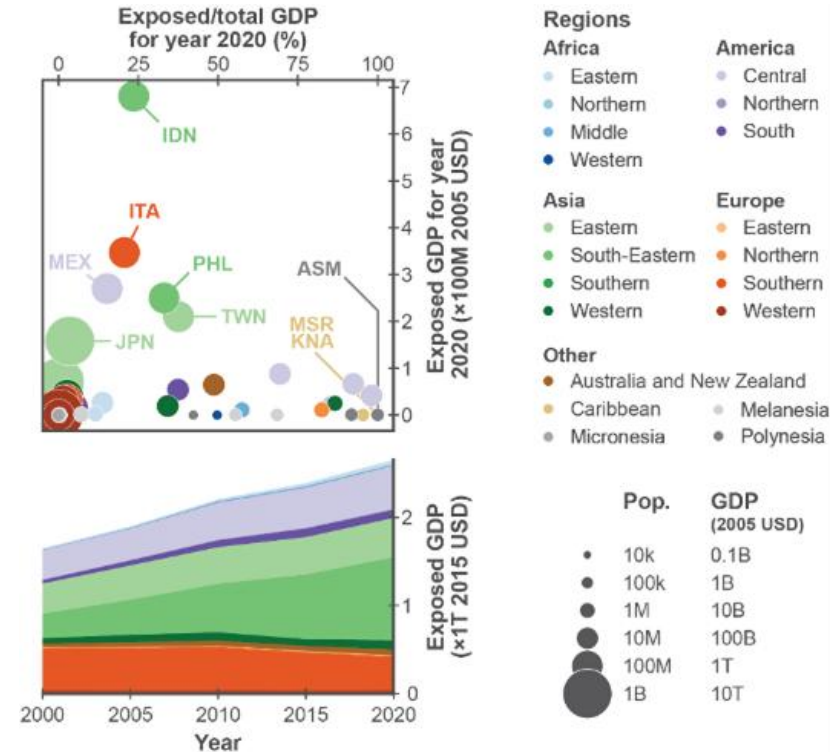
- Hard to constrain (type of data, temporality)
- Stem from various sources



Exposure analyses

Global/international

- Modelled data
- Help identifying global trends



Country/region GDP exposure within 100 km of a Holocene volcano

Exposure analyses

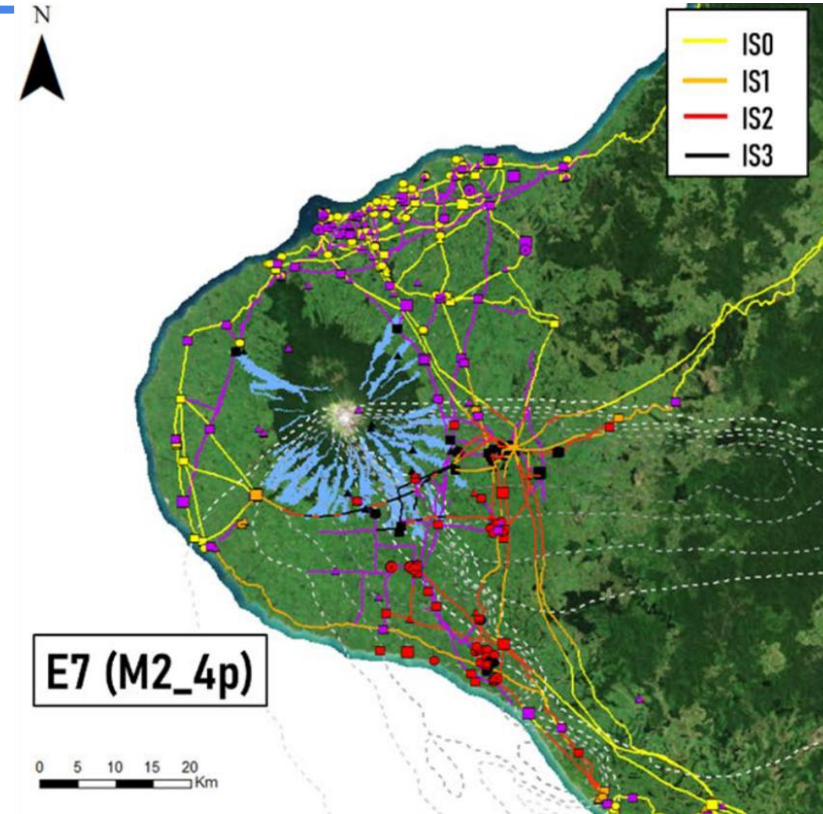
*Direct and indirect impacts on infrastructures around
Taranaki, New Zealand*

Global/international

- Modelled data
- Help identifying global trends

(Sub) National

- Modelled / collected → [Philippines](#)
- Trust with data providers → [New Zealand](#)



Exposure analyses

Mining following the 2010 Merapi eruption

Global/international

- Modelled data
- Help identifying global trends

(Sub) National

- Modelled / collected → [Philippines](#)
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Local

- Ideally collected, often complemented with global modelled data
- Often qualitative indicators → drivers



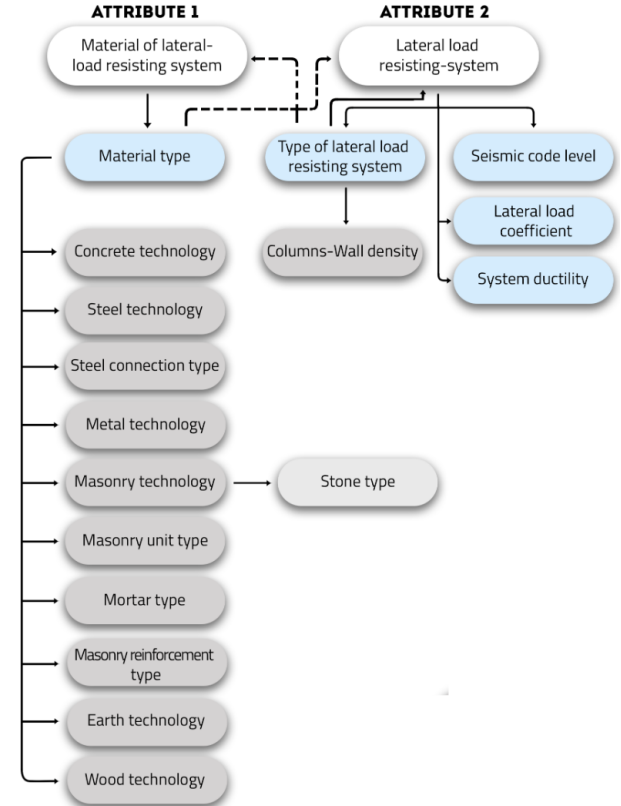
From Miller (2022)

Needs & gaps

Taxonomies

- Designs for volcanic (multi)hazards
- Alignment with vulnerability models → GEM

2 out of 13 attributes of the GEM building taxonomy



Needs & gaps

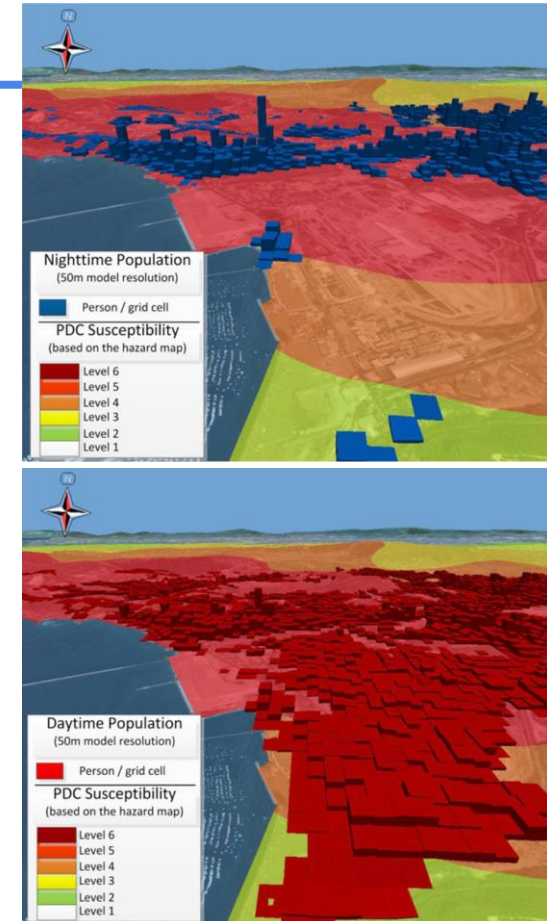
Taxonomies

- Designs for volcanic (multi)hazards
- Alignment with vulnerability models → GEM

Temporality

- Capture dynamics of exposure
- Short and long-term displacements → IDMC

Daytime vs nighttime population exposure around Vesuvius



Freire et al. (2015)

Needs & gaps

Taxonomies

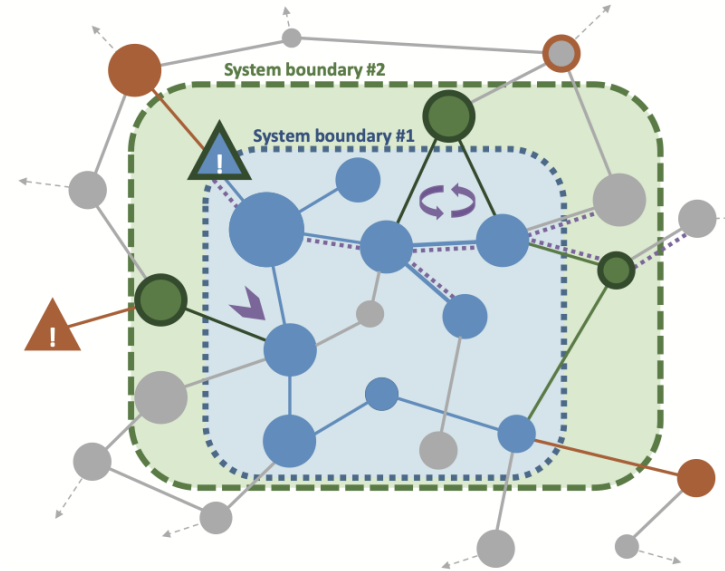
- Designs for volcanic (multi)hazards
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Temporality

- Capture dynamics of exposure
- Short and long-term displacements → IDMC

Systems (of systems) & indirect exposure

- Hard to define domains and metrics
- Largely lacking transdisciplinary expertise



Challenges & opportunities

La Palma, 2021

Challenges

- Dynamics of exposure and associated drivers
- Global data often inform data-poor environments
- Systemic / indirect / intangible

Opportunities

- Data acquisition & analysis
- Collaboration with data providers (e.g., local, big tech)

We have loads to learn from other communities!



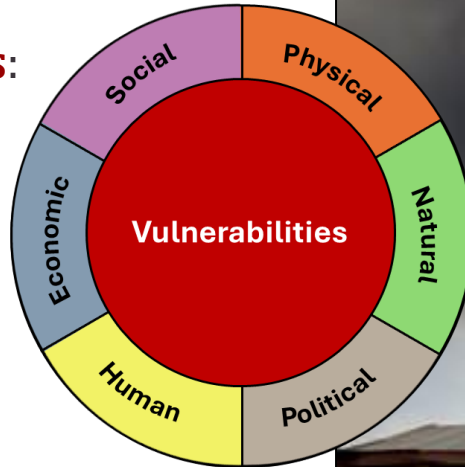
Vulnerability: Approaches

Vulnerability describes how an exposed element (e.g. person, building, crop) is impacted by a hazard.

Disasters occur when hazards affect vulnerable people.

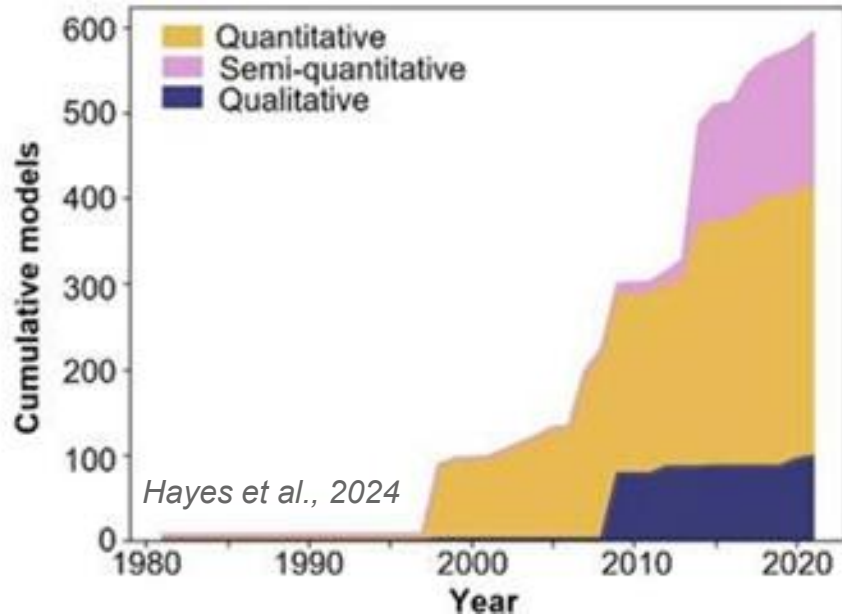
It takes **many forms**:

- Physical
- Social
- Economic
- Institutional
- Functional
- Systemic
- Environmental
- ...

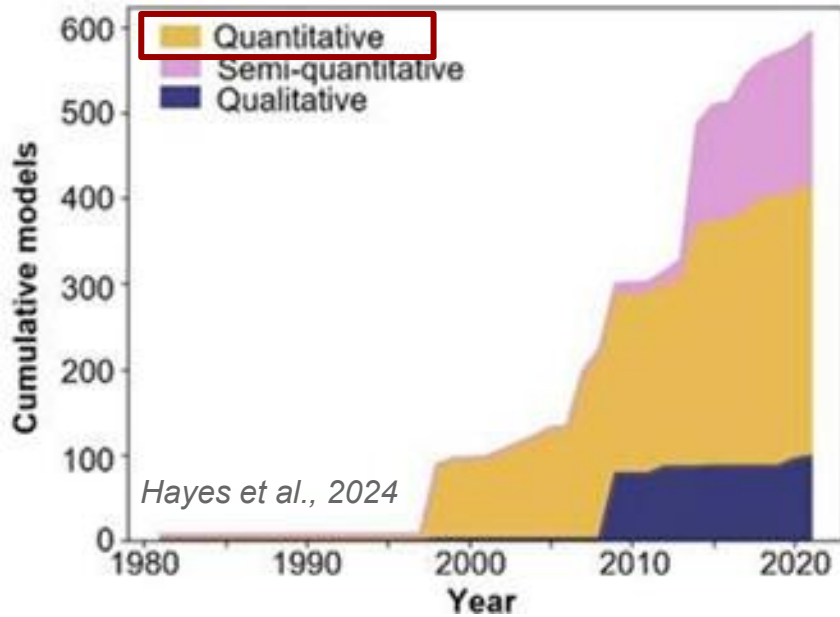


Karo district, North Sumatra during the Sinabung eruption, 2013 © Reuters

Vulnerability: Approaches

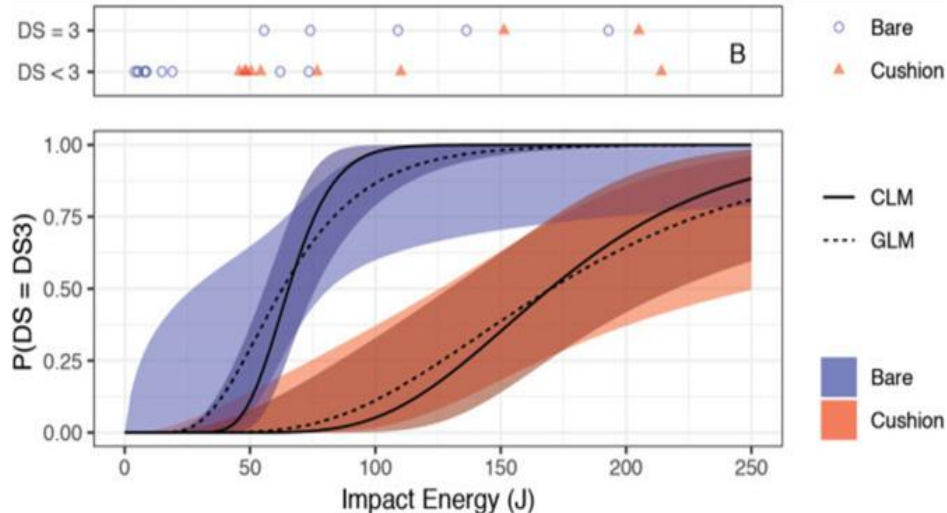
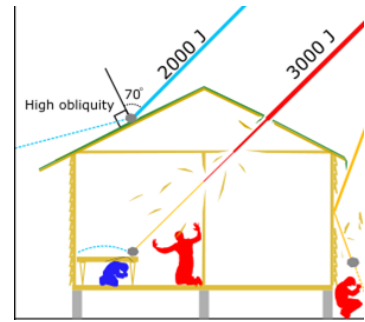
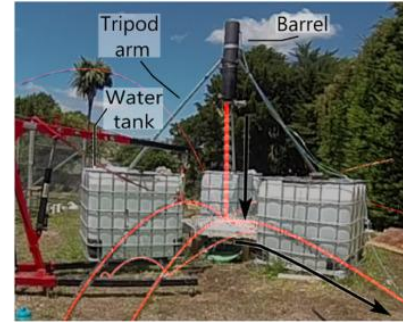


Vulnerability: Approaches

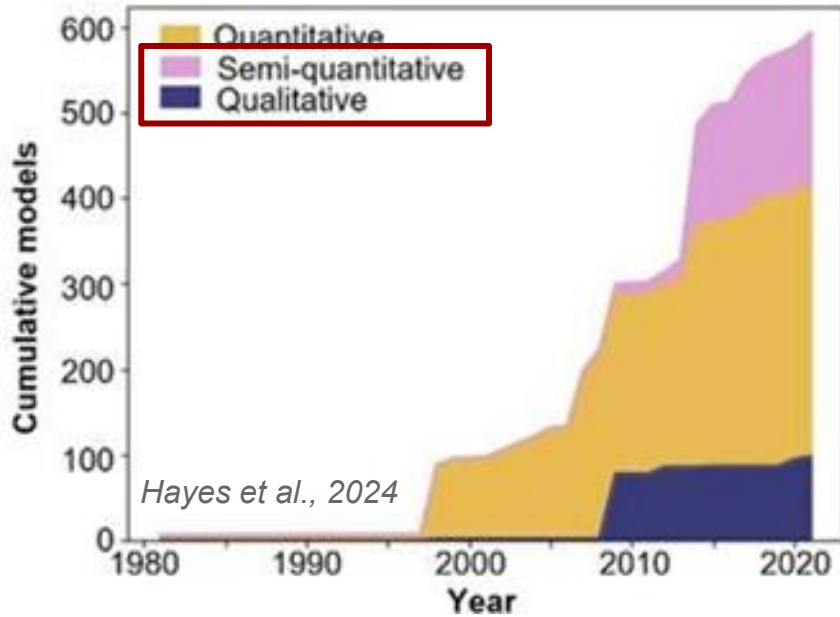


Fragility functions for ballistic projectiles to damage clay tiles (with or without tephra), based on experimental data.

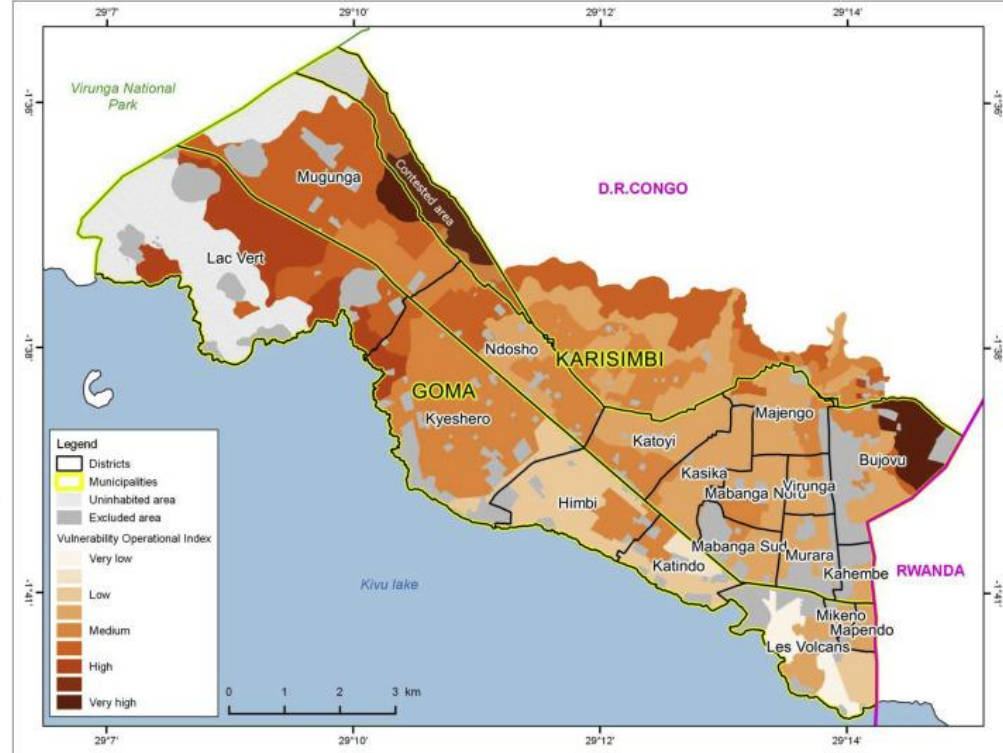
Williams et al. 2019.



Vulnerability: Approaches



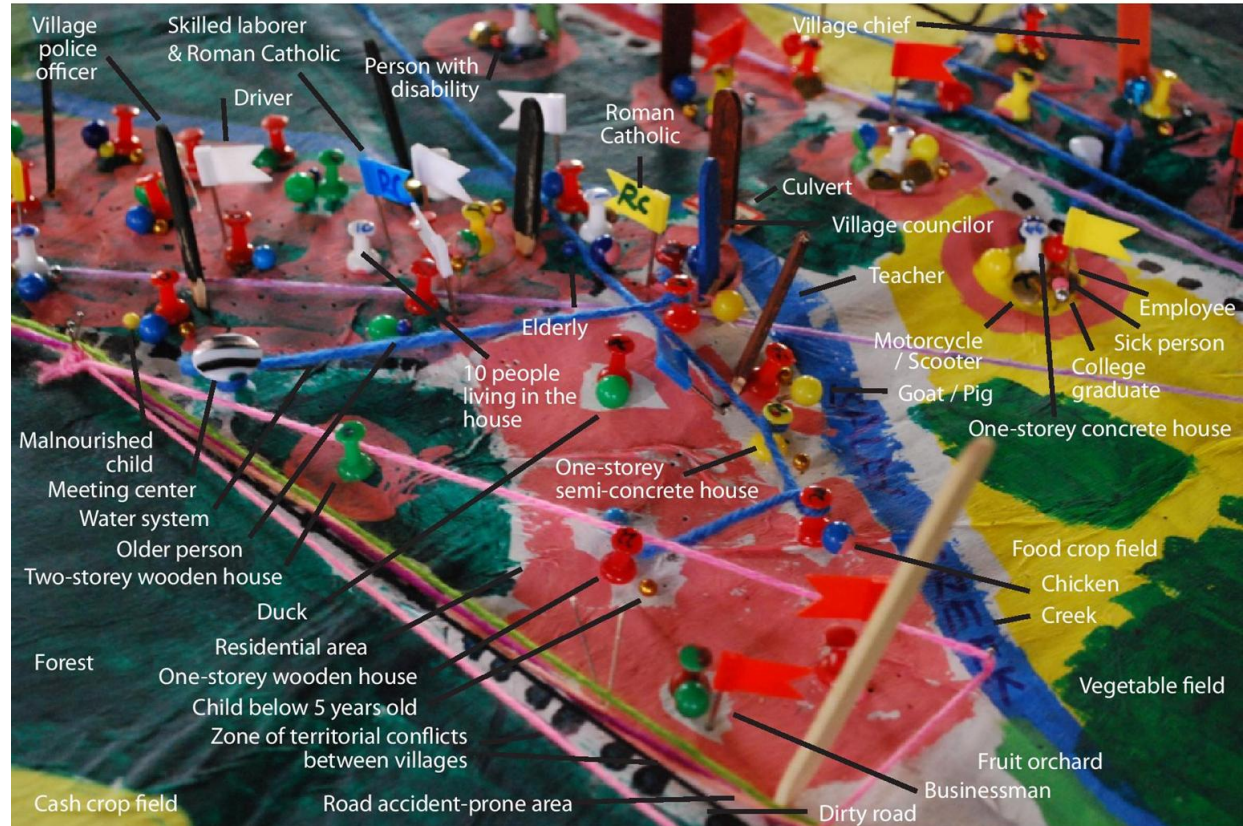
Operational Vulnerability Index for Goma, DRC. Based on economic factors, education, household resources, risk perception, and household structure.



Vulnerability: Data

Three main sources:

- **Empirical**

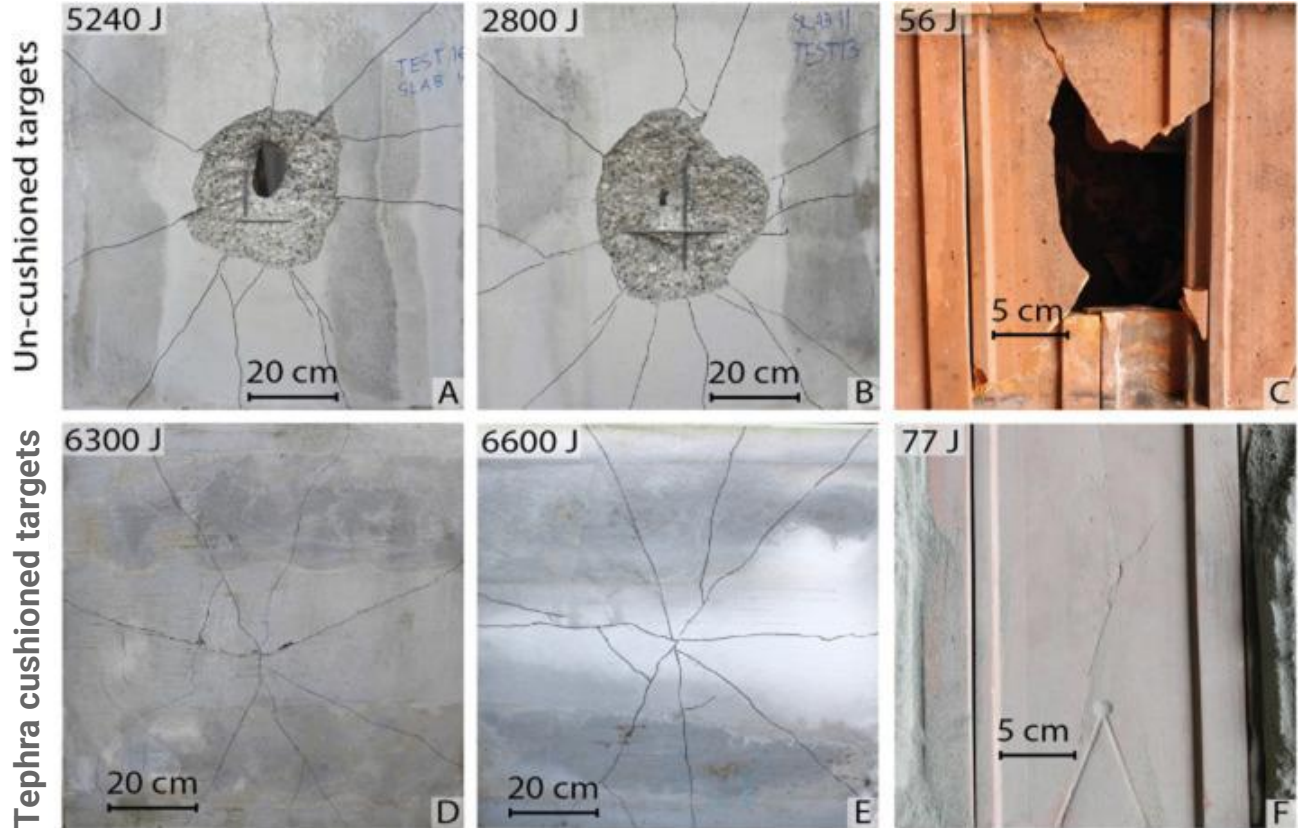


*Participatory 3D mapping
(Gaillard, 2010)*

Vulnerability: Data

Three main sources:

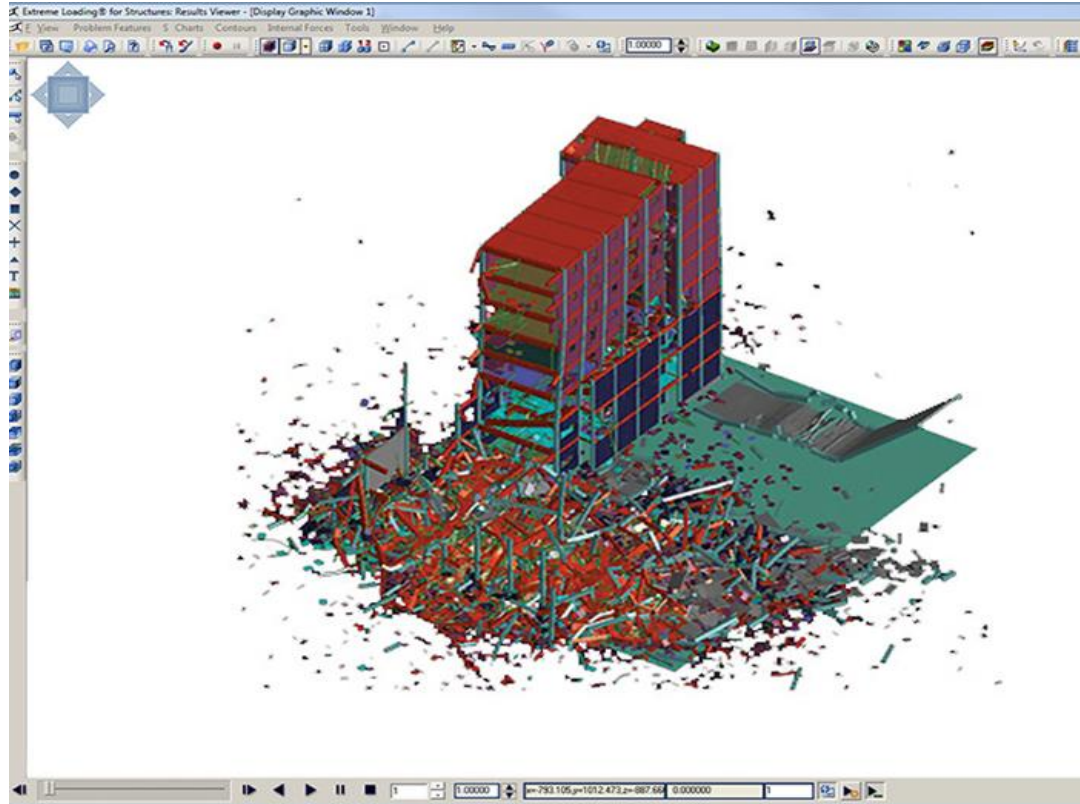
- Empirical
- **Experimental**



Vulnerability: Data

Three main sources:

- Empirical
- Experimental
- **Theoretical**



Simulation © Extreme loading for structures

Vulnerability: Data



- Data are (sometimes) available locally.
- Globally applicable data are not.
- Extrapolation is common (to different scales, locations, exposure types).

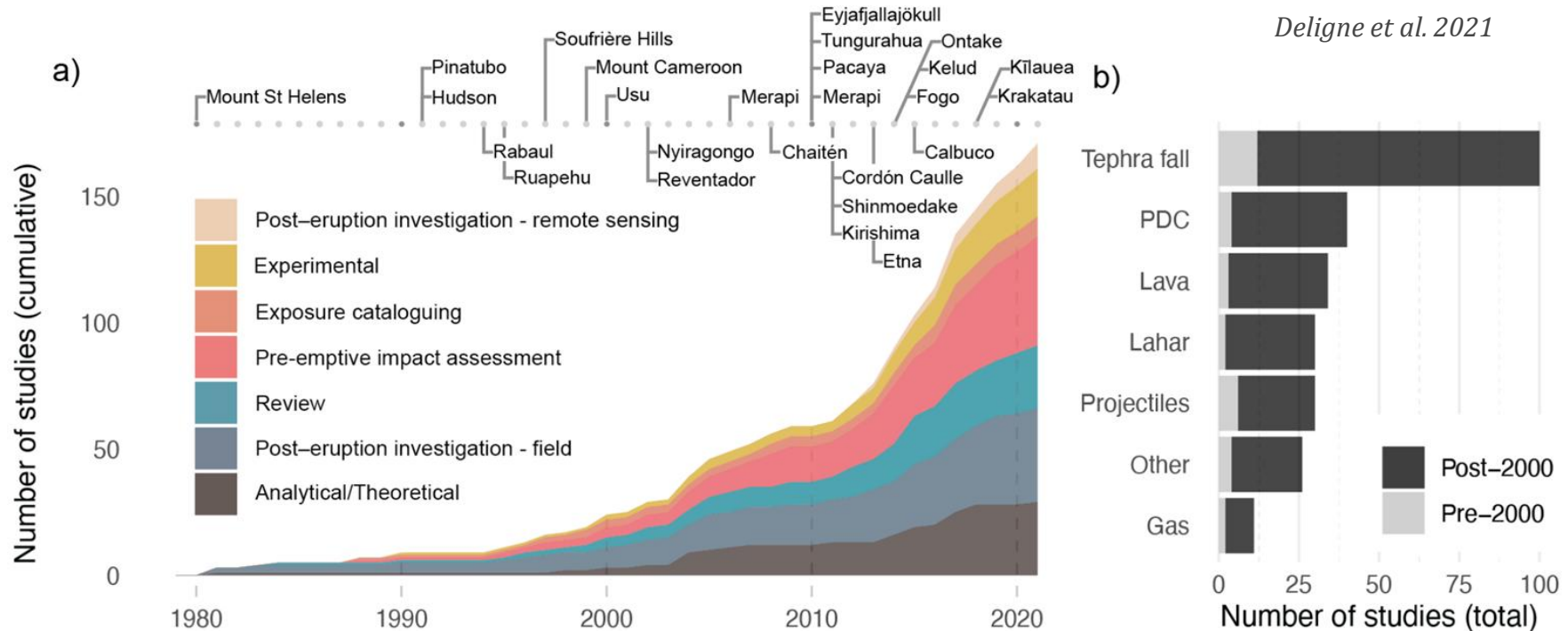
Vulnerability: Needs, gaps

Vulnerability: Needs, gaps

DATA

- Data collection requires funding, collaboration, expertise, and more eruptions.
- Systematic guidelines for collection are needed to promote data transferability.

Vulnerability: Needs, gaps

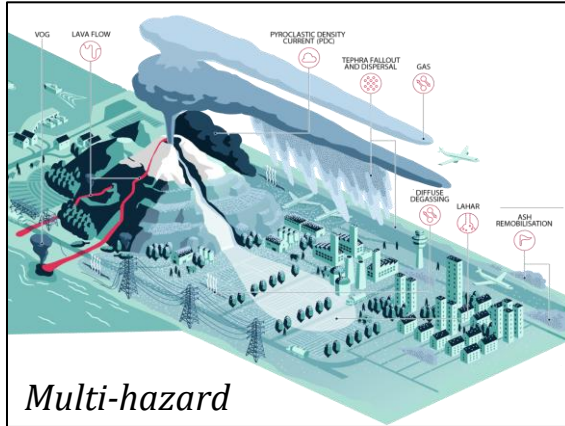


Vulnerability assessments are currently based on very few data.

Volcanic impact assessment is in its infancy compared to other natural hazards.

Vulnerability: Challenges, opportunities

Challenges



Opportunities



Resilience

The ability of a system, community or society exposed to hazards to resist, absorb, accommodate, adapt to, transform and recover from the effects of a hazard in a timely and efficient manner, including through the preservation and restoration of its essential basic structures and functions through risk management. UNDRR definition

Close relationship with capacity/coping capacity and often viewed as the inverse of vulnerability

Nature and scale of the hazard are key here- e.g.:



Getty/Fabrizio Villa



ARLAN NAEG/AFP/Getty

...and many that have not erupted in living memory, where there is little social or political awareness...

Resilience

...What does resilience to a volcanic eruption look like in practice?



Returning and rebuilding?



Clean-ups and incremental management?

Relocation?



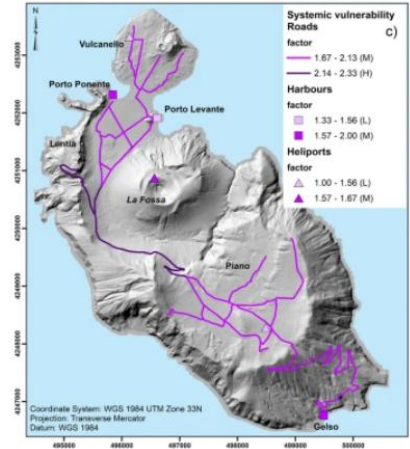
Resilience: Approaches

To date, **largely qualitative or semi-quantitative** and focus on identifying coping capacities, adaptive capacities, mitigation measures

- Interviews and surveys with residents, officials, scientists
- Focus groups, participatory methods
- GIS mapping to show critical links, identify redundancies (or lack thereof)
- Expert judgement

Resilience assessment in volcanology very much in its infancy with a few exceptions

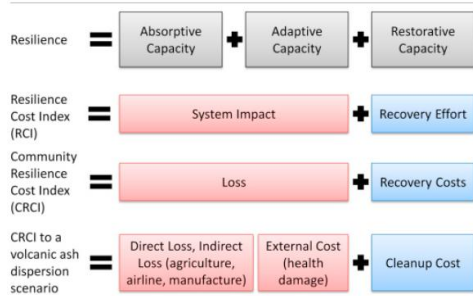
Most studies focus on a single volcano/local area



Bonadonna et al, 2021

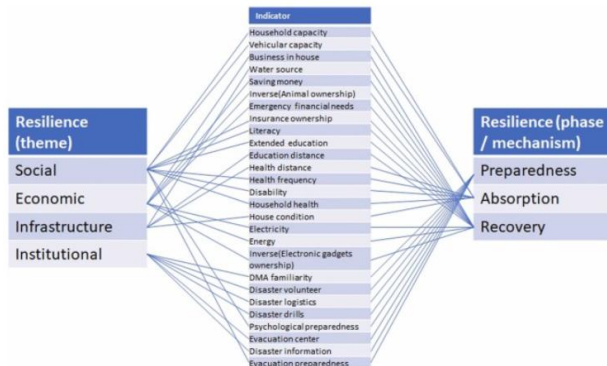
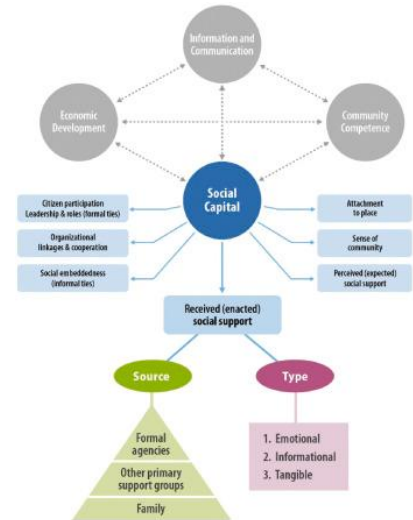


Resilience: Approaches



Yu, et al, 2016, Paektu/Changbaishan for RoK, scenario-based, economic resilience

Ómarsdóttir et al, 2022, Eyjafjallajökull, interview-based, community resilience



Wardekker et al, 2023, Agung, mixed methods, cultural/heritage resilience

Resilience: Data

Similar to vulnerability. Useful information includes:

- Institutional capacities (esp observatories)
- Institutional connections/flows of information and trust (link to pillars 3 and 4)
- Infrastructural capacities and connections (transport links, hospitals/healthcare, construction, communications)
- Indicators of social cohesion (social network analysis, strong grassroots groups, religious groups, schools)
- Existence (or not) of evacuation plans
- Public awareness of risk and planning
- Land-use planning (e.g. zonation and development limitations) and risk-sensitive development



Resilience: Needs, gaps

Strong, well-resourced and trusted institutions

- Studies show scientists often highly trusted
- Institutions need capacity to assess resilience

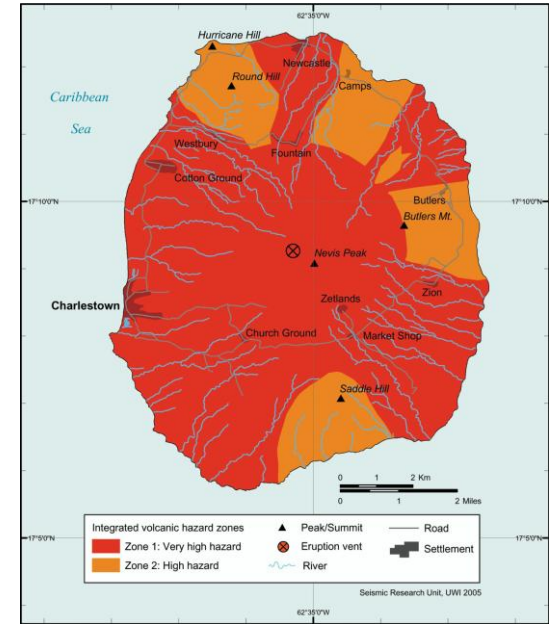


**Best practices for assessments,
planning, communication/dialogue
with communities at risk**

**Data and funding to
assess and build
resilience, especially
in developing
contexts**

Resilience: Challenges, opportunities

- As with other hazards, **SIDS face particular challenges** because they cannot easily absorb the cost
- Huge variation in resourcing between nations
- Very many unmonitored or poorly monitored volcanoes especially in sub-saharan Africa
- ... need data to understand these gaps better and funding to plug them!
- ...volcanoes need to be part of a multi-hazard, multi-risk approach



UWI, 2005

Links to pillars 3 and 4: understanding community and institutional/governmental capacities to disseminate warnings and respond

Resilience: Challenges, opportunities

- Awareness of volcanic risk is typically poor in areas without historical eruptions and among marginalised groups - but place-specific
- Many unstudied/unreached communities are living at risk - **opportunities to add volcanic resilience assessment to multi-hazard/risk assessment efforts in these areas**
- ... need data to understand these gaps better and funding to plug them!
- ...volcanoes need to be part of a multi-hazard, multi-risk approach



Links to pillars 3 and 4: understanding community and institutional/governmental capacities to disseminate warnings and

What do we need?

As a minimum for exposure, vulnerability, resilience assessment?

DATA (and

That is as high spatial and temporal resolution as possible.
funding)

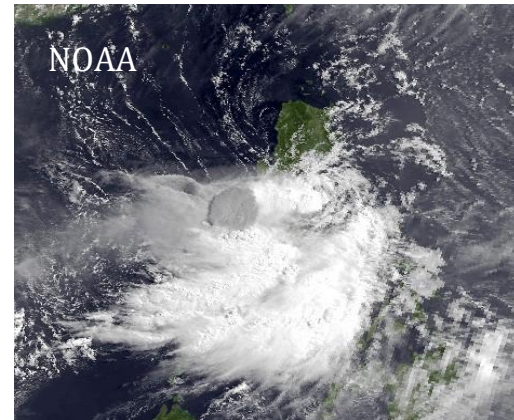
We are getting close to a minimum capabilities for direct \pm physical impacts, but these remain associated with important modelling assumptions and large uncertainties due to limited data..

Methods for social/systemic assessments are in their infancy, and their final form remains unclear.

What next?

Where are the opportunities?

- Synergies within IAVCEI to advance interdisciplinary academic research and operational links
- Opportunity to link with institutions (UN, NGOs)
- Integrate the broader multi-hazard perspective (specificities of eruptions → we have a lot to learn from other hazards, but some things we can contribute too)
- Resourcing for community engagement
- Bottom line:
 - We need eruptions to improve DRR
 - We need DRR to reduce eruption impacts



Take aways

1. **DATA** - we need it! Our uncertainties are LARGE.
2. Need to **promote volcanic hazard within wider multi-hazard community**
3. **Collaboration** is required to access data and for **equitable capacity building**.
4. **Volcano observatories need to be adequately resourced** for monitoring and engagement, both through funding and collaboration.





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Day 1, Pillar 1: Disaster Risk Knowledge

Thank you

*Merapi 2010 eruption, Indonesia. Buildings destroyed in Balerante, 5 km from the vent
Photo taken by village chief, surveying the damage to his village.*